

ATAT - A software toolkit for modeling coupled configurational and vibrational disorder in alloy systems

Axel van de Walle

Materials Science and Engineering Department

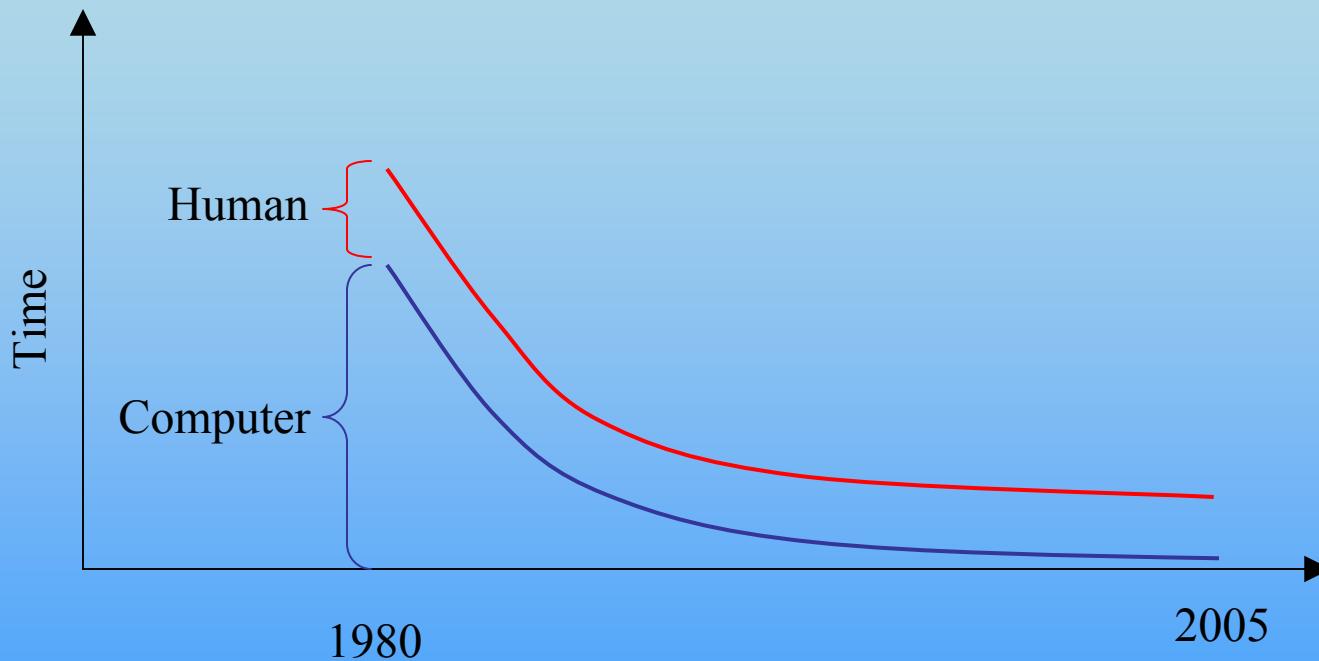


This work was supported by:

NSF under program DMR-0080766 and DMR-0076097.
DOE under contract no. DE-F502-96ER 45571.
AFOSR-MEANS under grant no. F49620-01-1-0529

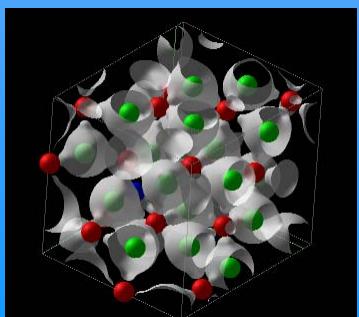
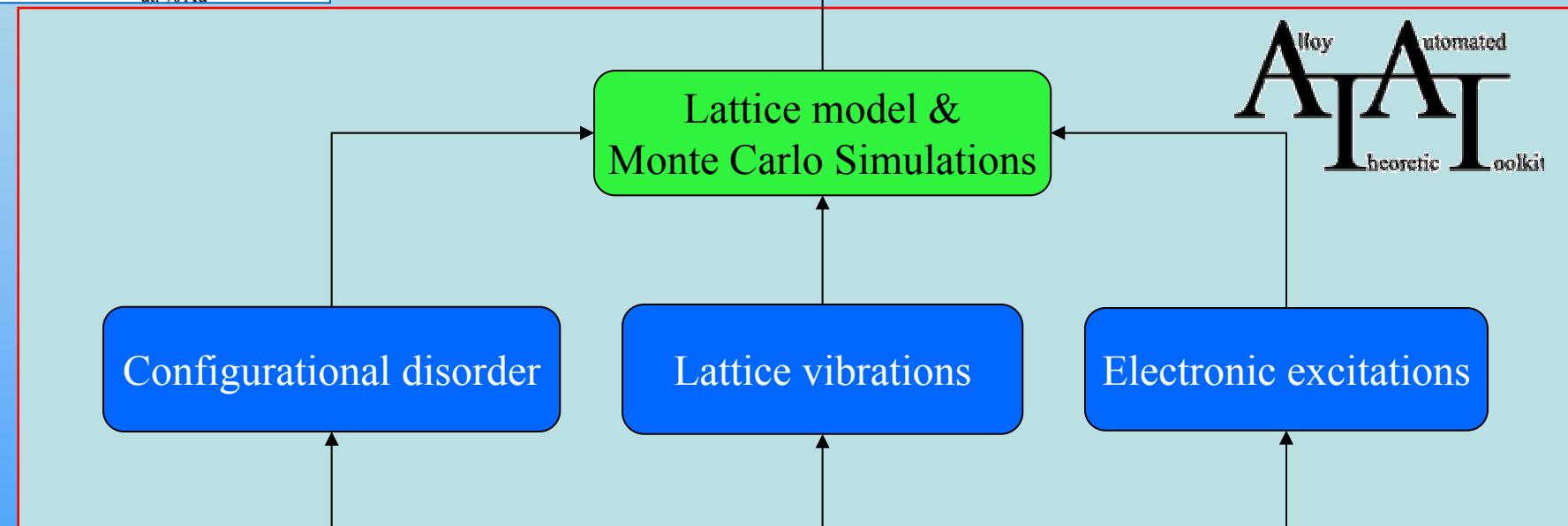
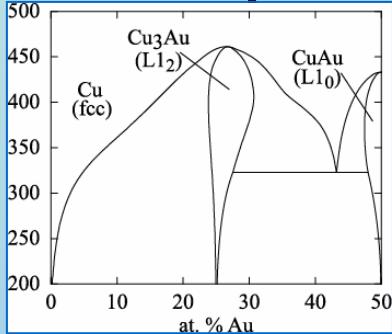
A matter of time...

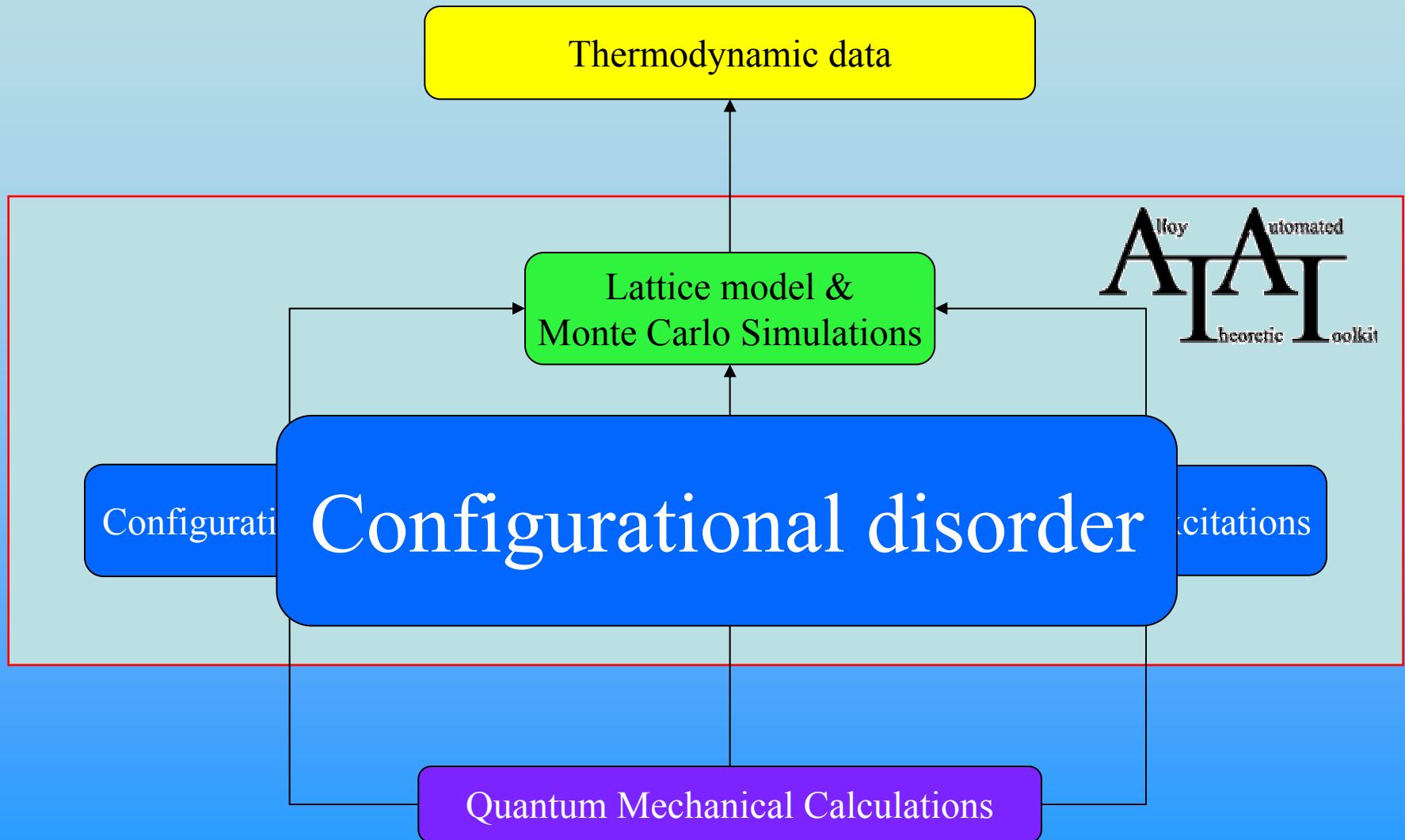
Time needed to complete a given first-principles calculation



The procedure needs to be automated

First-principles Thermodynamic Calculations



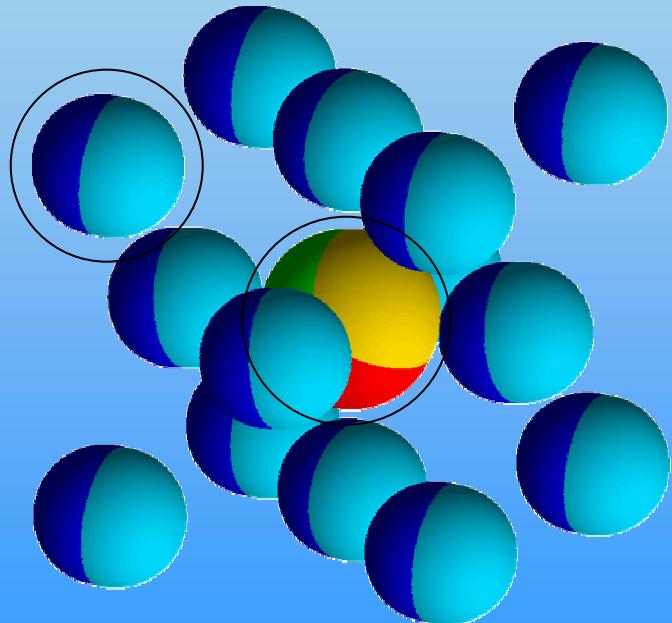


Coupled Sublattices

Multicomponent Cluster Expansion

Same basic form: $E(\sigma_1, \dots, \sigma_n) = \sum_{\alpha} J_{\alpha} \sigma_{\alpha}$

