

## CURRICULUM VITAE

Patrick Heller  
Associate Professor  
Department of Sociology  
Brown University  
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September 2008

### EDUCATION

- B.A. University of California, Santa Cruz, Sociology (Honors) and South Asian Studies (Honors), 1985.  
M.A. University of California, Berkeley, Sociology, 1988.  
Ph.D. University of California, Berkeley, Sociology, Dissertation: "The Politics of Redistributive Development: State and Class in Kerala, India," 1994.

### PROFESSIONAL APPOINTMENTS

- 2000-present Associate Professor of Sociology, Brown University  
2007-2008 Visiting Fellow, Princeton Institute of International and Regional Affairs  
2006- 2007 Director, Program in Political Economy and Development, Watson Institute of International Affairs  
2001-2005 Director, Development Studies, Watson Institute, Brown University  
2000-2001 Visiting Senior Researcher, Centre for Policy Studies, Johannesburg  
2001 Consultant, European Union and the Unicity Committee of Durban  
2000 Visiting Researcher, Institute of Social and Economic Research, University of Durban Westville  
1995-2000 Assistant Professor of Sociology and International Affairs, Columbia University  
1991-1995 Lecturer, Department of Sociology, University of California, Berkeley

### PUBLICATIONS

#### Books

- 2007 *Social Democracy in the Global Periphery: Origins and Prospects* (Co-authored with Richard Sandbrook, Marc Edelman, and Judith Teichman). Cambridge University Press.  
1999 *The Labor of Development: Workers and the Transformation of Capitalism in Kerala, India*. Cornell University Press.

#### Articles

Forthcoming

"Democratic Deepening in India and South Africa," *Journal of Asian and African Studies*.

2008

"Making Space for Civil Society: Institutional Reform and Local Democracy in Brazil," (with Gianpaolo Baiocchi and Marcelo Kunrath Silva) *Social Forces*, 86:83, pp. 911-936.

- 2008 “Local Democracy and Development in Comparative Perspective,” in Mirjam van Donk, Mark Swilling, Edgar Pieterse and Susan Parnell (eds) *Consolidating Developmental Local Government: Lessons from the South African Experience*. University of Cape Town Press.
- 2007 “Building Local Democracy: Evaluating the Impact of Decentralization in Kerala, India,” (first author with K.N. Harilal and Shubham Chaudhuri) *World Development*, 35:4, pp. 626-648.
2006. “Hegemonic Aspirations: New Middle Class Politics and India’s Democracy in Comparative Perspective,” (with Leela Fernandes) *Critical Asian Studies*, 38:4, pp. 495-522.
2006. “Evaluating Empowerment: Participatory Budgeting in Brazilian Municipalities,” (with Gianpaolo Baiocchi, Shubham Chaudhuri and Marcelo Kunrath Silva) p. 95-128 in Ruth Alsop, M. Bertelsen and J. Holland (eds) *Empowerment in Practice: From Analysis to Implementation* (The World Bank).
2006. “Can Social Democracies Survive in the Third World,” (with Marc Edelman, Richard Sandbrook and Judith Teichman) *Dissent*, Summer.  
Also published as “Pueden sobrevivir las democracias sociales en el Sur globalizado,” *Nueva Sociedad*, 204, pp. 24-36.
2005. “Reinventing Public Power in the Age of Globalization: the Transformation of Movement Politics in Kerala,” in Raka Ray and Mary Katzenstein (eds.), *Social Movements in India: Poverty, Power and Politics*. New York: Rowman and Littlefield Publishers Inc.
2005. “The Politics and Institutional Design of Participatory Democracy: Lessons from Kerala, India,” (with T.M. Thomas Isaac) pp. 405-446 in Boaventura de Sousa Santos (ed.), *Democratizing Democracy: Beyond the Liberal Democratic Canon*. London: Verso.
2003. “Reclaiming Democratic Spaces: Civics and Politics in Post-Transition Johannesburg,” in Robert Beauregard and Richard Tomlinson (eds.), *Emerging Johannesburg*. New York: Routledge.
2003. “Democracy and Development: Decentralized Planning in Kerala,” (with T.M. Thomas Isaac) in Archon Fung and Erik O. Wright (eds.), *Deepening Democracy: Institutional Innovations in Empowered Participatory Democracy*. London, Verso Press.
2002. (with T.M. Thomas Isaac) “O perfil político e institucional da democracia participativa: licoes de Kerala, India,” pp. 601-645 in Boaventura de Sousa Santos (ed.), *Democratizar a Democracia: Os Caminhos Da Democracia Participativa*. Rio de Janeiro: Civilizacao Brasileira.

