

RESEARCH ADMINISTRATION UPDATES AND ITEMS OF INTEREST

OFFICE OF THE VICE PRESIDENT FOR RESEARCH / OFFICE OF SPONSORED PROJECTS

This newsletter is produced by the Office of Sponsored Projects to provide agency updates, sponsor policy and procedural information, and guidance and training in all aspects of sponsored project administration for Researchers and Research Administrators. Please visit http://www.brown.edu/research/newsletters for archives.

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Recovery of Indirect Costs (F&A) is Prohibited on Participant Support Costs on REU Awards

The National Science Foundation has released a revised <u>Research Experiences for</u> <u>Undergraduates (REU)</u> Program Announcement (NSF 13-542). Effective with this REU Program Announcement, NSF no longer permits the recovery of indirect costs on Participant Support Costs on REU awards. NSF states:

"Indirect costs (F&A) are not allowed on Participant Support Costs in REU Site or REU Supplement budgets. Note that the REU solicitation's longstanding "administrative allowance" of 25% of the participant support stipend amount in lieu of indirect costs has been discontinued."

Note: The Coeus Cost Elements for Participant Costs have been updated to not calculate F&A. Please See the <u>Coeus Update</u> section in the Newsletter for details.

Preparation of NIH Non-Competing Continuation Proposals Due May 1st and Beyond

As has been reported previously in this Newsletter, the National Institutes of Health released <u>Changes to Public Access Policy Compliance Efforts Apply to All Awards with</u> <u>Anticipated Start Dates on or after July 1, 2013 (NOT-OD-13-042)</u>:

There are new and serious consequences for PIs with non-competing continuation grant awards with a start date of July 1, 2013 or beyond:

- NIH will delay processing of an award if publications arising from it are not in compliance with the <u>NIH Public Access Policy</u>. At Brown, this will prevent the addition of continuation year funds and prohibit any actions associated with the processing of subaward modifications in continuation years.
- Investigators must use <u>My NCBI</u> to enter papers onto progress reports. Papers can be associated electronically using the RPPR, or included in the PHS 2590 using the My NCBI generated <u>PDF report</u>.

Detailed information is available at <u>Upcoming Changes to Public Access Policy Reporting</u> <u>Requirements and Related NIH Efforts to Enhance Compliance (NOT-OD-12-160)</u> and <u>Update on NIH's Public Access Policy</u>

March 2013

Last month, TVO Director Katie Gordon communicated with Brown faculty on the changes to patent law which occurred this month. The following is a copy of the announcement:

I would like this opportunity to remind you that the "America Invents Act" becomes effective on March 16, 2013. The principle provision in the new law that affects investigators in academia is the change from current law, which gives rights to the "first to invent", to the new law, which gives rights to the "first inventor to file." This change will apply to all patent applications **filed on or after March 16, 2013**. Generally speaking, this change also puts the US in harmony with laws in the rest of the world.

As a practical matter, investigators need to be aware that public disclosures of any sort can make even a valuable "invention" non-patentable, because even your own publications or public presentations will be considered prior art. Before the AIA, there was a one year grace period in the United States, meaning that even if there was a public disclosure one could still get coverage in the US.

I am greatly simplifying things for this brief overview, but the bottom line is:

Important Notice About a Significant Change in Patent Law

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- Investigators need to be vigilant about reporting their inventions to TVO or their licensing office as soon as practical, and
- Investigators need to be vigilant not to publicly disclose their inventions prior to any patent filing.

This does not mean that the criteria and rigor of the filing process will change - we just need to be aware that we can no longer rely on being first to invent as staking out a priority date in the US going forward.

You can read up on the law and its full scope on the US Patents and Trademark Office's website at – <u>http://www.uspto.gov/news/pr/2013/13-10.jsp</u>

For more information, please contact the Technology Ventures Office at TVO patents@brown.edu or (401) 863-7499.

Grant Finding: Library Services and Support

Brown University Library (BUL) has created a <u>resource page</u> for finding grant opportunities with a special focus on <u>Pivot</u> (formerly COS Funding Opportunities). Pivot is a frequently updated database of funding opportunity announcements from across the disciplines (including the humanities and social sciences). It contains information on awards, fellowships, and grants from federal/non-federal, corporate/private foundation, and international funding sources. Includes COS Funding Alert, a weekly e-mail notification with a customized list of funding opportunities based on specified criteria provided by the individual COS member.