$\sigma_{\alpha} = \prod_i \Gamma(n_i, \alpha_i, \sigma_i)$
components
↑
↑



Example: binary fcc sublattice with ternary octahedral sites sublattice

Occupation variables: $\sigma_i = 0, \dots, n_i - 1$

“Decorated” clusters: $\alpha = (\alpha_1, \dots, \alpha_n)$

$\alpha_i = 0, \dots, n_i - 1$

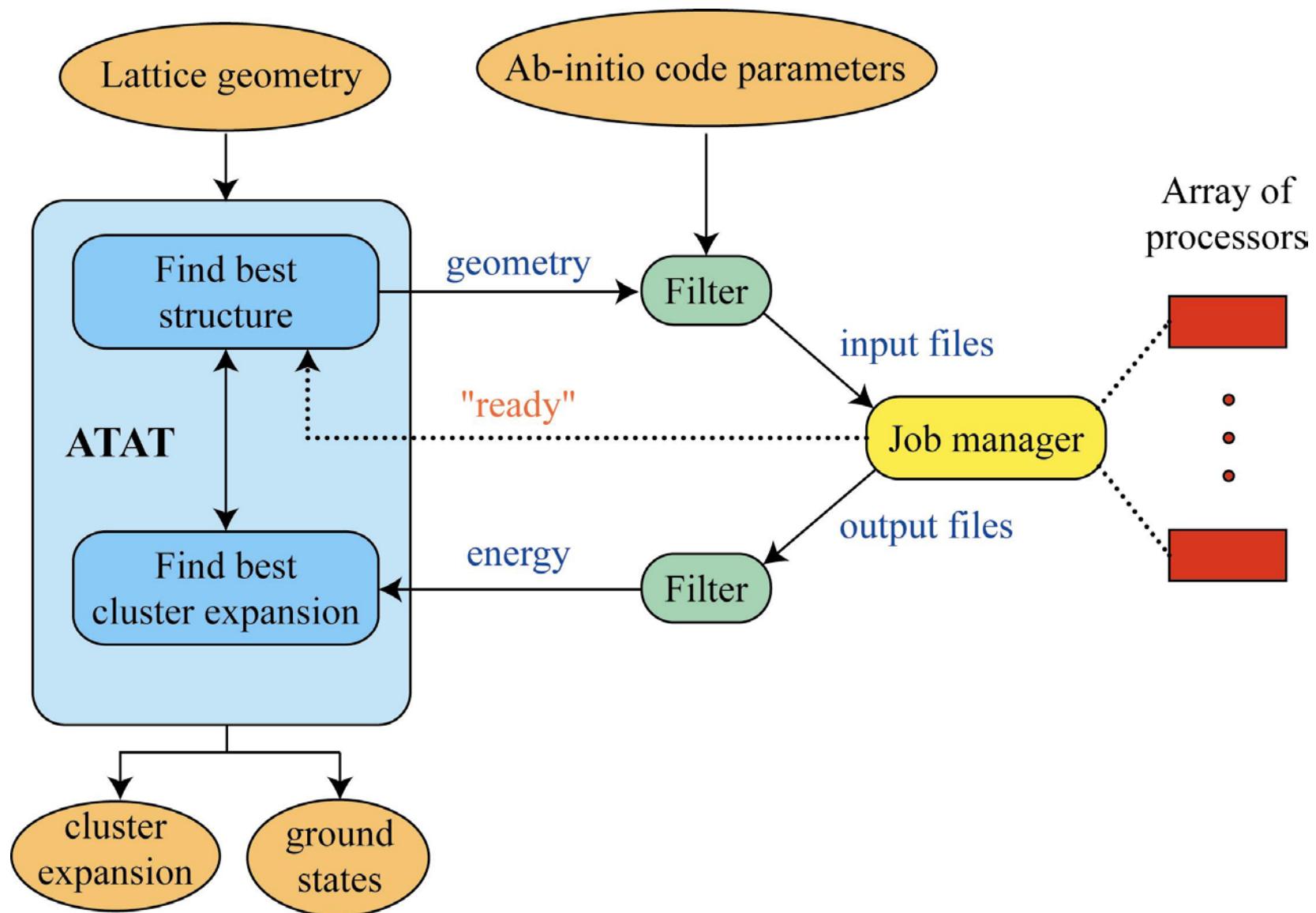
“Not in cluster”

$\Gamma(2, \cdot, \cdot) = \begin{bmatrix} \rightarrow \sigma_i & \\ 1 & 1 \\ 1 & -1 \end{bmatrix}_{\downarrow \alpha_i}$

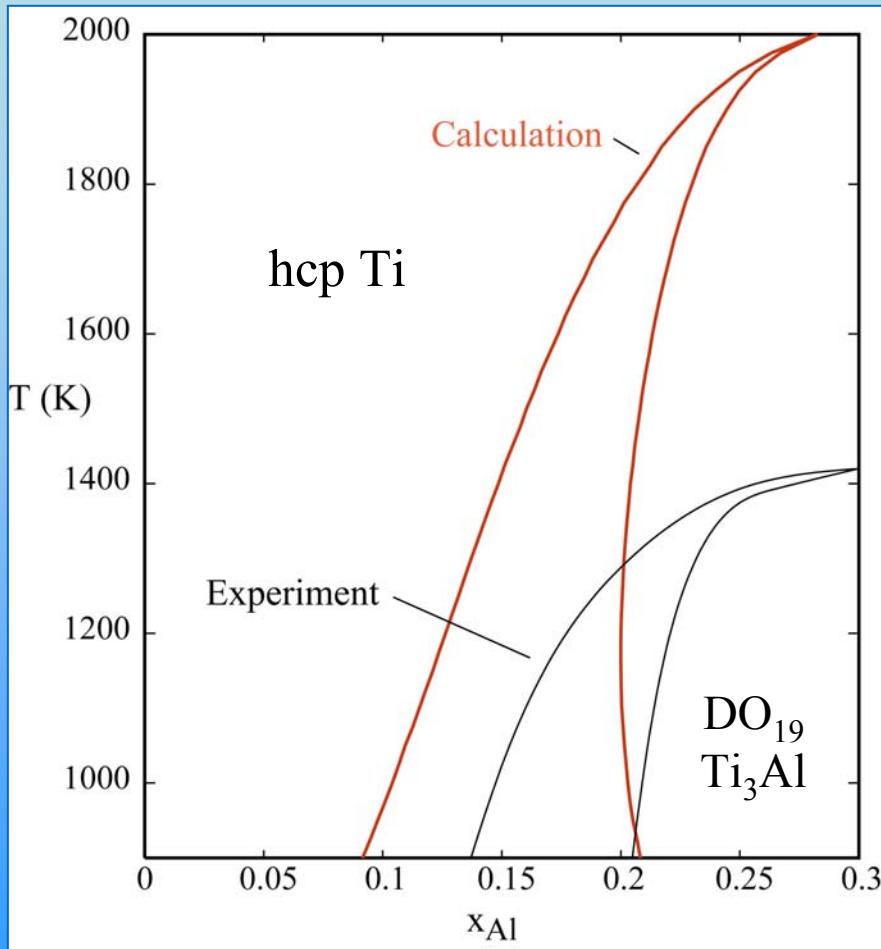
$\Gamma(3, \cdot, \cdot) = \begin{bmatrix} \rightarrow \sigma_i & \\ 1 & 1 & 1 \\ 1 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & \frac{\sqrt{3}}{2} & -\frac{\sqrt{3}}{2} \end{bmatrix}_{\downarrow \alpha_i}$

Sanchez, Ducastelle and Gratias (1984)
 Tepesch, Garbulski and Ceder (1995)

Automated Cluster Expansion Construction



Temperature scale problem

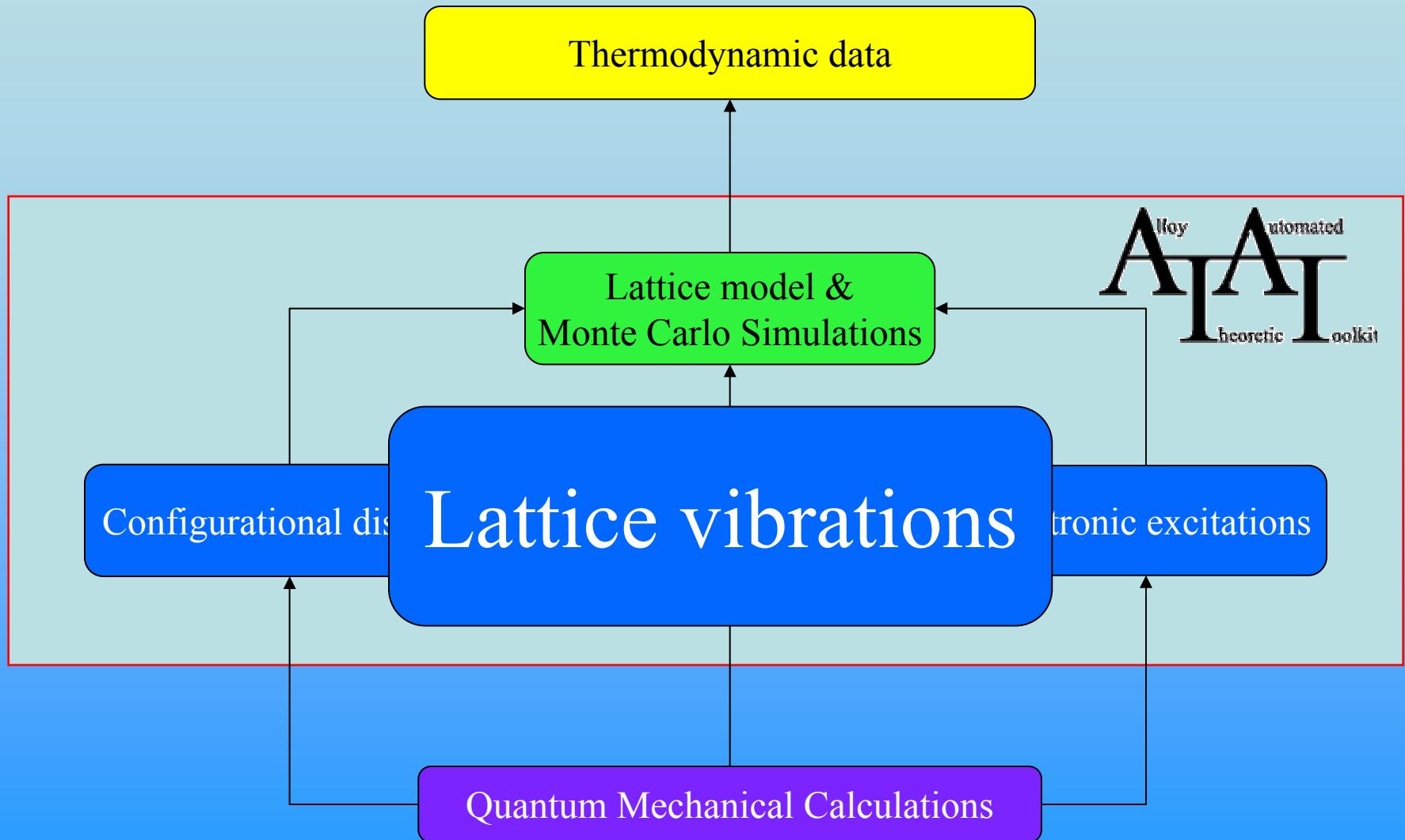


Likely source of the discrepancy:
Vibrational entropy.