2001. "Moving the State: The Politics of Decentralization in Kerala, South Africa and Porto Alegre," pp. 131-163, *Politics and Society* 29:1.

Reprinted in slightly modified version as "Den Staat in Bewegung Bringen: Die Politik der Demokratischen Dezentralisierung in Kerala, Suedafrika und Porto Alegre," . pp. 337-377, *Peripherie: Zeitschrift fuer Politik und Oekonomie in der Dritten Welt*, 22. Jahrgang, Nr. 87, 2002.

2000. "Social Capital and the Developmental State: Industrial Workers in Kerala," pp. 66-87 in Govindan Parayil (ed.), *Kerala: the Development Experience*. London: Zed Books.
2000. "Degrees of Democracy: Some Comparative Lessons from India," pp. 484-519, *World Politics* 52, July.
1996. "Social Capital as Product of Class Mobilization and State Intervention: Industrial Workers in Kerala, India," pp. 1055-1071, *World Development* 24:6, June.  
Reprinted: pp. 48-84 in Peter Evans (ed.) *State-Society Synergy: Government and Social Capital in Development*. Berkeley: International and Areas Studies, 1996.
1995. "From Class Struggle to Class Compromise: Redistribution and Growth in a South Indian State," pp. 645-672, *Journal of Development Studies*, 31:5, June.  
Excerpts reprinted in Jonathan Harris et al. (eds.) *A Survey of Sustainable Development: Social and Economic Dimensions*. Washington D.C.: Island Press, 2001.

### Research Reports

2005. "Evaluating Empowerment: Participatory Budgeting in Brazil," (with Gianpaolo Baiocchi and Shubham Chaudhuri) Policy Research Working Paper, World Bank.
2004. "Does Decentralization Make a Difference? A Study of the People's Campaign for Decentralized Planning in the Indian State of Kerala," (with Shubham Chaudhuri and K.N. Harilal) Report submitted to the Ford Foundation, January.
2003. "The Resilience and Transformability of Inequality in Latin America," (with James Mahoney) report submitted for the World Bank Flagship Report on Inequality in Latin America, May 2003.
2001. "A Civic Movement or a Movement of Civics?: The South African National Civic Organisation (SANCO) in the Post-apartheid Period," (with Libhongo Ntlokonkulu) Research Report No. 84, Social Policy Series, Centre for Policy Studies, Johannesburg, June.
2001. "An Investigation into Approaches to and the Implementation of Area Based Development and Management in Durban," (with Doug Hindson), Report Commissioned by the European Union and the Unicity Committee of Durban, Durban, South Africa, June.

### Work Under Review

“Civil Society and Democracy in Post-transition Societies: Lessons from South Africa,” Resubmitted, *American Sociological Review*.

“The Plasticity of Participation: Evidence from a Participatory Governance Experiment,” (with Shubham Chaudhuri) revise and re-submit to *Journal of Development Studies*, 2007.

### Work In Progress

#### Books

“Making Space for Civil Society: Local Democracy and Urban Reforms in Brazil,” (with Gianpaolo Baiocchi and Marcelo Kunrath Silva). Under contract. Stanford University Press.

“Democratic Deepening and Civil Society: A Comparative Study of India, South Africa and Brazil”

#### Articles

“Inertia and Change in a Post-Apartheid City: Racial Segregation in Durban,” (with Daniel Schensul)

#### Book Reviews and Commentaries

2004. Book Review of “Isaac and Franke: Local Democracy and Development: The Kerala People's Campaign for Decentralized Planning,” *American Anthropologist* 106:3, 623.

2004. Book review of “Evan Lieberman: Race and Regionalism in the Politics of Taxation in Brazil and South Africa,” *Political Perspectives*, 2: 872-874.

