

# Adaptive Evolution of C<sub>4</sub> Photosynthesis through Recurrent Lateral Gene Transfer

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## Summary

C<sub>4</sub> photosynthesis is a complex trait that confers higher productivity under warm and arid conditions [1–3]. It has evolved more than 60 times via the co-option of genes present in C<sub>3</sub> ancestors followed by alteration of the patterns and levels of expression and adaptive changes in the coding sequences [4–12], but the evolutionary path to C<sub>4</sub> photosynthesis is still poorly understood. The grass lineage *Alloteropsis* offers unparalleled opportunities for studying C<sub>4</sub> evolution, because it includes a C<sub>3</sub> taxon and five C<sub>4</sub> species that vary significantly in C<sub>4</sub> anatomy and biochemistry [13, 14]. Using phylogenetic analyses of nuclear genes and leaf transcriptomes, we show that fundamental elements of the C<sub>4</sub> pathway in the grass lineage *Alloteropsis* were acquired via a minimum of four independent lateral gene transfers from C<sub>4</sub> taxa that diverged from this group more than 20 million years ago. The transfer of genes that were already fully adapted for C<sub>4</sub> function has occurred periodically over at least the last 10 million years and has been a recurrent source for the optimization of the C<sub>4</sub> pathway. This report shows that plant-plant lateral nuclear gene transfers can be a potent source of genetic novelty and adaptation in flowering plants.

## Results and Discussion

### Multiple Lateral Acquisitions of Key C<sub>4</sub> Genes

We sampled geographically separated populations of four *Alloteropsis* taxa (Figure 1). Three taxa are reported as C<sub>4</sub> (*A. angusta*, *A. cimicina*, and *A. semialata* subspecies *semialata*), whereas the fourth is C<sub>3</sub> (*A. semialata* subspecies *eckloniana*). The history of photosynthetic transitions in this group is unclear [13], because the spatial compartmentalization of carbon assimilation and reduction that is instrumental to C<sub>4</sub> photosynthesis is completely absent from the C<sub>3</sub> *A. s. eckloniana* and involves nonhomologous cell types in the

different C<sub>4</sub> *Alloteropsis* lineages [14]. Several multigene families were investigated by PCR amplification from genomic DNA, whereas gene transcripts from leaves were analyzed in representatives of the different taxa (see Supplemental Information available online).

We isolated genes from all *A. angusta* and *A. semialata* accessions for the C<sub>4</sub> carbon-fixing enzyme phosphoenolpyruvate carboxylase (*ppc*; Figure 2) that are positioned in phylogenies near those of affiliated C<sub>3</sub> taxa (Figure 3A). The vertically acquired *ppc* of *A. angusta* has many amino acid residues that are characteristic of C<sub>4</sub>-specific forms (Figure 4A) [8], suggesting that the C<sub>4</sub> *ppc* used by this species evolved via adaptive changes to the gene inherited from its C<sub>3</sub> ancestor. However, the vertically acquired *ppc* of all *A. s. semialata* accessions has no C<sub>4</sub>-characteristics; it was present only at a very low transcript level and was not found at all in *A. cimicina*, ruling out a C<sub>4</sub> function in these taxa.

C<sub>4</sub>-specific *ppc* genes from different *Alloteropsis* accessions were identified as the copy most abundantly transcribed in leaves (Supplemental Information). The *ppc* used for C<sub>4</sub> photosynthesis in *A. cimicina* was not related to those of affiliated taxa but clustered with those of the distantly related Melinidinae (Figure 3A). This gene was also found in South African *A. s. semialata*, which suggests an ancient lateral *ppc* acquisition before the diversification of *Alloteropsis* (Figure 1). However, this gene appears to be nonfunctional in South African *A. s. semialata* (Supplemental Information), indicating that the C<sub>4</sub> function of this *ppc* was lost after the divergence of the *A. cimicina* and *A. semialata* lineages. The C<sub>4</sub> *ppc* of South African *A. s. semialata* was phylogenetically nested in the distantly related C<sub>4</sub> Cenchrinae, among the members of the *Setaria palmifolia* species complex (Figure 3A). It was therefore likely acquired laterally in tropical Africa, where *A. s. semialata* and members of the *Setaria palmifolia* species complex co-occur [15]. The C<sub>4</sub> *ppc* of the Australian population of *A. s. semialata* was nested in those of Andropogoneae (Figure 3A), a group of highly diversified C<sub>4</sub> grasses that diverged from *Alloteropsis* ~25 million years ago (Ma) (Figure 1). On an overlapping fragment of 719 bp, Australian *A. s. semialata* and the Andropogoneae taxon *Themeda quadrivalvis* differed at only four nucleotides, a level of polymorphism usually observed only among closely related species. The C<sub>4</sub> *ppc* of Australian *A. s. semialata* was thus likely acquired horizontally from *T. quadrivalvis* or a very close relative in regions of Australia where these taxa co-occur. Because each of these laterally acquired *ppc* had spent several million years in the genomes of other C<sub>4</sub> plants prior to their acquisition by *Alloteropsis* species (Figure 1), they had already accumulated numerous C<sub>4</sub>-characteristic residues and were fine-tuned for function in the C<sub>4</sub> pathway (Figure 4A).