Fultz, Nagel, Antony, *et al.* (1993-1999)
Ceder, Garbulsky, van de Walle (1994-2002)
de Fontaine, Althoff, Morgan (1997-2000)
Zunger, Ozolins, Wolverton (1998-2001)

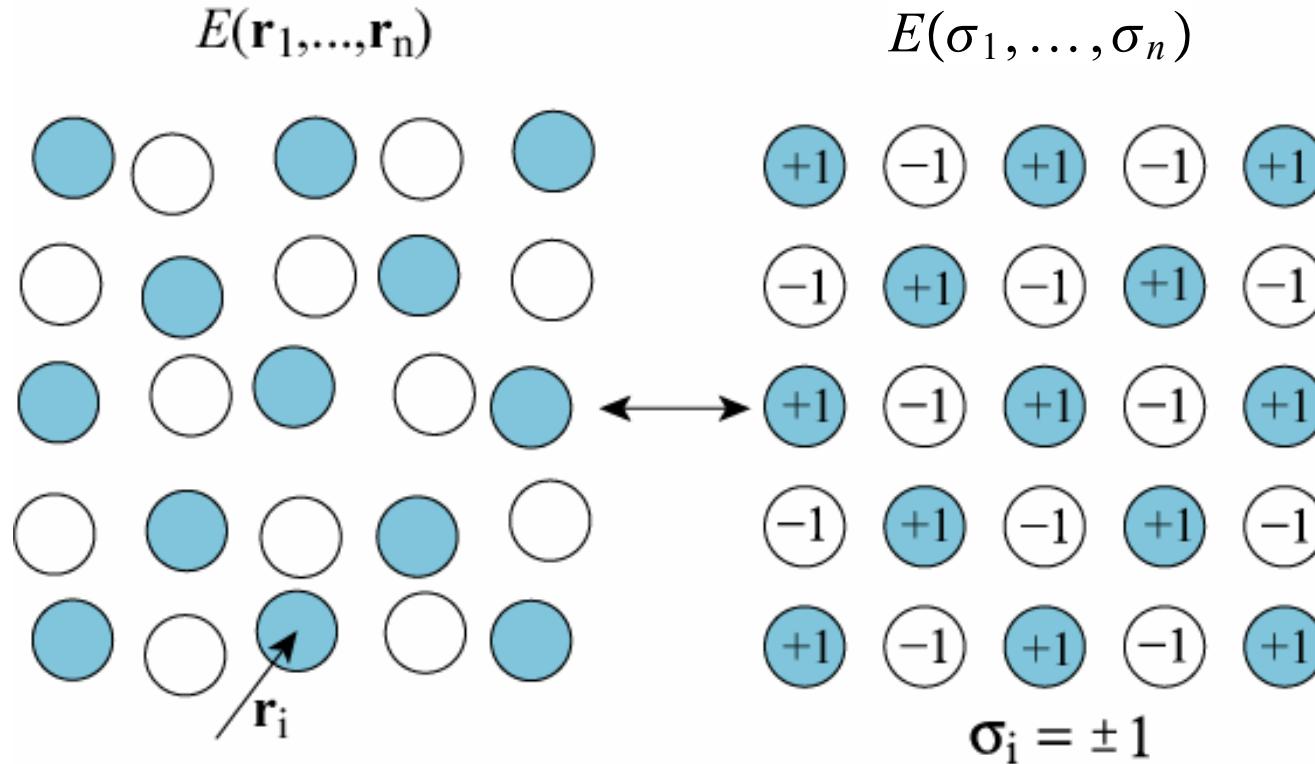
Van de Walle, Asta and Ceder (2002),
Murray (1987) (exp.)

Many other examples...



The Cluster Expansion Formalism with Coarse-Graining

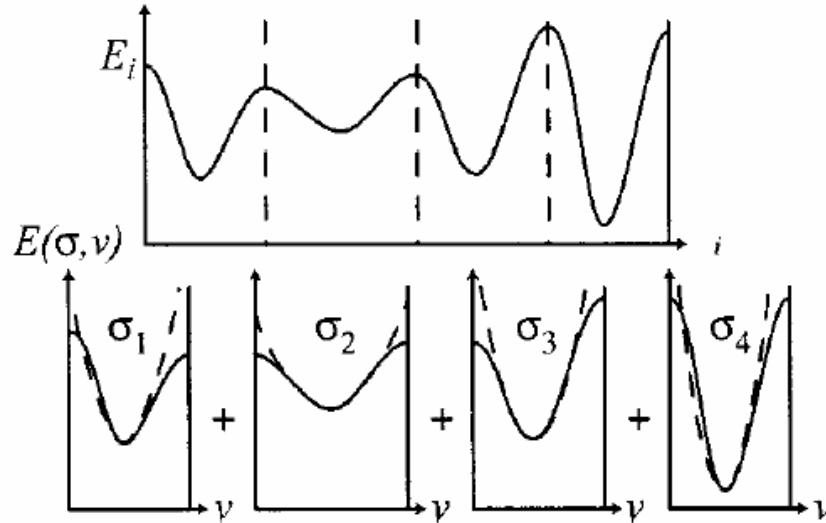
Alloy system \longleftrightarrow Lattice model



$$F(\sigma_1, \dots, \sigma_n) = \sum_{\alpha} J_{\alpha} \boxed{(T)} \sigma_{\alpha}$$

Coarse-Graining of the Free Energy

Graphically:



Formally: (Ceder (1993), Garbulski and Ceder (1994-1996))

$$\begin{aligned} F &= -\beta^{-1} \ln \left(\sum_i e^{-\beta E_i} \right) = -\beta^{-1} \ln \left(\sum_{\sigma} \sum_{i \in \sigma} e^{-\beta E_i} \right) \\ &= -\beta^{-1} \ln \left(\sum_{\sigma} e^{-\beta F(\sigma)} \right) \end{aligned}$$

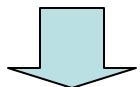
where

$$F(\sigma) = -\beta^{-1} \ln \left(\sum_{i \in \sigma} e^{-\beta E_i} \right)$$

$$\beta = (k_B T)^{-1}$$

First-principles lattice dynamics

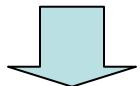
First-principles data



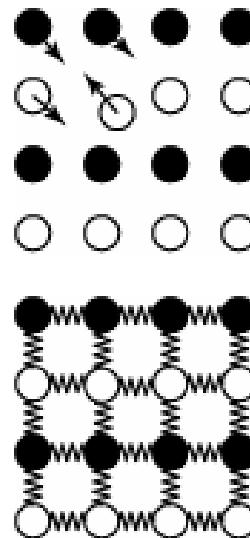
Least-squares fit to
Spring model



Phonon density of states

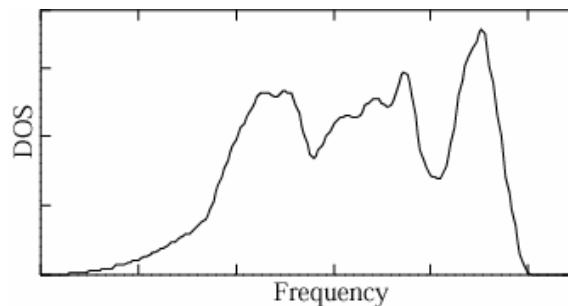


Thermodynamic
Properties



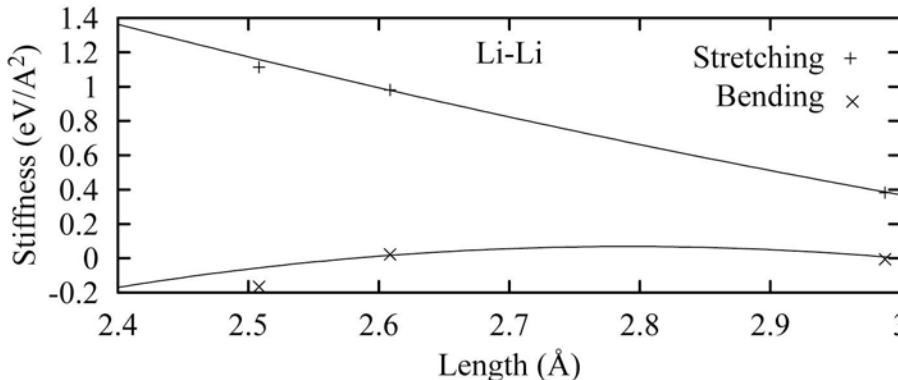
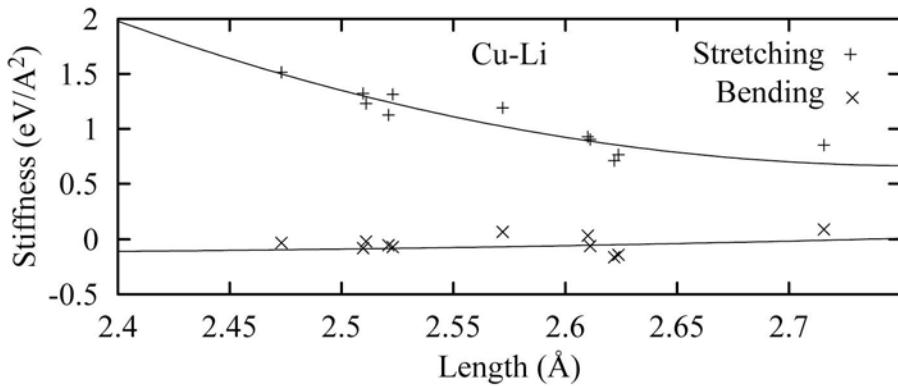
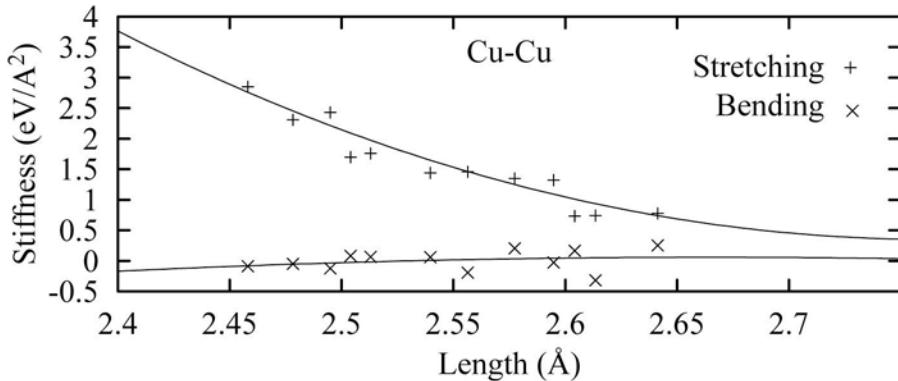
Computationally
intensive!

Must be done for
many configurations!