1998. Commentary: “Problematizing the Kerala Model,” pp. 33-35, *Bulletin of Concerned Asian Scholars*, 30:3.

1996. Book Review of “Governance and Reform: India's Political Economy” by John P. Lewis, pp. 736-737, *Political Science Quarterly* 111:4, Winter.

1994. Book Review of “The State and the Poor: Public Policy and Political Development in India and the United States” by John Echeverri-Gent, pp. 547-549, *American Journal of Sociology* 100:2, September.

#### Newspaper Articles and Institute Reports

2000. “Land Reform Led to Kerala's Success,” *Land & Rural Digest* (South Africa) No. 15, November/December.

2000. “Beware the Tunnel Vision of Bureaucrats” Inside Story, *The Sunday Independent*, Johannesburg, June 18.

2000. “Conflict, Co-operation between ANC and SANCO hold Important Lessons for Local

Democracy,” *Synopsis*, Centre for Policy Studies, 4:3, December.

2000. “Civil Society and the ANC in Local Government Election,” *The Sunday Independent*, November 19.

2000. “Technocratic Creep Threatens Local Government Reform,” *Synopsis*, Centre for Policy Studies, 4:1, June.

## **RESEARCH GRANTS**

### **Currently Awarded**

2007-2009

Watson Institute Initiative on Inequality, co-PI with G. Baiocchi, K. Brown, N. Chorev, S. Pulver and R. Snyder, \$100,00

*Global Governance and Inequality*

Project examines emergence of new regimes of global governance across 6 sectors and assesses their impact on inequality.

2007-2008

Brown Provost's Office Seed Funding for Internationalization Initiative, with G.

Baiocchi, J. Logan and V. Henderson, \$16,070

*Spatial Inequalities in the Global South Working Group: A Brown University/CEBRAP (Brazil) Partnership*

2005-2008

National Science Foundation, Human Social Dynamics, PI

*Remaking the Apartheid City* (\$459,621)

Using GIS techniques and qualitative field work, this project explores the economic and social re-configuration of the post-Apartheid city. Through a close examination of the cases of Durban, Cape Town and Johannesburg, the project measures the impact of planning and infrastructural policies on the economic and racial segregation.

Specifically, the goal is to develop a social map of the evolution the Apartheid city to better understand the interface of social space, economic development and state intervention.

### **Currently Under Review**

Integrated Graduate Education and Training Grant (IGERT) on Inequality in the Developing World. Invited submission to final round of NSF competition. Proposal for \$3,000,000 to support five year training program for students in Social Science PhD programs for interdisciplinary study of inequality (with Barbara Stallings).

### **Completed Grants**

2004-2005

World Bank, co-PI with Shubham Chaudhuri and Gianpaolo Baiocchi,

*An Evaluation of Participatory Budgeting in Brazilian Municipalities*. (\$105,000)

This two stage project is designed to evaluate the impact of popular budgeting and assess

the role of civil society in improving governance in Brazilian cities. In the first stage we constructed a dataset with financial, political and social development data from over 5,000 municipalities to statistically evaluate the impact of participatory budgeting. We then conducted intensive fieldwork in 5 paired cities (one with PB and one without) to determine how civil society, political configurations and regional factors shape the budgeting process. Over 120 interviews with key respondents were conducted.

2002-2004

Ford Foundation, India, co-PI with Shubham Chaudhuri (Columbia University) and in collaboration with the Centre for Development Studies, *Does Democratic Decentralization Make a Difference?* (\$80,000)

Working with 42 enumerators we collected socio-economic and expenditure data from 85 villages and conducted 950 interviews to assess the impact that decentralization has had on democratic participation and local development planning, as well as to identify the causes in variation of participation levels and government performance between villages.

2002

Salomon Grant (Brown University), \$10,000, Principal Investigator, Technocrats, Politicians and Civil Society in the Building of the Post-Apartheid City: Democratic Transformation in Durban and Johannesburg, To study the causes and effects of two different models of planned social and economic integration.

2001

MacArthur Foundation, \$74,000, Collaborative Research Grants, co-PI and principle coordinator. Grant to build a collaborative research network to study experiments in democratic decentralization in South Africa, Brazil and India.