We also isolated a gene encoding phosphoenolpyruvate carboxykinase (*pck*; Figure 2), the decarboxylating enzyme of one of the C<sub>4</sub> biochemical subtypes, from all populations of *Alloteropsis*, that was most closely related to the *pck* from affiliated C<sub>3</sub> taxa (Figure 3B). However, this vertically transmitted gene had none of the C<sub>4</sub>-specific amino acid residues and was present only at very low transcript levels in the leaf

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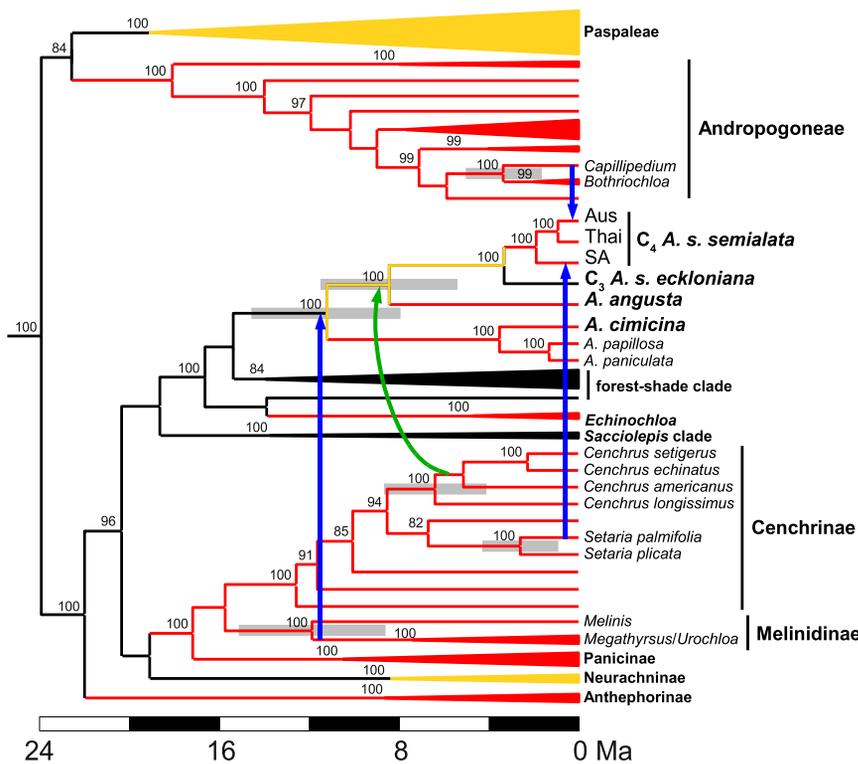


Figure 1. Time-Calibrated Phylogeny of Panicoideae and Inferred Lateral Gene Transfers

The main clades are compressed, with the size of wedges proportional to the number of species sampled, and colored in red when containing C<sub>4</sub> species only, black for C<sub>3</sub> species only, and yellow for both C<sub>3</sub> and C<sub>4</sub> species. Yellow branches indicate an uncertain ancestral state. Bayesian support values are shown as percentages near branches, when greater than 80%. These species relationships, deduced from multiple plastid markers, were also confirmed with several nuclear markers (Supplemental Information). The inferred lateral gene transfers are represented by arrows, in green for *pck* and blue for *ppc*. For *A. s. semialata*, geographically separated populations are indicated as follows: Aus, Australia; Thai, Thailand; SA, South Africa. Grey boxes indicate confidence intervals of the age estimates for the last node before the inferred lateral transfer and the first node after the transfer.