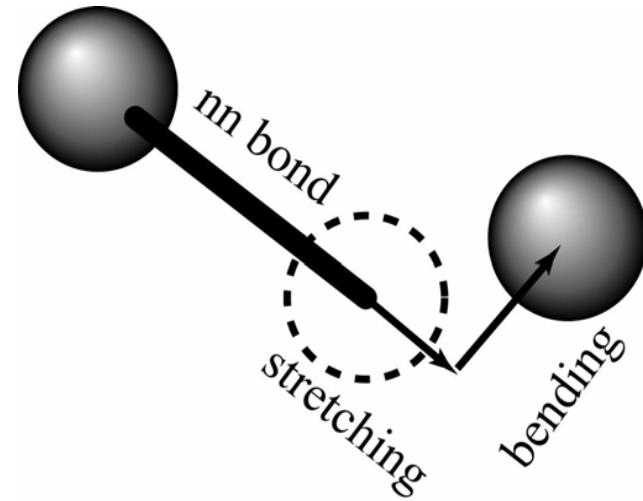


Direct force constant method
(Wei and Chou (1992), Garbuski and Ceder (1994),
among many others)

Transferable Force Constants



Chemical bond type and bond length:
Good predictor
of nearest-neighbor force constants
(stretching and bending terms)

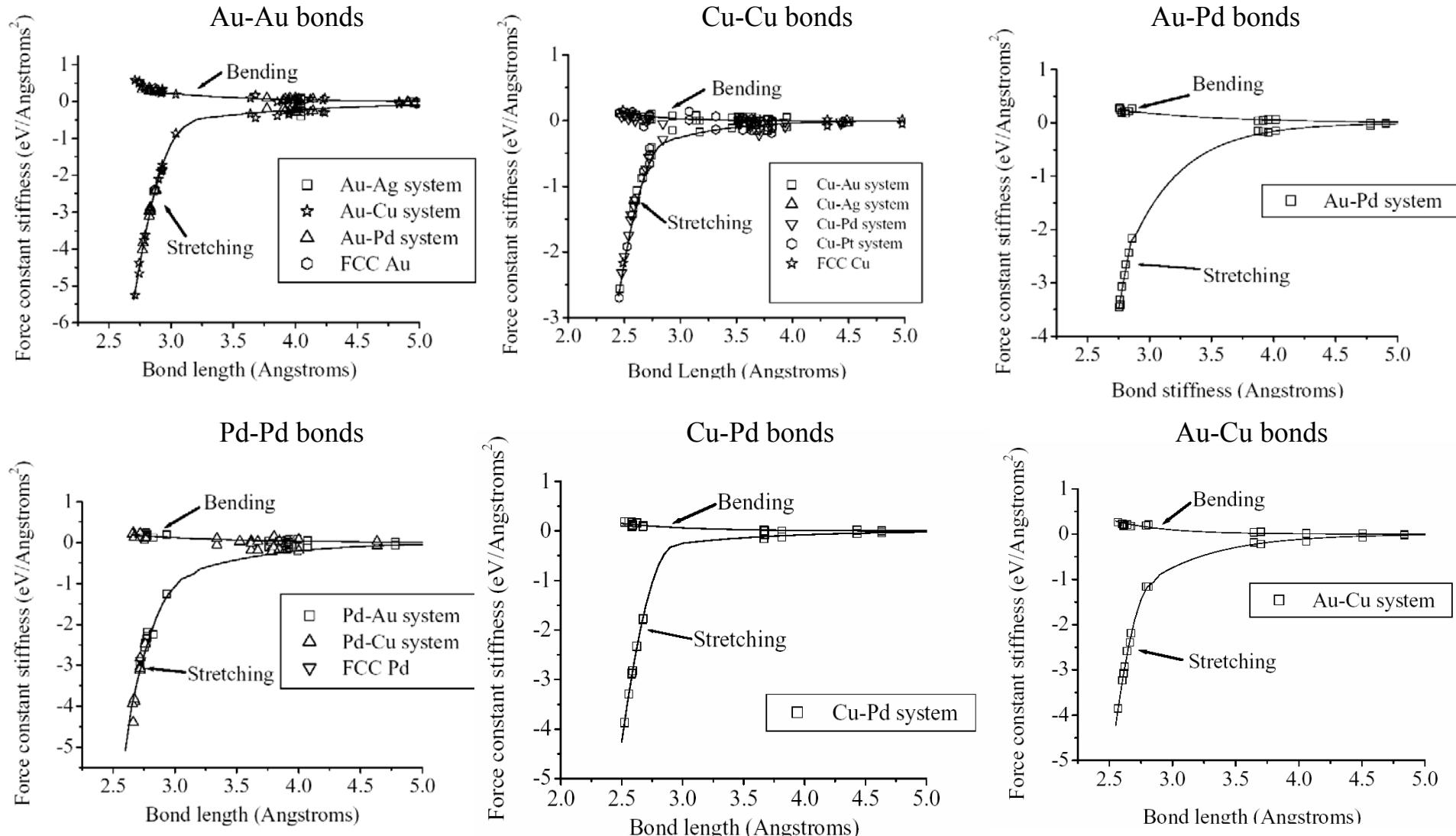


Relationship holds across different structures on the same lattice (here fcc is shown).

van de Walle and Ceder (2000,2002)

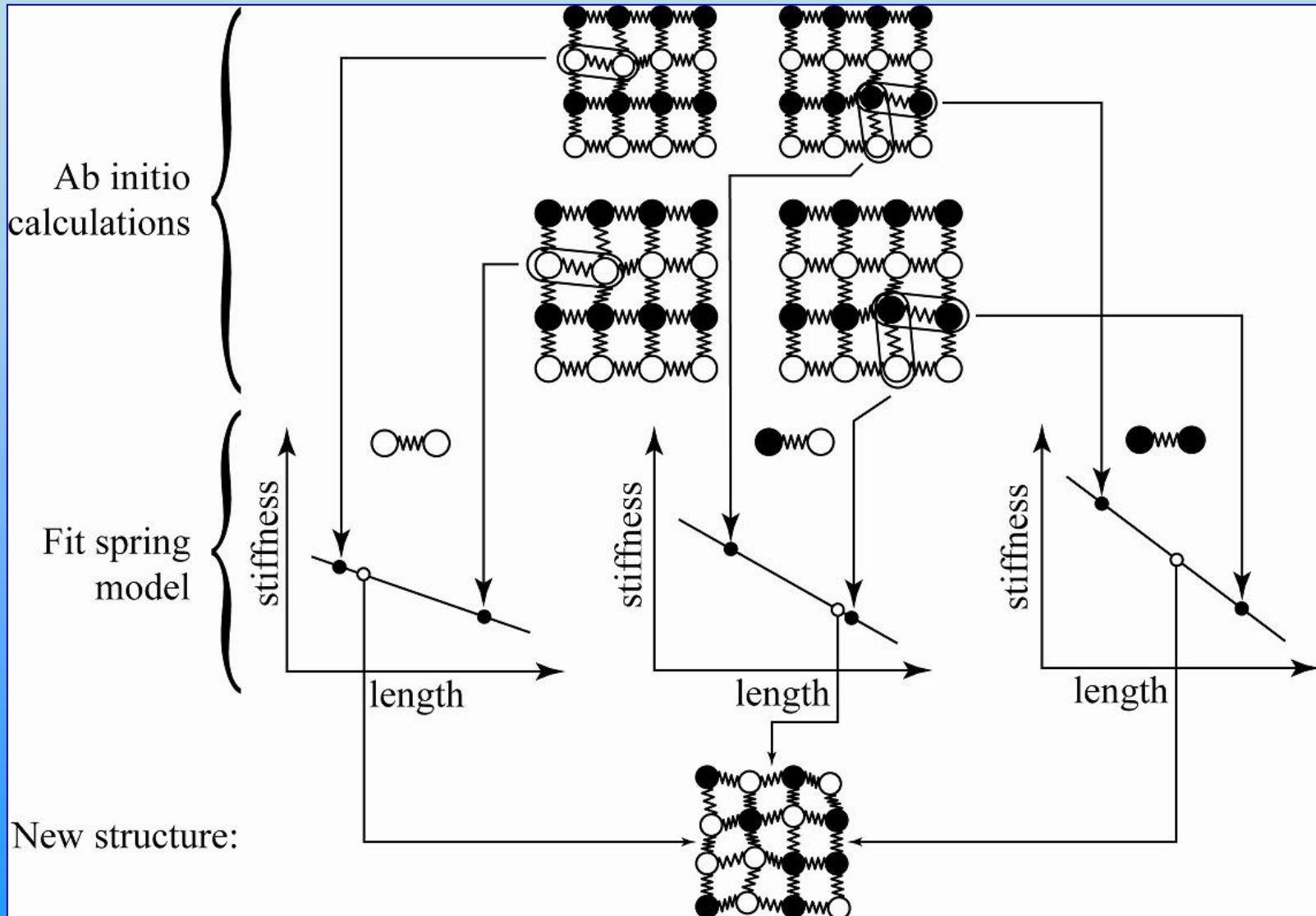
Further tests...

Wu, Ceder, van de Walle (2002)



Accuracy $\sim 0.03 k_B$

Length-Dependent Transferable Force Constants (LDTFC)



Quasi-harmonic model

$$F(T, V) = E(V) + F_H(T, V)$$

Energy of a relaxed motionless lattice
with externally imposed volume V

Vibrational free energy of a harmonic solid
at temp. T with externally imposed volume V

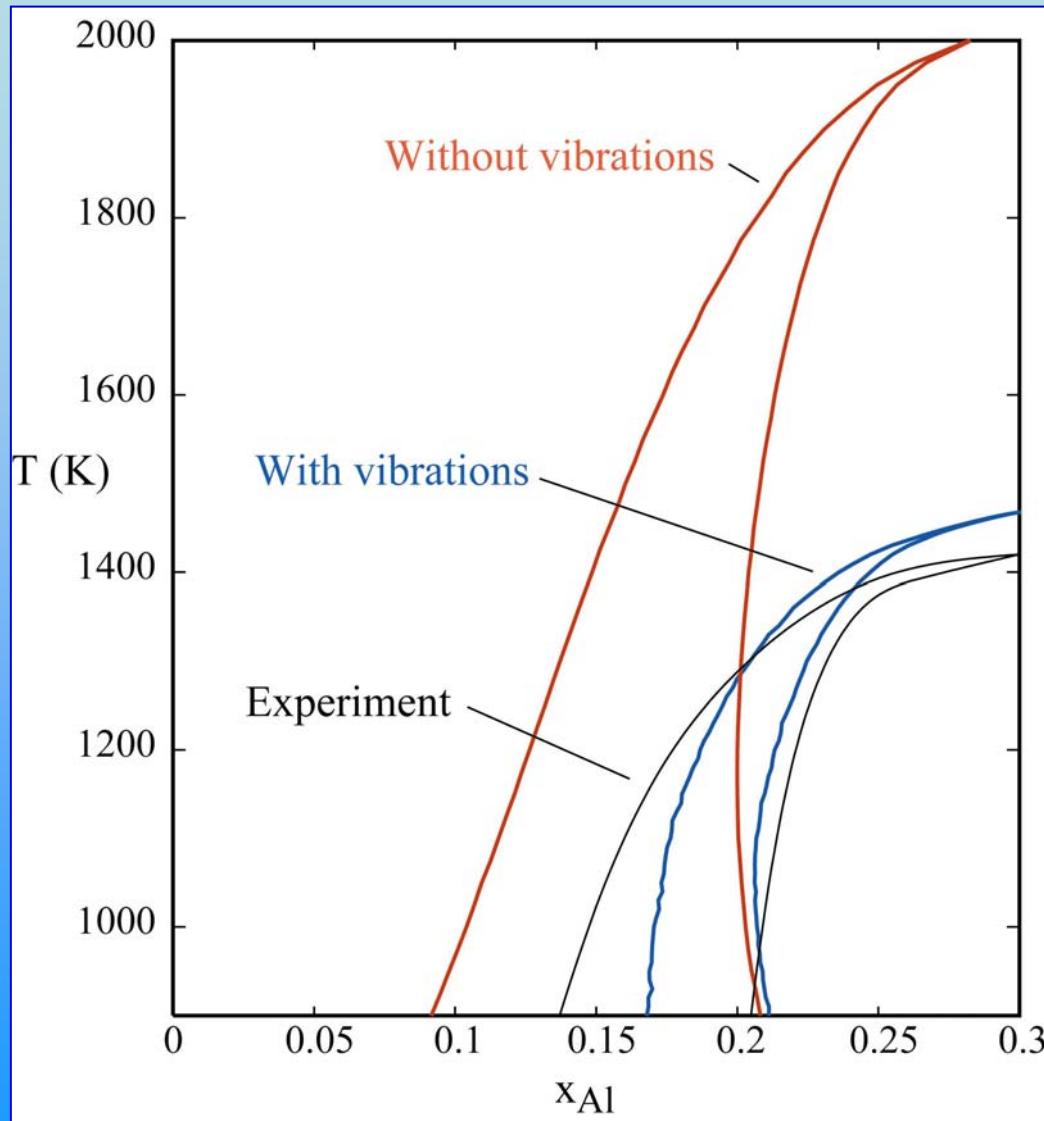
Thermal expansion: $V^*(T) = \arg \min_V F(T, V)$