2000. Swiss Development Cooperation, \$3,000 grant to partially fund conference on democratic decentralization in Kerala, India.

2000. American Institute of Indian Studies, Senior Short-Term Research Grant, awarded \$4,500 for 4 month research project on participatory structures in local government in Kerala, India.

1999. Institute of Social and Economic Theory and Research, Columbia University, (with Shubham Chaudhuri) awarded \$10,000 for seed grant project to assess financial and developmental impact of decentralization in Kerala, India.

1997. American Institute of Indian Studies, Senior Short-Term Research Grant, awarded for 4 month research project on the politics of class compromise in Kerala, India.

### **Invited Lectures**

2007. "Making Citizens from Below," South Asian Studies Program, University of Michigan, April

2005. "Measuring and Evaluating Empowerment: Participatory Budgeting in Brazilian Municipalities." (with Gianpaolo Baiocchi and Shubham Chaudhuri) World Bank,

Washington D.C. March 21.

- 2004. "Building Local Democracy: Evidence from Kerala, India," Network of South Asian Political Scientists, Paris, July 1.
- 2003. "Power and Politics in the (un)making of poverty," Key Note Address, Norwegian Association for Development Research, Annual Conference, Oslo, October 24.
- 2003. "The Plasticity of Participation," Norwegian Institute of Urban and Regional Research, Oslo, October 22.
- 2003. "Contested High Modernism the Politics of Development Planning in Durban, South Africa," (with Bongani Ngqulunga) Urban Studies and Planning Department, MIT, May 4.
- 2003. "Reinventing Public Power in the Age of Globalization: the Transformation of Movement Politics in Kerala," Munk Center for International Studies, University of Toronto, January 24.
- 2002. "Democracy and Participation in India," International Conference on Democracy and Participation," Sao Paulo, December 11.
- 2001 "From Redistribution to Decentralization: the Transformation of Movement Politics in Kerala," Social Movements and Poverty in a Transnational Age, University of California, Berkeley, April 14.
- 2000. "Local Government Reform - Some Lessons from India," Department of Sociology, University of Cape Town, October 30.
- 2000. "Civil Society and Social Policy: Some Thoughts from India," Centre for Policy Studies, Johannesburg, August 5.
- 2000. "Some Conceptual Issues in the Study of Civil Society and Democratisation," Centre for Policy Studies, Johannesburg, May 20.
- 1999. "Making Democracy Work for Development: the View from Kerala, India," Department of Sociology, Brown University, November 30.
- 1999. "The Practice and Theory of Decentralization," Social Policy Program, University of Durban-Westville, March 10.
- 1998. "Beneath Globalization: Social Movements and State Embeddedness," Seminar on Globalization and Equity, Center for International Studies, Duke University, October 26.
- 1997. "The Politics of Decentralization and the Decentralization of Politics," Department of Urban and Planning Studies, Massachusetts Institute of Technology, November 3.

1997. "Democratization and State Capacity," Department of Urban Studies and Planning, Massachusetts Institute of Technology, March 18.
1996. "Democracy and Development: the View from Kerala," Southern Asia Institute, Columbia University, New York, February 14.
1994. "Redistribution and Growth: Is the Kerala Model Sustainable?" South Asia Program and Government Department, Cornell University, April 8.