transcriptome of C<sub>4</sub> *A. s. semialata* (Supplemental Information), making a significant role in the C<sub>4</sub> pathway highly unlikely. Instead, we isolated a second *pck* gene from all accessions of *A. angusta* and *A. s. semialata*, which had most of the C<sub>4</sub>-characteristic amino acid residues (Figure 4B) [9], and was the most abundant *pck* transcript in leaves of *A. s. semialata*. This C<sub>4</sub>-specific gene was not closely related to the non-C<sub>4</sub> genes from *Alloteropsis* but instead was nested within C<sub>4</sub> *pck* from Cenchrinae (Figure 3B). This shows that a C<sub>4</sub> *pck* was transmitted from a member of Cenchrinae to the common ancestor of *A. angusta* and *A. semialata* and now fulfills a key function in the C<sub>4</sub> pathway of these taxa.

The different phylogenetic groupings all receive strong statistical support even when only introns or third positions of codons are considered (Supplemental Information), ruling out the possibility that selective pressures misled phylogenetic reconstructions. A first transfer of C<sub>4</sub> *ppc* from Melinidinae occurred prior to the divergence of all extant *Alloteropsis* species. It was followed by a lateral acquisition of a C<sub>4</sub> *pck* from Cenchrinae after the first speciation inside *Alloteropsis* (Figure 1). Two additional and recent transfers involved C<sub>4</sub> *ppc* from different origins and happened in geographically isolated populations of *A. s. semialata*.

#### Most of the Genome and Transcriptome Was Vertically Acquired

The lateral acquisitions of *ppc* and *pck* could have occurred during allopolyploidization events involving distantly related parents, a scenario compatible with the multiple ploidy levels observed in *A. semialata* [16]. After allopolyploidization, numerous genes from each parent are expected to persist in the descendants and contribute to their transcriptome even after several million years [17, 18]. We investigated the presence of additional foreign genes in *Alloteropsis* genomes by sequencing seven nuclear markers unrelated to

relatives of *Alloteropsis*, and no laterally acquired gene was detected. In addition, we screened the South African *A. s. semialata* transcriptome for phylogenetically relevant markers and successfully positioned 3,279 transcripts in phylogenies including orthologous genes extracted from the *A. s. eckloniana* transcriptome and whole-genome sequences for *Setaria italica* (C<sub>4</sub> Cenchrinae), *Sorghum* (C<sub>4</sub> Andropogoneae), rice, and *Brachypodium* (Supplemental Information). If other genes were transmitted from a species of the Cenchrinae alongside *pck* and *ppc*, as would occur during allopolyploidization, multiple transcripts of *A. s. semialata* should group with *Setaria italica* sequences. However, 3,275 of the 3,279 investigated transcripts strongly grouped *A. s. semialata* with *A. s. eckloniana*, and only four transcripts encoding *pck* and *ppc* grouped *A. s. semialata* with *Setaria* (Supplemental Information). These analyses show that most of the genes used by the South African *A. s. semialata*, including all known genes of the C<sub>4</sub> pathway other than *ppc* and *pck* (Figure 2), were acquired vertically. Together with the analysis of low-copy genes, the vertical inheritance of the transcriptome therefore argues strongly against allopolyploid formation as a mechanism for the lateral transmission of *ppc* and *pck* into *Alloteropsis*.

#### Possible Mechanisms and Importance of Selection

Our data indicate that the horizontal transmission of *pck* and *ppc* genes to *Alloteropsis* included at most a very small amount of other genetic material. However, the high similarity between intron and untranslated region (UTR) sequences of the horizontally acquired genes and those of the putative donors (Supplemental Information) indicates that the whole genes were transmitted as genomic DNA. Reports of nuclear horizontal gene transfer between plants are rare, but several mechanisms have been suggested, including vector-mediated, plant-plant contact, transformation, and illegitimate

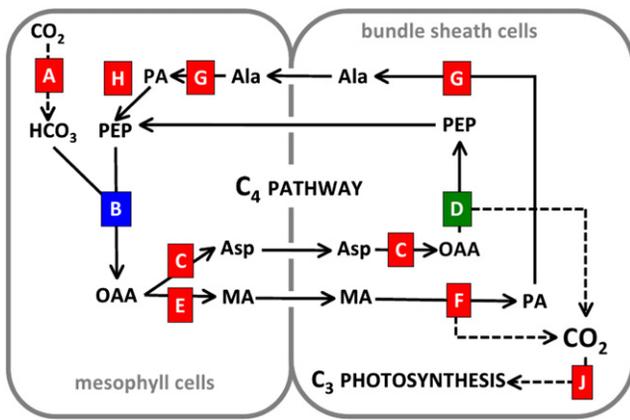


Figure 2. Key Biochemical Steps in the Putative C<sub>4</sub> Photosynthetic Pathway of *Alloteropsis semialata*