“True” free energy: $F(T) = F(T, V^*(T))$



Ideal for use with length-dependent transferable force constants

Calculated Ti-Al Phase Diagram



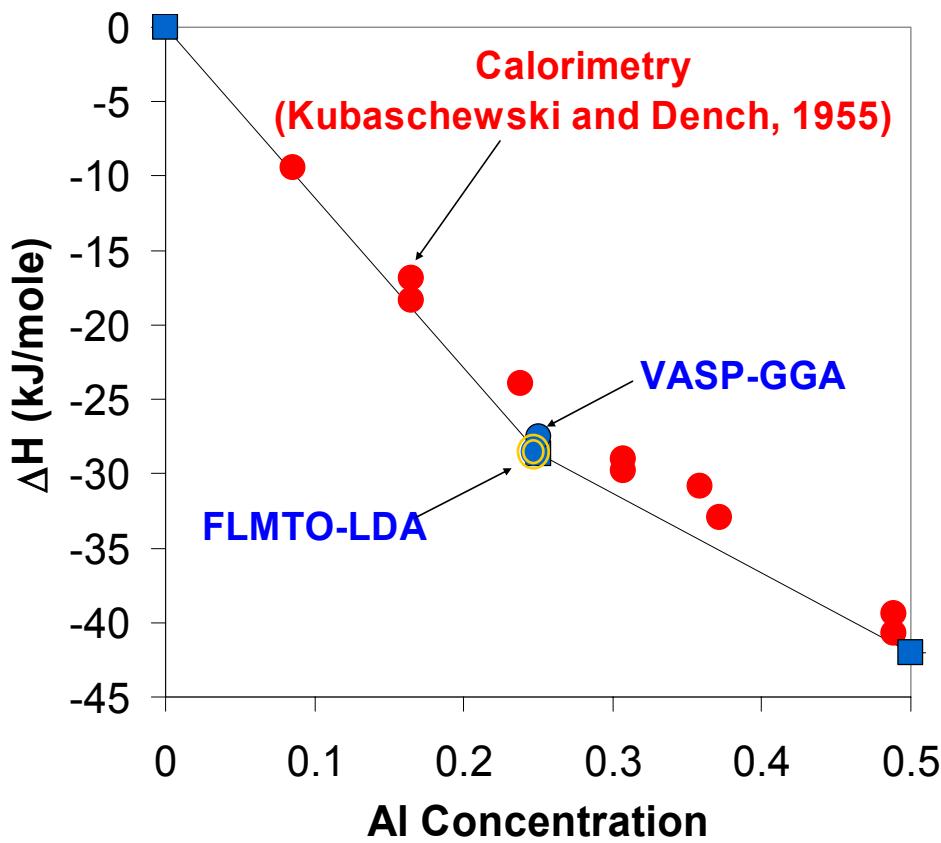
Assessed Phase Diagram:
I. Ohnuma *et al.*, Acta
Mater. **48**, 3113 (2000)

1st-Principles Calculations:
van de Walle and Asta

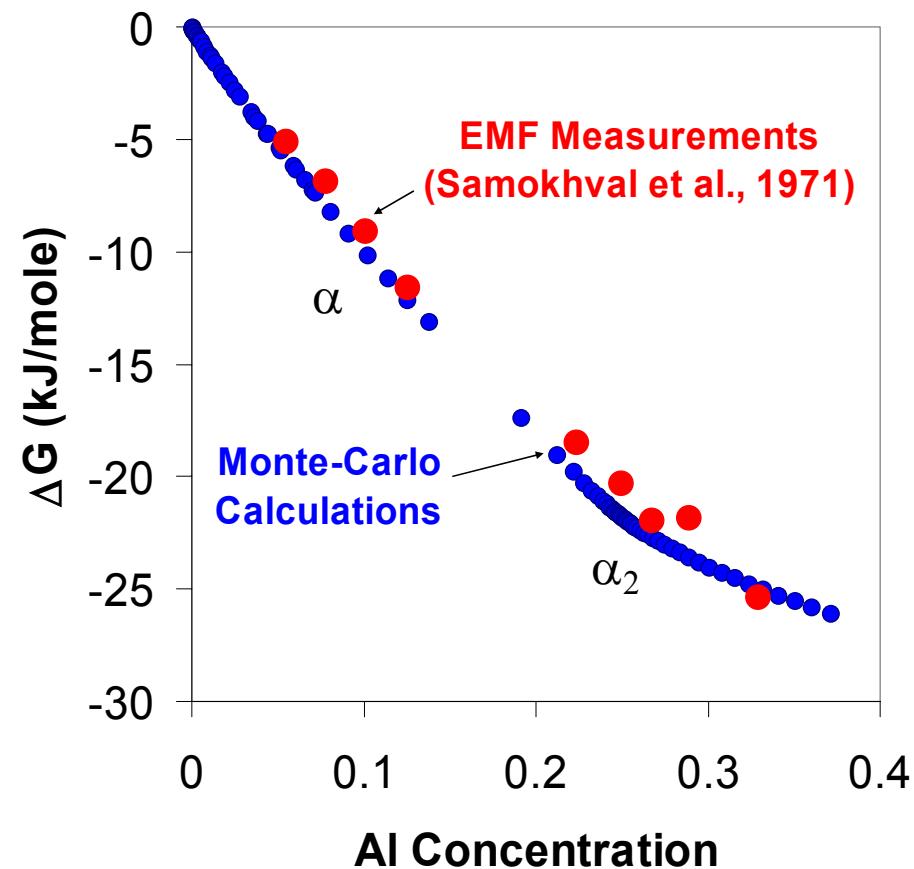
Ti-Al Thermodynamic Properties

*1st-Principles Calculations vs.
Measurements*

Heats of Formation



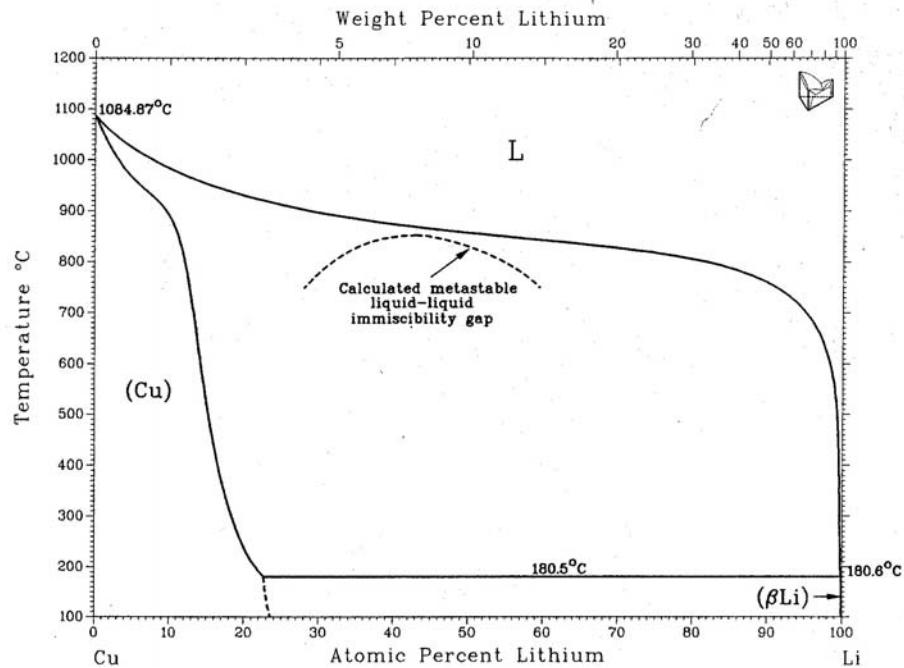
Gibbs Free Energies (T=960 K)



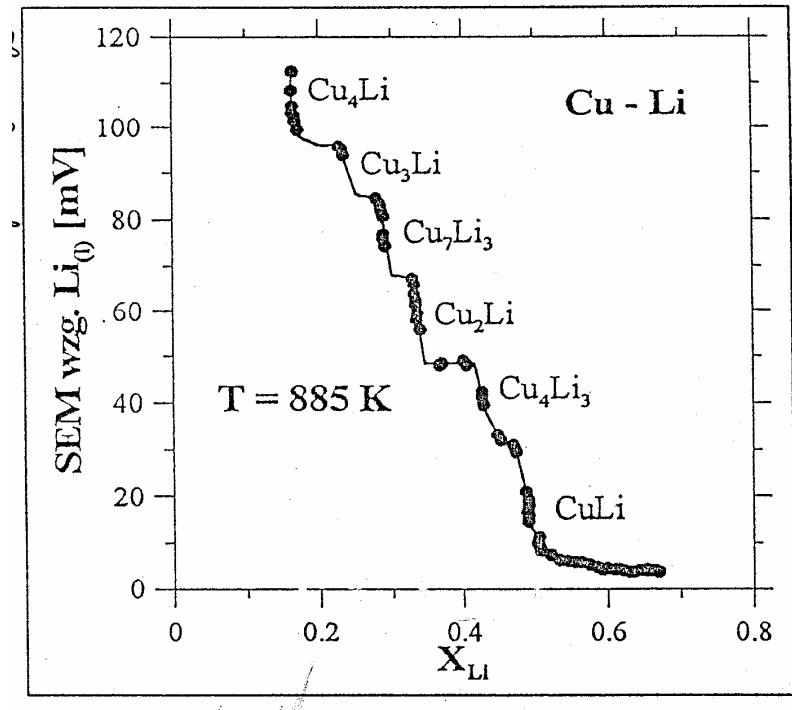
Ordering in the Cu-Li system?

Widely used assessments
do not include ordered phases

(Pelton (1986), Saunders (1998)).