**Papers Read**

2006. "Institutional Reform and Civil Society in Brazil," panel on Participatory Democracy, American Political Science Association Meetings, Philadelphia, August.
2005. The Antinomies of Civil Society and Democracy: Civics in Post-Apartheid South Africa, Regular Session, American Sociological Association Annual Meeting, San Francisco, August.
2002. (with Gianpaolo Baiocchi) "Real and (possible) Utopias: on the Associational and Institutional Preconditions for Democratic Decentralization," Special Session, American Sociological Association Annual Meeting, Chicago, August 17.
2002. "Reclaiming Democratic Spaces: Civics and Politics in Post-Transition Johannesburg," American Sociological Association Annual Meeting, Chicago, August 17.
2000. "Moving the State: The Politics of Decentralization in Kerala, South Africa and Porto Alegre," Conference on Democratic Decentralization, Trivandrum, India, May 24-28.
1998. "The Politics of Public Action: Explaining Social Development in Kerala, India," Special Session, American Sociological Association Annual Meeting, San Francisco, August 3.
1997. "The Political Origins of Social Capital," The World Bank, Conference on Social Capital, Washington D.C., July 1.
1995. "Social Capital as Product of Class Mobilization and State Intervention: Industrial Workers in Kerala, India," Conference on "Government Action, Social Capital Formation and Third World Development," Sponsored by the American Academy of Arts and Sciences, Harvard University, May 5.
1994. "From Class Struggle to Class Compromise: Redistribution and Growth in a South Indian State," regular session on political sociology at the American Sociological Association Annual Meetings, Los Angeles, August 6.
1990. "The Politics of Class Formation: A Comparison of Punjab and Kerala," Association for Asian Studies Annual Meeting, Austin, October 10.

**SERVICE**

**(i) To Brown University**

Spring 2006	Chair, Search Committee for Assistant or Associate Professor, Joint Search with the Watson Institute
Spring 2005	Chair, Search Committee for Assistant Professor in Comparative Development
Spring 2005	Member, Search Committee for Director of the Watson Institute
2001 – 2005	Director, Development Studies Concentration, Watson Institute
2003-present	Developed and co-Chaired Graduate Program in Development
2001-present	Co-chair of Graduate Admissions committee for Masters Program in Development Studies
Spring 2002	Convenor of the Work Group on States, Institutions and Organizations in the Sociology Department

**(i) To Columbia University**

1997-1999	Director, Economic and Political Development Program, School of International and Public Affairs
1996-1999	Coordinator of Dissertation Workshop, Sociology Department
1996-1999	Coordinator of Development Reading Group (Monthly meetings with PhD students in Political Science, Sociology, Public Health and Anthropology)
1997-1998	Director, Summer School, Sociology Department
Fall 1998	Member, Dean's Curriculum Task Force, School of International and Public Affairs

**(ii) To the Profession**

2007	Organizer of the Regular Session on Development, American Sociological Association Meetings, August, New York
2005-	Member of Editorial Collective, <i>Studies in International and Comparative Development</i>
2004	Organizer of the Regular Session on Development, American Sociological Association Meetings, August, San Francisco
2003	Conference Organizer, <i>The Road Less Traveled: Democratic-Egalitarian Reformism In A Neoliberal Age</i> . Brown University, Watson Institute, Oct 31-Nov 1.
1997-present	Occasional Reviewer for <i>American Sociological Review</i> , <i>Social Forces</i> , <i>American Journal of Sociology</i> , <i>World Development</i> , <i>World Politics</i> , <i>Social Power and Political Theory</i> , <i>Theory and Society</i> , <i>Journal of Development Studies</i>
2001-2002	Screenener for the Social Science Research Council International Dissertation Field Research Fellowships.
2001-2004	Reviewer for the Norwegian Government Social Science Grants Council
2001	Co-organizer, Conference on Democratic Participation. Centro de Assessoria e Estudos Urbanos, Porto Alegre, Brazil. December 16-20.
2001	Co-Organizer, Workshop on Democratic Decentralization in South Africa, India and Brazil, Centre for Policy Studies, Johannesburg, May 18-22.
2001	Co-organizer and Discussant, Conference on Civil Society and Donors, Centre for Policy Studies, Johannesburg, April 17-19.

- 2000 Co-Organizer, International Conference on Democratic Decentralization, Trivandrum, India, May 24-28.
- 1998 Organizer and Discussant, Conference on Bihar and Kerala in Comparative Perspective, Cornell University, April 15-16.
- 1998 Workshop organizer, "Decentralization and Democracy in Kerala, India," The Southern Asian Institute and the Economic and Political Development Concentration, Columbia University, December 4.

**(iii) To the Community**

- 2000-present Advisor to the Area Based Management Implementation Committee, Durban, South Africa.
- 2000 Advisor, South African Broadcasting Corporation, Khululeka (Educational Program).
- 1997-1999 Lecturer on South Asia politics and society in New York High School Teachers Advanced Training Program.