The CO<sub>2</sub>-concentrating mechanism hinges on the enzyme PEP carboxylase (PEPC) (B), which fixes inorganic carbon to produce four-carbon organic acids in the mesophyll cells of the leaf (aspartate [Asp], malate [MA]). These acids diffuse into the bundle sheath cells, where CO<sub>2</sub> is liberated at high concentrations by decarboxylase enzymes including PEP carboxykinase (PCK) (D) and NADP-malic enzyme (NADP-ME) (F). The CO<sub>2</sub> is refixed by Rubisco (J), whereas the organic acceptor molecule returns to the mesophyll cells. The following metabolite abbreviations are used: Ala, alanine; Asp, aspartate; MA, malate; OAA, oxaloacetate; PA, pyruvate; PEP, phosphoenolpyruvate. The following enzyme (colored boxes) abbreviations are used: carbonic anhydrase (A); PEPC, PEP carboxylase (B); Asp amino-transferase (C); PCK, PEP carboxykinase (D); NADP-MA dehydrogenase (E); NADP-ME, NADP-malic enzyme (F); Ala aminotransferase, (G); PA phosphate dikinase (H); Rubisco, ribulose-1,5-bisphosphate carboxylase/oxygenase (J).

pollination [19, 20]. The most commonly described is direct contact via a host-parasite relationship [21, 22], which is not a possibility in the present case, because neither the putative donors nor *Alloteropsis* species have been reported as parasitic. Multiple cases of lateral gene transfer have involved infecting agents, viral or bacterial [19, 20, 23], and an unknown agent has been proposed for the lateral transmission of nuclear genes among distantly related grasses [24, 25]. Vector-mediated transfers are similarly possible in the case of *Alloteropsis*, although the necessity of a first transfer from a plant to the agent followed by a second transfer from the agent to the germ line of *Alloteropsis* diminishes the likelihood of this scenario. The exact mechanism for the plant-plant gene transfers shown in the present study remains speculative and could differ among the multiple cases detected. We suggest that the most reasonable scenario for the transfer of *pck* and *ppc* genes may have been during direct contact after the deposition of foreign pollen on the *Alloteropsis* stigma.

All grasses are pollinated largely by wind, and putative donors of the laterally acquired genes are found in close contact with *Alloteropsis* populations. Introgression of these genes via ordinary hybridization and successive backcrossing is unlikely. The horizontally acquired genes do not seem to recombine with native homologs, suggesting they occupy different loci (Supplemental Information). Moreover, such an introgression would require recombination between paired chromosomes from the two parental genomes that last shared a common ancestor more than 20 Ma, a period sufficient for complete turnover of noncoding intergenic regions [26], which hampers chromosomal pairing. However, the temporary mingling of foreign and native genomes, even if limited to the