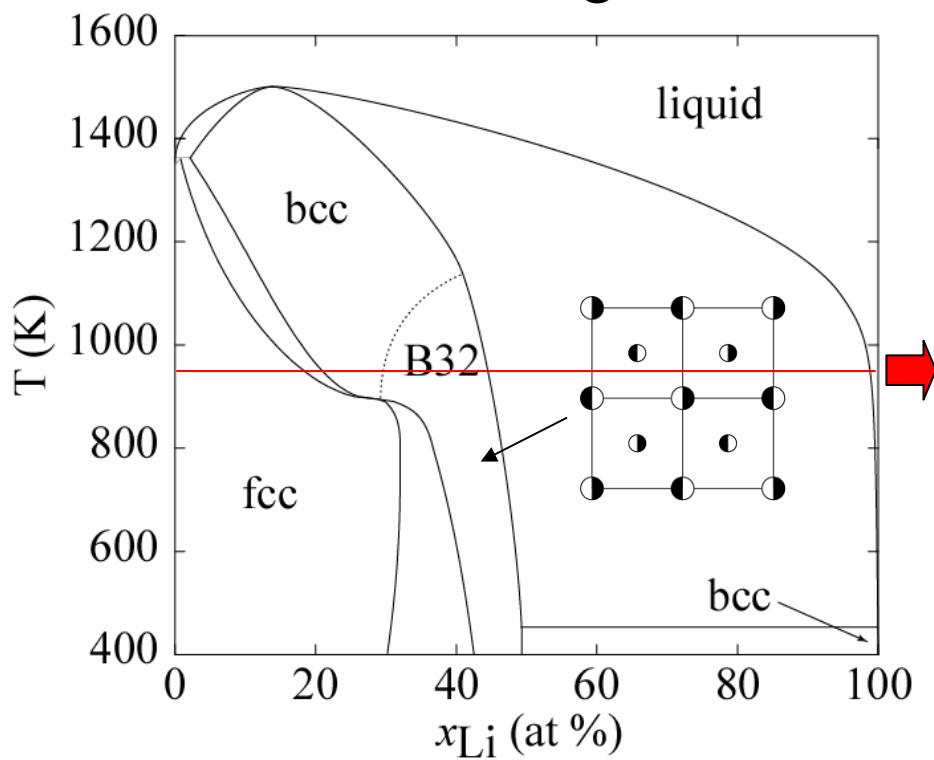
Evidence from EMF measurements



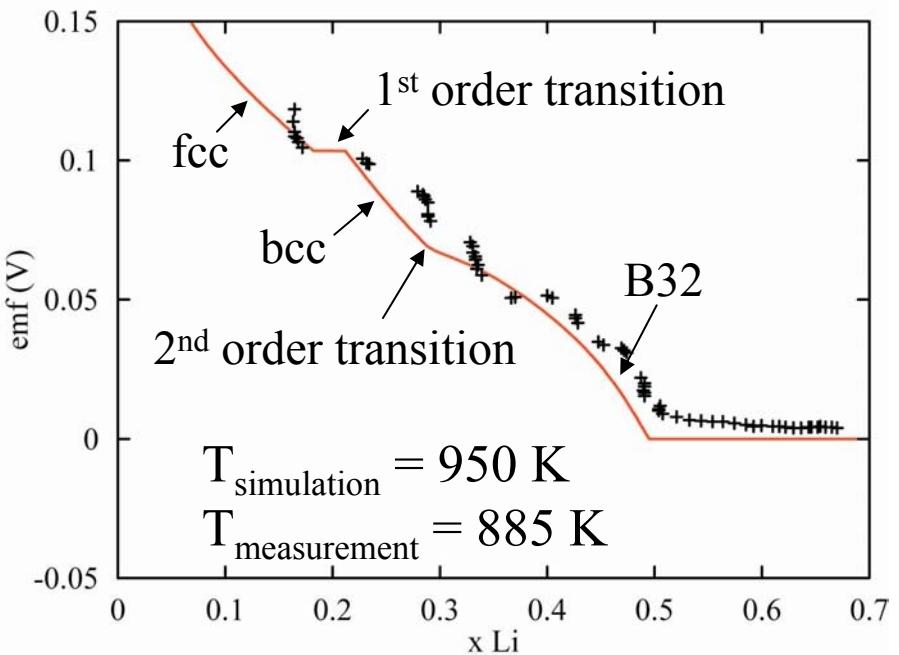
Ordered Cu_4Li phase also suggested by
Borgsttedt & Gumiński (1996),
Krauss, Mendelson, Gruen, *et al.* (1986),
Old & Trawena (1981).

Calculated Thermodynamic data for Cu-Li system

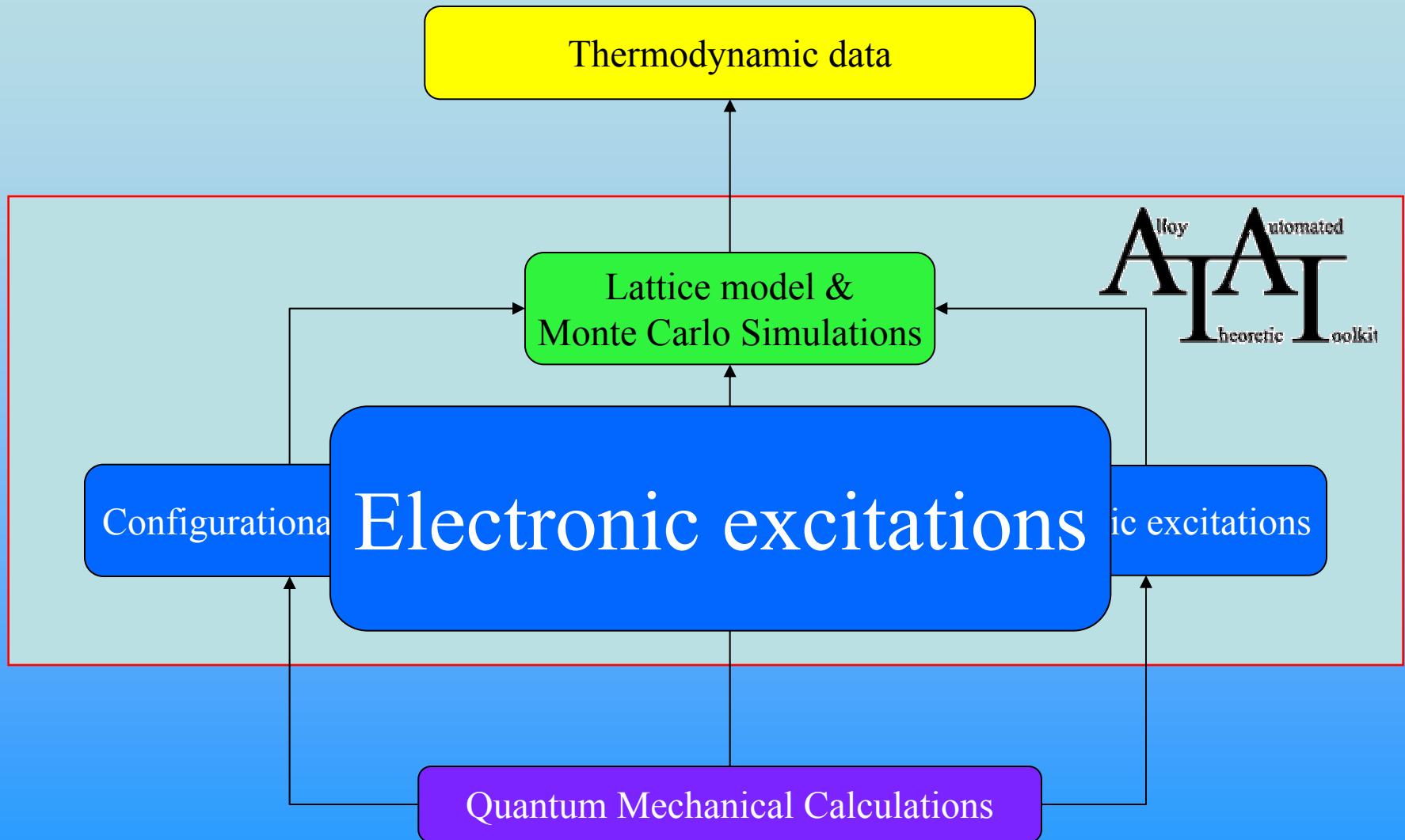
Phase diagram



Electromotive Force (EMF)



2 adjustable parameters:
 $T_{\text{simulation}}$ + chemical potential of Li (liq)



Electronic Excitations

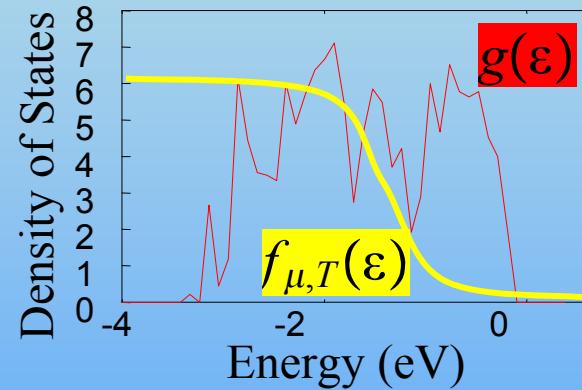
Finite-temperature DFT

T-independent DOS and charge density

Electronic DOS

Advantage: Get F_{elec} “for free”

Fermi-Dirac Distribution



Electronic Free energy

$$F_{\text{elec}}(T) = E_{\text{elec}}(T) - E_{\text{elec}}(0) - TS_{\text{elec}}(T)$$

$$E_{\text{elec}}(T) = \int f_{\mu,T}(\varepsilon) \varepsilon g(\varepsilon) d\varepsilon$$

$$S_{\text{elec}}(T) = -k_B \int (f_{\mu,T}(\varepsilon) \ln f_{\mu,T}(\varepsilon) + (1 - f_{\mu,T}(\varepsilon)) \ln(1 - f_{\mu,T}(\varepsilon))) g(\varepsilon) d\varepsilon$$

Cluster expansion:

$$F(\sigma_1, \dots, \sigma_n) = \sum_{\alpha} J_{\alpha}(T) \sigma_{\alpha}$$

Using ATAT

- Overview of the input/output files
- Syntax of the files
- Sample output

File structure

Files:

ABlat
lat.in
vasp.wrap
eci.out
clusters.out
gs.out
maps.log
strnames.in
slsprings.out
Trange.in
fvib.eci
teci.out



Commands:

maps -d
run *ab initio* code
fitsvsl -d
run *ab initio* code

fitsvsl -f
foreachfile str.out svsl -d
clusterexpand fvib
mkteci fvib.eci

fvib

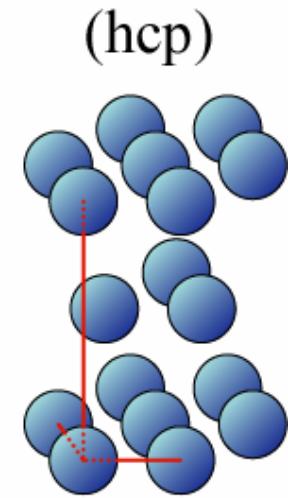
fvib

Perturbations

Example of input files

Simple lattice input file

	a	b	c	α	β	γ	
Coordinate system	2.94	2.94	4.8	90	90	120	
Unit cell	1 0 0	0 1 0	0 0 1				
Atoms	0.666667	0.333333	0.500000	Al,Ti	0.000000	0.000000	0.000000 Al,Ti



Simple ab initio code input file

```
[INCAR]
PREC = high
ISMEAR = -1
SIGMA = 0.1
NSW=41
IBRION = 2
ISIF = 3

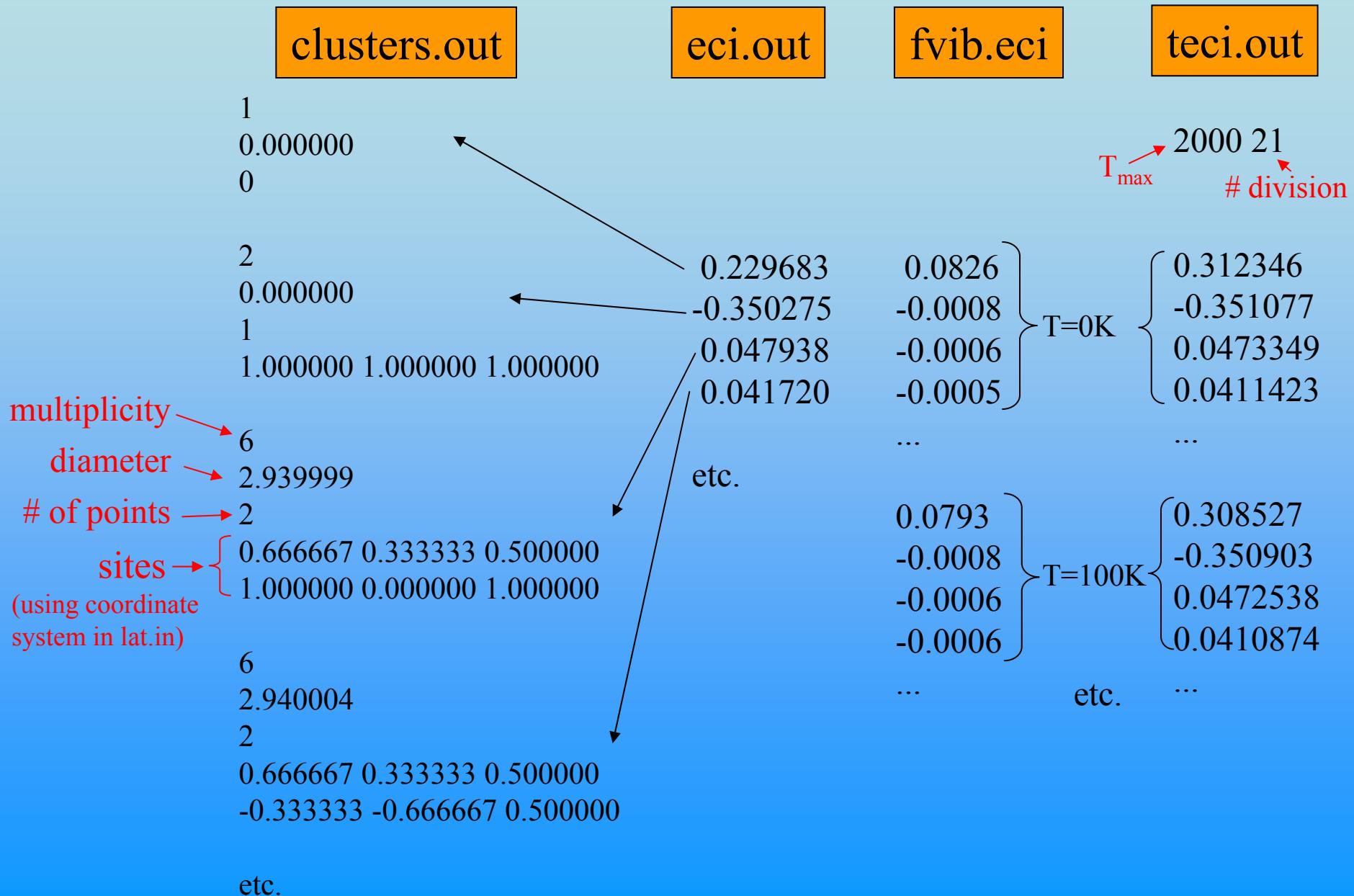
KPPRA = 1000
DOSTATIC
```