For more details on the BUL Grant Finding guide, see <u>http://libguides.brown.edu/grants</u>

Sign up for Grant Finding with Pivot/COS training:

Upcoming Sessions in the Rock Library Hecker Training Center (1st floor):

- April 4, 5-6pm (Thurs.) drop-ins welcome (for credit sign-up using the link above to the LearningPoint system)
- April 15, 12-1pm (Mon.) drop-ins welcome (for credit sign-up using the link above to the LearningPoint system)



			AGENCY UPDATE	S		
NIH UPDATE	NIH Regional Seminars on Program Funding and Grants Administration					
	Each year, the Office of Extramural Research (OER) sponsors the NIH Regional Seminars on Program Funding and Grants Administration. These seminars are intended to help demystify the application and review process, clarify Federal regulations and policies, and highlight current areas of special interest or concern. The seminars serve the NIH mission of providing education and training for the next generation of biomedical and behavioral scientists. NIH policies, grants management, review and program staff, provide a broad array of expertise and encourage personal interaction between themselves and seminar participants. The seminars are appropriate for grants administrators, researchers new to NIH, and graduate students.					
	Upcoming Seminars: DATES	LOCATION	AMBASSADOR	PROGRAM INFO		
	June 26-28, 2013	Baltimore, MD	N/A	Registration Now Open! Note: There is only one NIH Regional Seminar this Year - Be a Part of It!		
NIH/NSF UPDATE	National Science Foundation – National Institutes of Health NSF-NIH Interagency Initiative: Smart and Connected Health <u>NOT-OD-13-041</u> <u>NSF 13-543</u>					
	 The Institutes and Centers of the National Institutes of Health (NIH) and the National Science Foundation (NSF) have identified Smart and Connected Health as a program focus. In particular, the present initiative covers the need to develop the next generation of health and healthcare research through advances in the understanding of and applications in information science, technology, behavior, cognition, sensors, robotics, bioimaging, and engineering. Collaboration between academic, industry, non-profit and other organizations is strongly encouraged to establish better linkages between fundamental science, clinical practice and technology development, deployment and use. Two classes of applications will be considered in response to this solicitation: Exploratory Projects: One or more investigators spanning 1 to 3 years and may receive NIH support up to \$170,000 per year in direct costs. Integrative Projects: Multi-disciplinary teams spanning 1 to 4 years and may receive NIH support from \$170,001 and \$370,000 direct costs per year. 					
	For both types of proje	ects, scientists fron	n all disciplines are o	encouraged to participate.		
				ndation. Following a jointly conducted initial peer review e forwarded for NIH processing.		
	For more information	please visit: <u>http://</u>	<u>/obssr.od.nih.gov/sc</u>	cientific areas/smartconnect health.aspx		
	For the funding oppor http://nsf.gov/pubs/2	•		ease visit:		





COEUS TIP OF THE MONTH

SPECIAL CHARACTERS, GRANTS.GOV AND COEUS

- Grants.gov does not permit special characters (accent marks, commas, @, #, %, ^, &, *, etc.) to be entered within any of the application form fields.
- Since we use Coeus for majority of our applications being routed via Grants.gov, the restriction on special characters applies to the information entered in Coeus.
- Coeus can NOT validate Rolodex entries for special characters at the time of creation. Departments must be diligent about not adding special characters to Rolodex entries.
- As a best practice in Coeus:
 - Do not enter special characters in any of the fields in the **Rolodex entry**

Modify Rolodex					
Rolodex Id:	26599	Last Update:	27-Mar-2013 10:55:02 AM	Updated By:	Quinn, Jennifer L.
	Last:		First:		Middle:
Name:	Carberry		Josiah		
Suffix:		Prefix:		Title:	
Sponsor:					
Organization:	Brown Unive	ersity			
Address:	164 Angell S	treet			
City:	Providence			County:	
State/ Province Name:	Rhode Island	•		Postal Code:	029121926
Country:	United States	*		Phone:	401-863-1000
E Mail:	certificatetra	ining@gmail.com		Fax:	

- Do not copy and paste data into the fields as that may bring over proprietary fonts and/or special characters that are not supported
- Restriction on special characters also applies to file names being used for attachments uploaded as part of the application (*especially important to keep in mind for those attachments in Coeus that require the user to enter the name that will be used as the file name e.g. 'Attachment', 'Other', etc. Narrative Types*)
- o Review the Grants.gov package/forms in Coeus to verify that no special characters are present

Example:

📴 Proposal Details - 00003052	Modify Rolodex
Proposal Organization Mailing Info Investigator Key Person	Rolodex Id: 26286 Last Ut of the letter 'e' will cause
Name	Last: the application to be
Pettr <mark>é</mark> Dr. Julien Quinn, Jennifer L.	Name: Pettlé rejected by Grants.gov Suffix: F