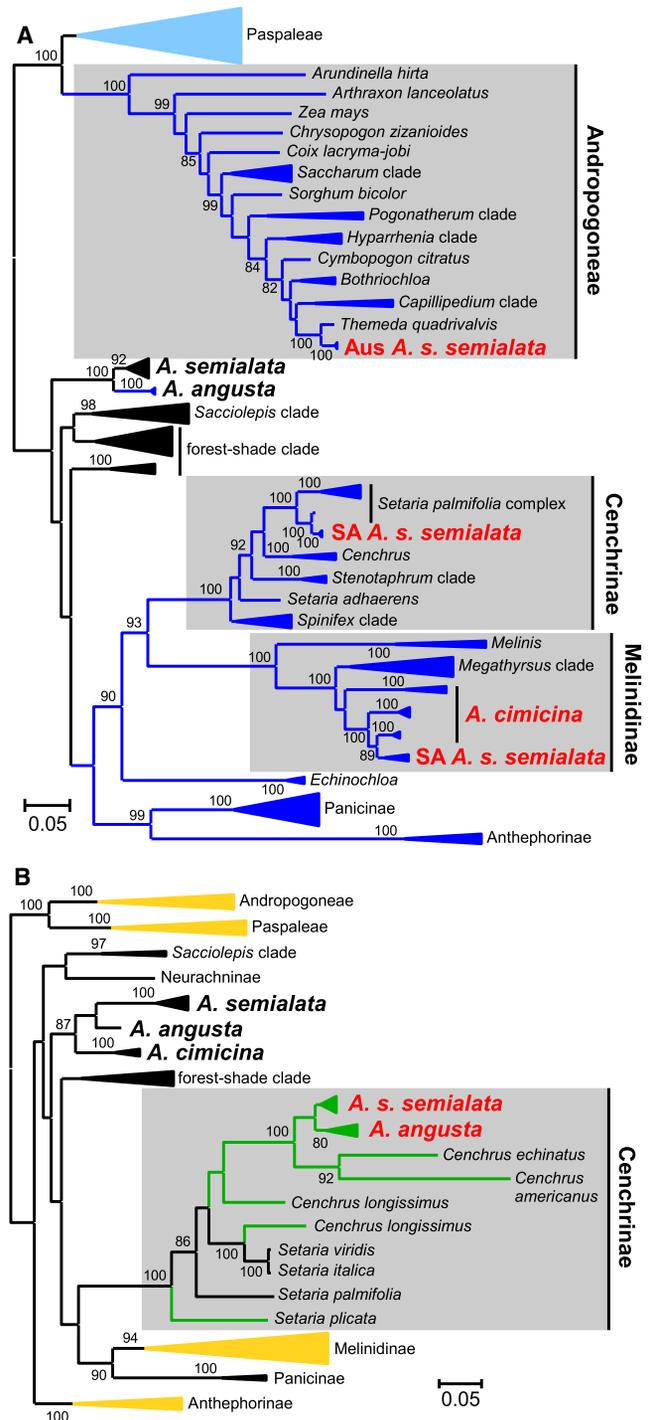


Figure 3. Phylogenetic Position of *Alloteropsis* Laterally Transmitted Genes

(A) Phylogeny of *ppc* in Panicoideae.

(B) Phylogeny of *pck* in Panicoideae.

*Alloteropsis* genes are highlighted with a larger font, with vertically acquired genes in black and laterally acquired genes in red. Both phylogenies were inferred from the third positions of codons. Branches leading to C<sub>4</sub>-specific genes are in blue and green. Clades in light blue and yellow contain both C<sub>4</sub> and non-C<sub>4</sub> genes (see Supplemental Information for details). Several clades encompassing only C<sub>4</sub> taxa contain both C<sub>4</sub> and non-C<sub>4</sub> genes due to gene duplications (Supplemental Information). Bayesian support values are shown as percentages near branches when greater than 80%. Aus, Australia; SA, South Africa.

	466	517	531	560	577	579	625	637	761	780	794	807
<i>A. semialata</i> native gene	L	A	A	R	A	A	V	M	S	A	F	R
<i>A. angusta</i> native gene	L	A	P	R	S	E	V	M	S	S	V	R
Melinidinae gene	I	T	P	P	T	E	S	L	A	S	V	K
Cenchrinae gene	I	C	P	P	S	E	A	L	A	S	F	K
Andropogoneae gene	I	A	P	P	S	E	A	L	A	S	V	K

	149	150	184	206	242	264	421	505	519
<i>Alloteropsis</i> native gene	Y	E	A	T	S	E	H	Q	L
Cenchrinae gene	S	N	A	E	T	Q	R	T	Y

Figure 4. Presence of Putative C<sub>4</sub>-Adaptive Amino Acids on Laterally Transmitted Genes

For native and foreign genes of *Alloteropsis*, amino acid residues are shown for positions that are known to be under positive selection for C<sub>4</sub> function [8, 9]. Putative C<sub>4</sub>-adaptive residues are in black boxes. The amino acids at these positions are identical in the putative donors, with the exceptions of *ppc* 625, which is variable in Melinidinae, and *pck* 149, which is variable in Cenchrinae.

(A) Genes for PEPC (*ppc*). The 12 sites with the highest probability of C<sub>4</sub>-specific selection [8] are shown. Numbering is based on the *Zea mays* sequence (CAA33317).