} Standard VASP tokens

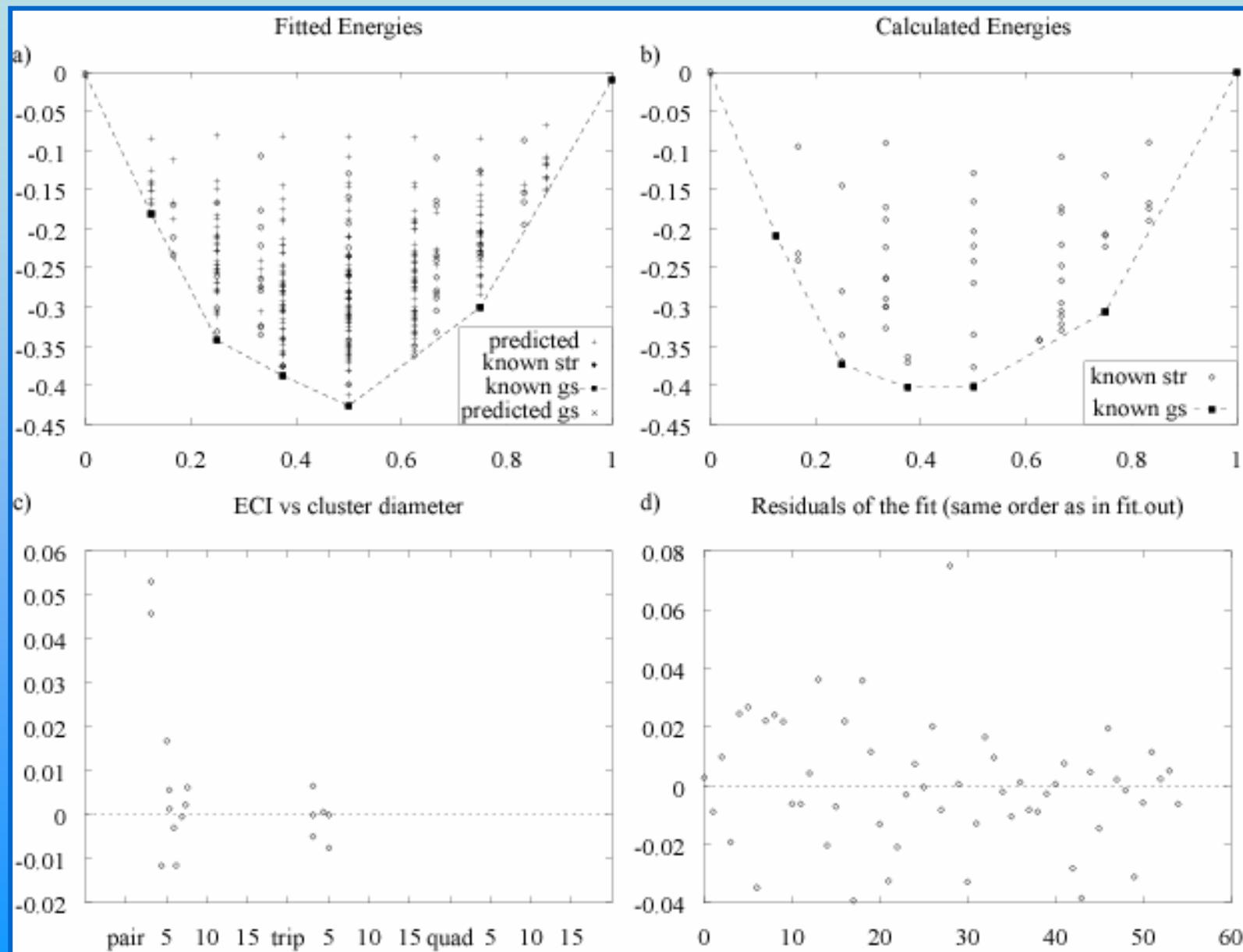
{ k-point density
(k point per reciprocal atom)