**ACADEMIC HONORS, FELLOWSHIPS**

- 2003 Barrett Hazeltine citation for outstanding teaching, voted by the senior class of 2003
- 2001-2004 Core Member, Network of South Asian Politics and Political Economy, Center for South Asian Studies, University of Michigan (funded by Ford Foundation).
- 1993-1994 Chancellor's Dissertation-Year Fellowship, University of California, Berkeley.
- 1992-1993 John L. Simpson Scholarship, Institute of International Studies, University of California, Berkeley.
- 1991-1992 American Institute of Indian Studies, Research Fellowship.
- 1990 Newhouse Foundation Fellowship, University of California, Berkeley.
- 1989-1990 Teaching Award, Outstanding Teaching Assistant, University of California, Berkeley.
- 1987-1988 Teaching Award, Outstanding Teaching Assistant, University of California, Berkeley.
- 1986-1987 University Fellowship, University of California, Berkeley.

**TEACHING**

**Courses**

**(i) Brown University:**

- Spring 2005 Sociology 205, Recent Sociology Theory (12 students)  
Sociology 162, Globalization and Social Conflict (180 students)
- Spring 2004 Sociology 205, Recent Sociology Theory (12 students)  
Sociology 162, Globalization and Social Conflict (179 students)
- Fall 2003 Sociology 297-02, *Democracy and Civil Society in Comparative Perspective*, (14 students)
- Spring 2003 Sociology 162, *Globalization and Social Conflict* (181 students)  
Sociology 205, *Recent Sociological Theory* (9 students)

Fall 2002	Development Studies 198, <i>Seminar in Development Studies</i> (27 students)
Spring 2002	Sociology 162, <i>Globalization and Social Conflict</i> (100 students) Sociology 205, <i>Recent Sociological Theory</i> (12 students)
Fall 2001	Development Studies 198, <i>Seminar in Development Studies</i> (23 students)

**(ii) University of Durban-Westville:**

Summer 1999	Department of Social Policy, <i>Globalization and its Implications for South Africa</i> , (12 students)
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**(iii) Columbia University:**

Spring 1999	International Affairs, <i>Micro-Level Development</i> (graduate seminar, 22 students) Sociology Department, <i>Globalization and Social Conflict</i> (undergraduate lecture, 65 students)
Fall 1998	International Affairs, <i>Theories of Social Transformation</i> (graduate seminar, 15 students) International Affairs, <i>State and Society in the Developing World</i> (graduate lecture, 83 students)
Spring 1998	Sociology Department, <i>Globalization and Social Conflict</i> (undergraduate lecture, 47 students) International Affairs, <i>Micro-Level Development</i> (graduate seminar, 26 students)
Fall 1997	International Affairs, <i>State and Society in the Developing World</i> (graduate lecture, 62 students) International Affairs, <i>Political Economy of South Asia</i> (graduate seminar, 11 students)
Spring 1997	Sociology Department, <i>Development and Social Conflict</i> (undergraduate lecture, 47 students) International Affairs, <i>Micro-Level Development</i> (graduate seminar, 22 students)
Fall 1996	International Affairs, <i>The Third World State</i> (graduate lecture, 52 students) International Affairs, <i>Political Economy of South Asia</i> (graduate seminar, 19 students)
Spring 1996	International Affairs, <i>Micro-Level Development</i> (graduate seminar, 27 students)
Fall 1995	International Affairs, <i>The Third World State</i> (graduate lecture, 27 students) International Affairs, <i>Political Economy of South Asia</i> (graduate seminar, 6 students)

**Academic Advising**

**(i) Brown University 2001-present**

- Senior Thesis supervised (11)
- Masters Committee Member (3)
- Master Committee Chair (4)
- Graduate Independent Studies (6)

Graduate Preliminary Exams Committee (9)  
Dissertation Reader (2)  
Past dissertation Chair (1)  
Past Dissertation Committee Member (4)  
Current Dissertation Committee Chair (6)  
Current Dissertation Committee Member (9)

**(ii) Columbia University 1995-1999**

Dissertation Defenses (7)  
Dissertation Proposal Defenses (6)  
Dissertation Committee Member (5)