(B) Genes for PCK (*pck*). Numbering is based on the *Zoysia japonica* sequence (AB199899).

early stages of reproduction (e.g., pollen tube growth or early embryonic development), could provide sufficient opportunity for the transfer of genes via retrotransposons or other genomic rearrangements [27, 28]. In controlled conditions, foreign pollen from grasses that diverged more than 45 Ma can germinate and initiate embryo development, with the occasional transmission of paternal genetic material to mostly maternal descendants [29, 30]. Even if the fitness of the resulting offspring is reduced, subsequent crosses with other *Alloteropsis* individuals would allow the integration of foreign genes into the population, especially if the transmitted genes are strongly favored by natural selection.

The laterally acquired genes both encode key enzymes of the C<sub>4</sub> pathway (Figure 2) whose evolution from non-C<sub>4</sub> genes requires a series of important genetic changes [7–9]. Before their transmission to *Alloteropsis*, the foreign copies of *ppc* and *pck* had spent more than 15 Ma in the genomes of other C<sub>4</sub> plants and were highly optimized for C<sub>4</sub> function. We hypothesize that they were incorporated into *Alloteropsis* plants that operated a suboptimal C<sub>4</sub> cycle, with genes that were not fully adapted for function in the C<sub>4</sub> pathway, as is apparently still the case in the Thai *A. s. semialata* (Figure 4; Supplemental Information). The replacement of these vertically acquired genes by laterally acquired genes that were better optimized for the C<sub>4</sub> pathway improved the efficiency of *Alloteropsis* C<sub>4</sub> pathway, and natural selection led to a rapid fixation of the transferred genes in populations.

### Concluding Remarks

The *Alloteropsis* case demonstrates a remarkable permeability of species boundaries in some grasses and shows that natural selection on the rare and random exchanges of genes between distantly related species can lead to the horizontal spread of adaptive biochemical novelties. The high number of lateral transfers reported here could indicate that *Alloteropsis* taxa are especially prone to lateral acquisitions, because of genomic plasticity or other lifestyle characteristics that increase the likelihood of lateral transfer in some species

[31], such as the short time to first seed production in *Alloteropsis* taxa (typically less than 1 year). Additionally, the photosynthetic variation observed in *Alloteropsis* might indicate that the transition to full C<sub>4</sub> function occurred multiple times over a long evolutionary period from poorly optimized C<sub>4</sub> or C<sub>3</sub>-C<sub>4</sub> intermediates (Figure 1), providing continued opportunity to receive foreign C<sub>4</sub> genes that further optimized the C<sub>4</sub> pathway. The extent to which this evolutionary process applies to other groups is difficult to evaluate. No conclusive evidence has been found for lateral acquisition in the C<sub>4</sub> taxa previously surveyed [7–9, 32], with the exception of the sedge *Eleocharis vivipara*, which acquired a C<sub>4</sub> *ppc* gene from a congeneric [32], but lateral gene transfer can be detected only with a large species sampling for which a solid understanding of the phylogenetic relationships is known.

Our discovery of lateral transfer of C<sub>4</sub> genes in *Alloteropsis* was possible for four important reasons. First, genomic data is available for certain grass crops, some of which happen to be close relatives of our donor species. Second, compared with other complex traits, we have a relatively good understanding of the enzymes involved in this metabolic pathway, and previous work has generated sequences of C<sub>4</sub> genes for a large number of grasses [8, 9]. Third, genes for C<sub>4</sub> enzymes are highly expressed and are thus easily captured by transcriptome analyses. Finally, a set of adaptive amino acid changes to enzymes recruited to the C<sub>4</sub> pathway have been previously identified, revealing the evolutionary significance of the genes acquired laterally [7–10]. Without this breadth and depth of background knowledge, the present case would likely have remained undetected. There is no reason to think that this mechanism would be unique to the evolution of the C<sub>4</sub> pathway. As more genomic data accumulate for other taxa, additional cases of adaptive plant-plant nuclear gene transfers may be discovered, in a great variety of adaptive traits.

### Accession Numbers

The sequences generated in this paper were deposited in GenBank database, under the accession numbers FR845806–FR845993 and FR872768–FR872793. The alignments used for phylogenetic inference, the inferred phylogenetic trees, and the 454 contigs used for phylogenomic analyses have been deposited in the Dryad Repository under the doi 10.5061/dryad.m1h5c85d.

### Supplemental Information

Supplemental Information includes three figures, two tables, and Supplemental Experimental Procedures and can be found with this article online at doi:10.1016/j.cub.2012.01.054.

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