do static run

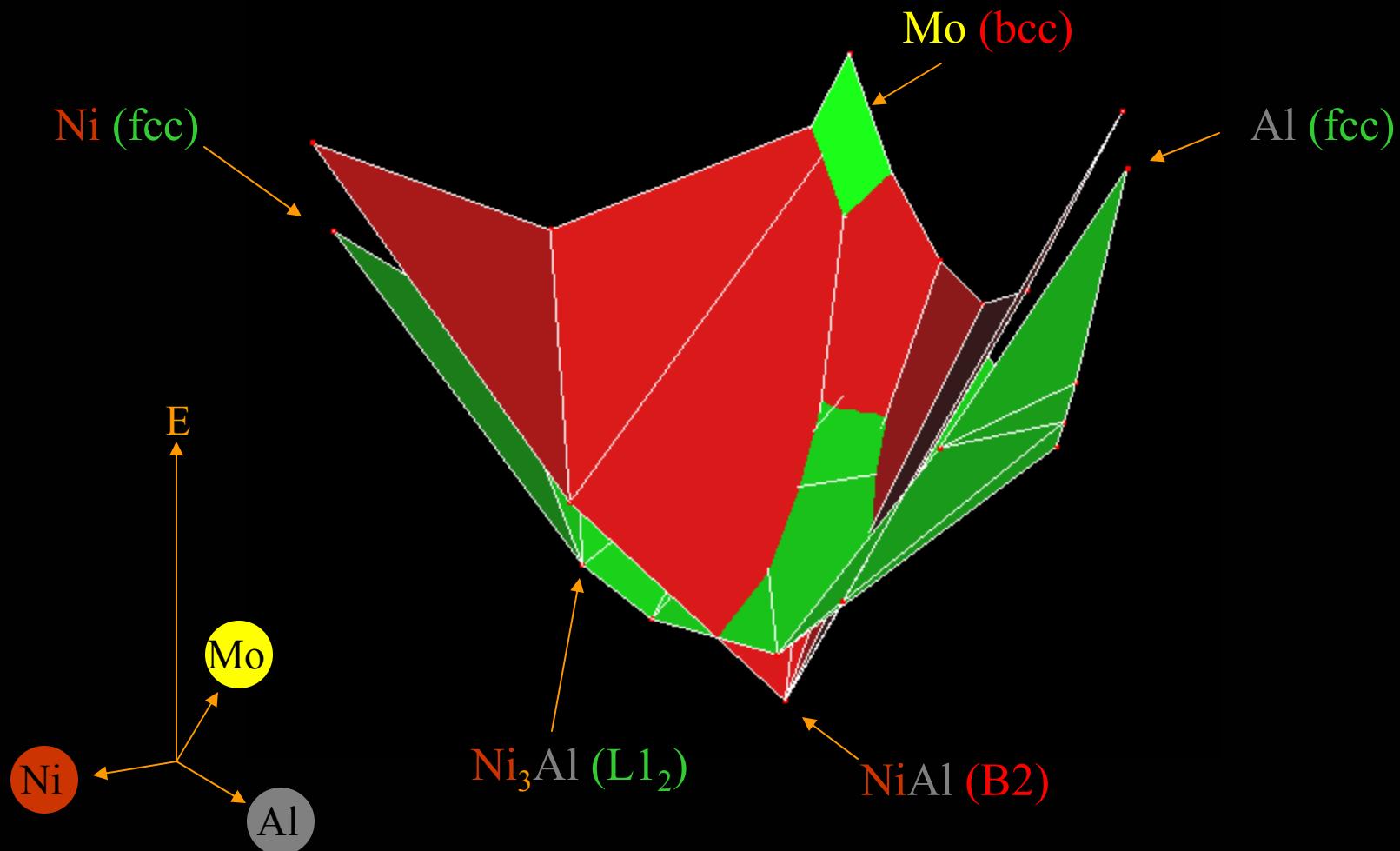
Clusters and ECI files



maps graphical output

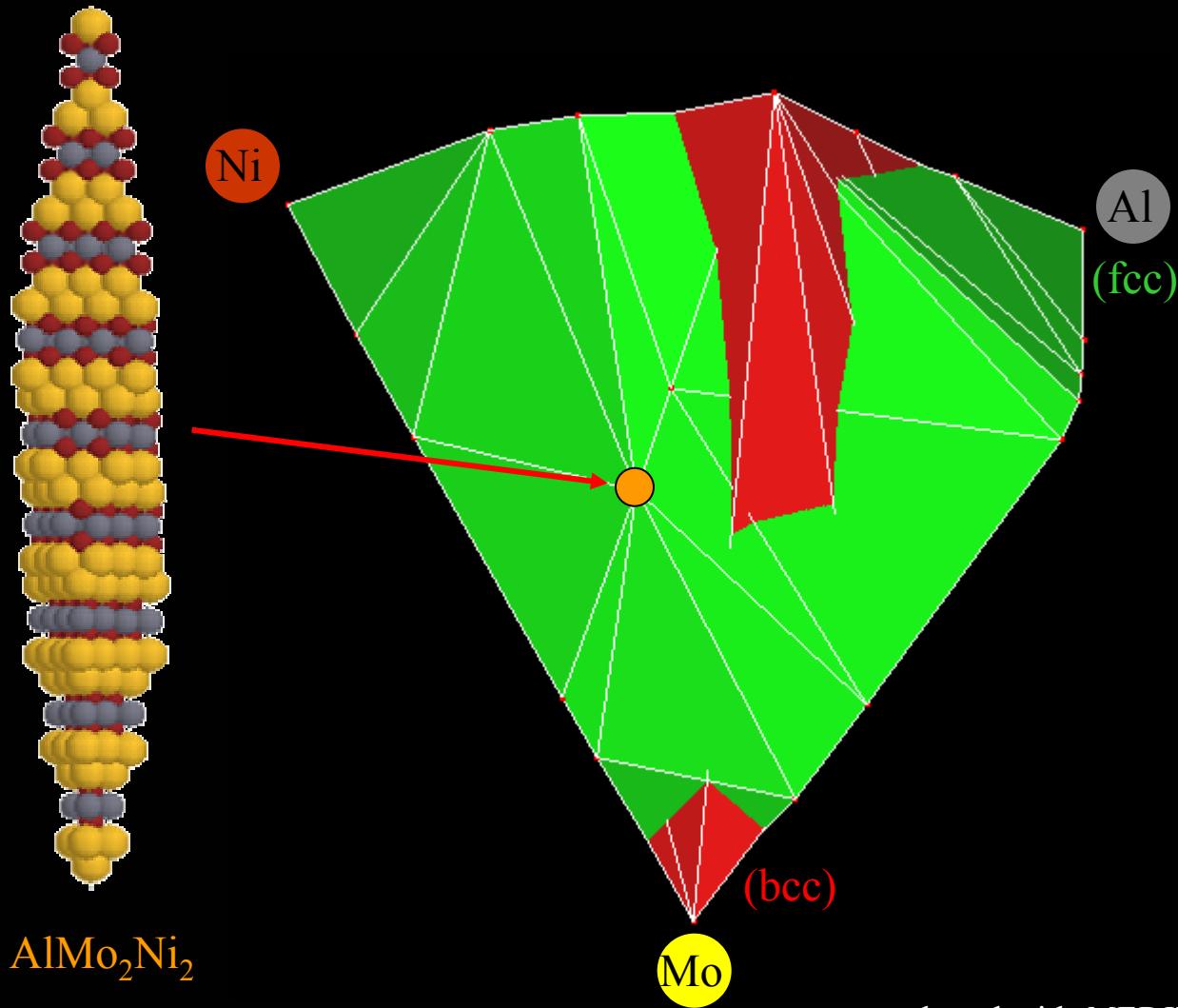


Search for new compounds in the Al-Mo-Ni system



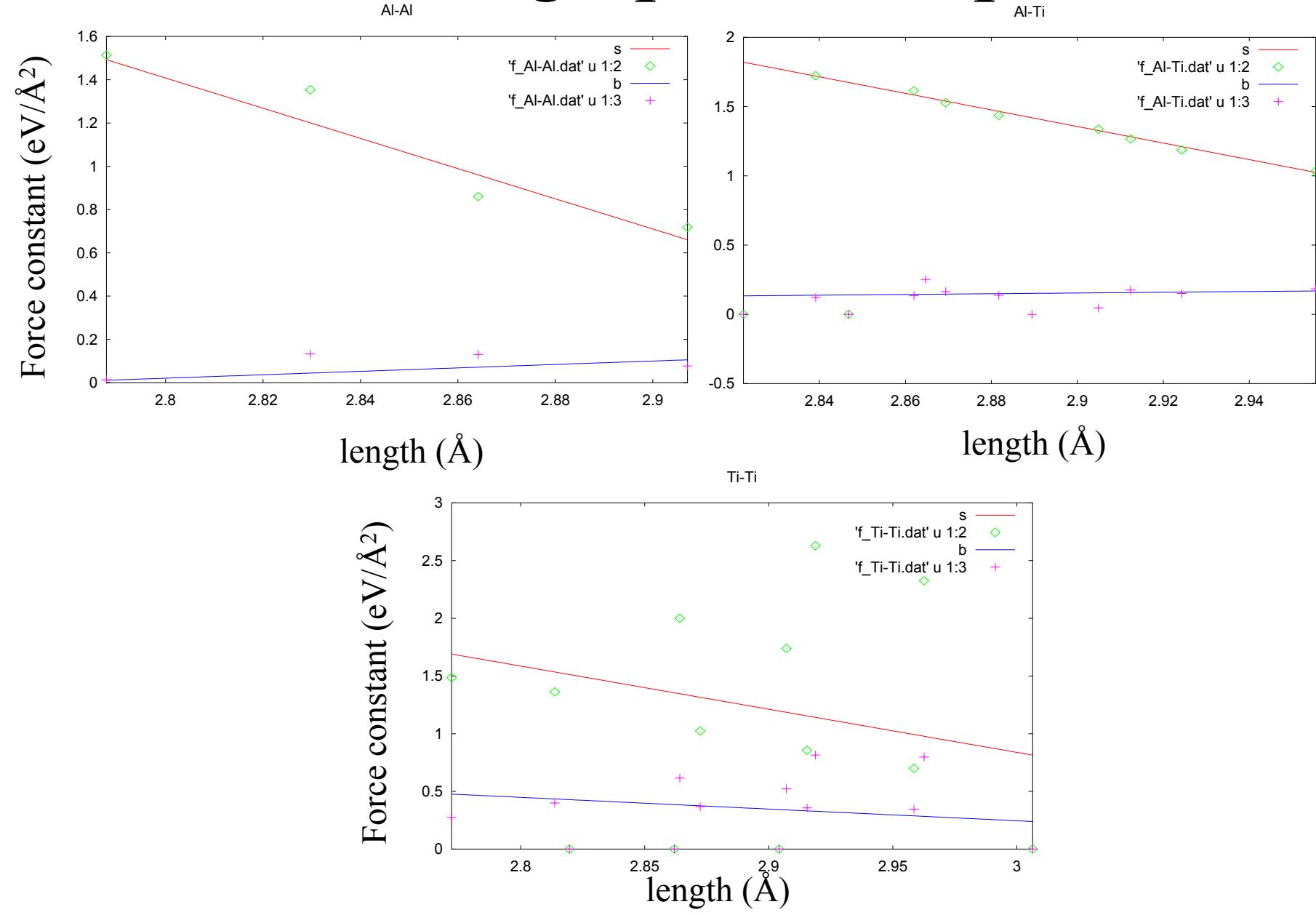
plotted with *MEDIT*, INRIA-Rocquencourt.

Predicted Compound

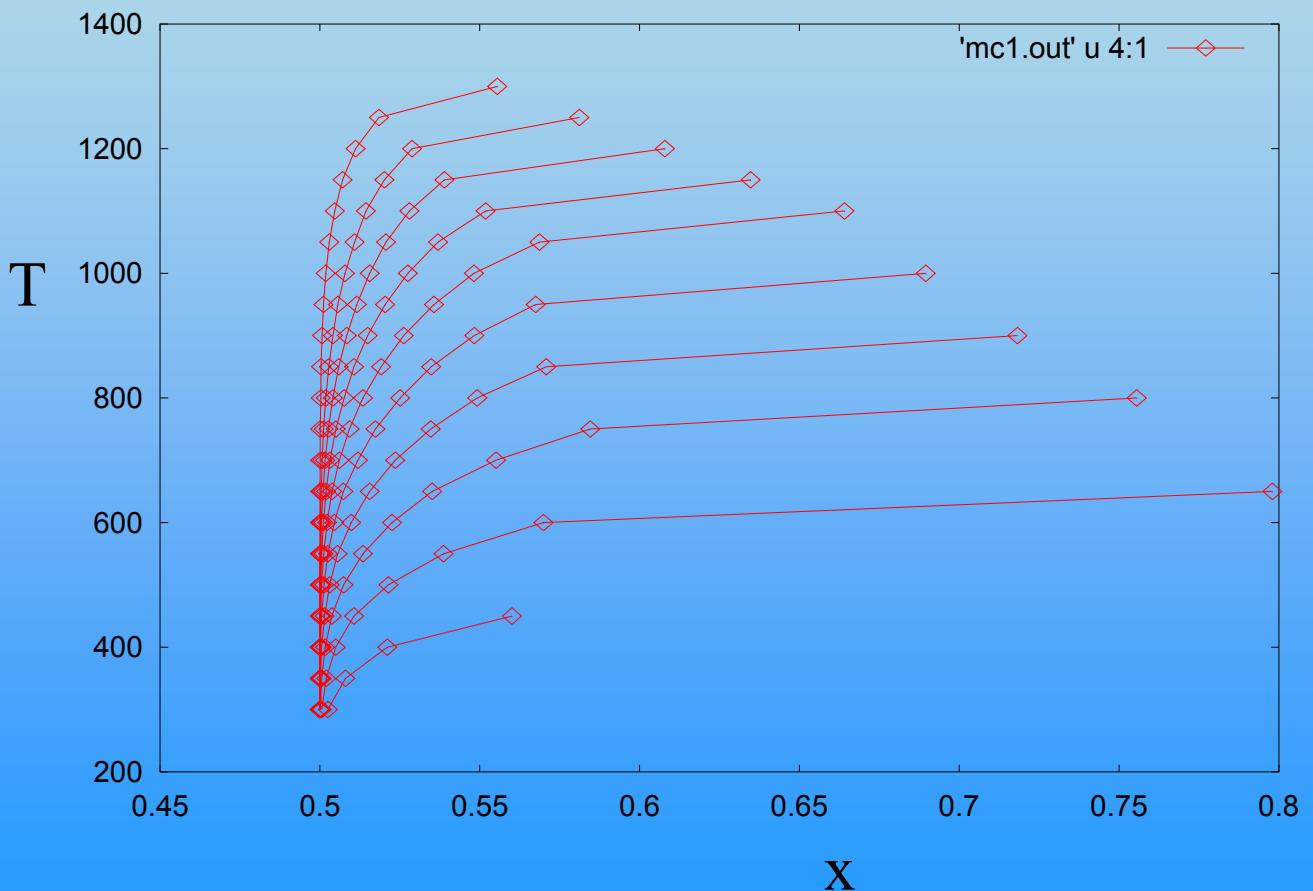


plotted with *MEDIT*, INRIA-Rocquencourt.

fitsvsl graphical output



emc2 graphical output



Other outputs:

- 1) T: Temperature
- 2) mu: chemical potential
- 3) E-mu*x: Average energy
- 4) x: Average Concentration
- 5) phi: grand canonical potential
- 6) E2: heat capacity
- 7) x2: susceptibility
- 8) E_lte-mu*x_lte: from LTE
- 9) x_lte
- 10) phi_lte
- 11) E_mf-mu*x_mf: from MF
- 12) x_mf
- 13) phi_mf
- 14) E_hfe-mu*x_hfe: from HTE
- 15) x_hfe
- 16) phi_hfe
- 17) lro: Long Range Order parameter
- 18-) corr: correlations

Miscellanea (I)

- `maps` : Cluster expansion builder
- `mmaps` : Multicomponent version of maps
- `emc2` : General purpose Monte Carlo code
- `phb` : Phase-transition-tracing Monte Carlo code
- `checkrelax` : Excessive relaxation detector
- `corrdump` : Cluster generator/correlation calculator
- `clusterexpand` : Manual cluster expansion generator
- `genstr` : Super structure generator
- `gensqs` : Special Quasirandom Structure generator
- `pdef` : Point defect supercells generator
- `csfit` : Constituent strain calculator
- `cellcvrt` : General crystal structure file format conversion utility
- `lsfit` : Least-squares fitting code
- `fitfc` : Phonon calculation with direct force method
- `fitsvsl` : Length-Dependent Transferable Force Constants generator
- `svsl` : Phonon calculations using LDTFC
- `felec` : Electronic free energy calculator

Miscellanea (II)

ATAT
Utilites

- {
 - runstruct_vasp : Interface with vasp
 - runstruct_abinit : Interface with abinit
 - runstruct_pwscf : Interface with pwscf
 - runstruct_gulp : Interface with gulp ← empirical potential
- pollmach : A job dispatcher for computer clusters
 - foreachfile : A “loop over directories” utility
 - str2xyz : File conversion utility for viewing with rasmol
- makelat : Database of crystal structures
- getvalue, (just)after, (just)before, (just)between sspp : Text extractors
- memc2 : Multicomponent version of emc2 (in development)

Getting Help

- {
 - Run command without any argument to get usage help
 - Use –h option to get detailed help
 - <http://cms.northwestern.edu/atat/manual/manual.html>

References

- A. van de Walle and G. Ceder. The effect of lattice vibrations on substitutional alloy thermodynamics. *Rev. Mod. Phys.*, 74:11, 2002.
- A. van de Walle, M. Asta, and G. Ceder. The alloy theoretic automated toolkit: A user guide. *CALPHAD Journal*, 26:539, 2002.
- A. van de Walle and G. Ceder. Automating first-principles phase diagram calculations. *Journal of Phase Equilibria*, 23:348, 2002.
- A. van de Walle and M. Asta. Self-driven lattice-model monte carlo simulations of alloy thermodynamic properties and phase diagrams. *Modelling Simul. Mater. Sci. Eng.*, 10:521, 2002.
- Web site: <http://cms.northwestern.edu/atat>
- This afternoon's tutorial: <http://cms.northwestern.edu/atat/tutorial>