	PROFI	LE - Senior/Key Person_	
Prefix Position/T	So, even though you are able to pre-view the Gra with the 'accent' mark, it does not mean th Grants.gov will reject the application once i is why it is essential to review the Gran	at it is error free. Pette it is submitted - that	Suffix
Organizat	ion Name: Université Rennes 2 - ENS CACHAN	Division: COEUS Training	
* Street1:	Laboratorire M2S	Street2: ave Rober Schuman	
* City: Bru	Z	County:	

COEUS UPDATE

UPDATE TO COEUS COST ELEMENTS FOR NSF PARTICIPANT SUPPORT

With the recent announcement from NSF prohibiting recovery of Indirect Costs (F&A) on Participant Support Costs on REU Awards, the Participant Support Cost Elements in Coeus have been updated.

Prior to this announcement, there were 4 NSF Participant Support Cost Elements & 4 NSF REU Support Cost Elements set up in Coeus. With this new change, these cost elements have been merged into only 4 cost elements for NSF Participant/REU Support: [These Cost Elements are programmed NOT to calculate F&A].

Code	New Cost Element Description
F099999	f) NSF Participant/REU Support - Other
F109999	f) NSF Participant/REU Support - Stipend
F149999	f) NSF Participant/REU Support - Subsistence
F119999	f) NSF Participant/REU Support - Travel

The (old) NSF REU Cost Elements have been re-named with '**DO NOT USE**' in front of the description to clearly indicate that they are not to be used in the budgets and will be listed towards the bottom of the Coeus Element List window:

Code	New Cost Element Description
F222510	z) DO NOT USE - NSF REU Stipend
F249999	z) DO NOT USE - NSF REU Support - Other (F&A Applied)
F259999	z) DO NOT USE - NSF REU Support - Subsistence (F&A Applied)
F269999	z) DO NOT USE - NSF REU Support - Travel (F&A Applied)

You may come across the following scenarios when viewing or copying a proposal using the old NSF REU Cost Elements:

 When viewing a proposal already created <u>prior</u> to the change, you will see the new Cost Element Description name change in the Budget Display window:

E	a Displa	y Budget f	or Proposal 00005034, V	/ersion 1		
ſ	Summary	Period 1 To	tal			
		Start Date:	01-Jun-2012	End Date:	31-Aug-2012	Cost
	Total Cost:		\$9,730.00	Direct Cost:	\$8,230.00	Indirect
	Underrecovery:		\$2,220.00	Cost Sharing:	\$.00	Direct Cost
	Line	CE	Cost Element Description	on		
	1	F222510	z) DO NOT USE - NSF REU Stipend		This is <u>AFTER</u> ma	king
	2 F099999		f) NSF Participant/REU Suppo	ort - Other	modifications to th	e Cost
	3 F099999 f) NSF Participant/REU Support - Ot		ort - Other	Elements		
	4	C229000	c) Other Charges-exclude fr	om base		

- Proposals that were '*In Progress*' or '*Approval in Progress*' status prior to making the modifications to the Cost Elements will need to have the '*DO NOT USE*' Cost Elements deleted and replaced with the correct NSF Participant/REU Support Cost Elements.
- When <u>COPYING</u> an older proposal that had Cost Elements that had the names/description modified and/or originally had F&A Applied to it:
 - the new Cost Element Description [e.g. z) DO NOT USE NSF REU Support ...] will feed into the copied proposal and the F&A will still be applied to those Cost Elements
 - the user will need to delete the 'DO NOT USE' Cost Elements and add the correct NSF Participant/REU Support Cost Elements
- New Validation has been added to the system that will prevent you from submitting a budget with the 'DO NOT USE' REU Support Cost Elements:

	Start Date:	01-Jun-2013		End Date: 31-Aug-2013	Cost Limit:	\$.00
	Total Cost:	\$500.00	D	Validation Rules		
Underrecovery:		\$.00	Cos	The budget for Proposal 00007319 v	alidated with followin	ng Errors. Please fix them
				Department : Brown University		×
Line	CE	Cost Element Description	n	Department : Brown University The selected 'DO NOT USE - NSF REU' C	ost Element(s) CANNOT	~
Line	CE 1 F222510					to be used in the Budget.

	TRAINING					
OSP TRAINING SPOTLIGHT	ABOUT OSP TRAINING					
Coeus - Viewing Proposal & Award Information	 The Office of Sponsored Projects is pleased to offer numeror training opportunities for faculty and staff. Topics Include: Coeus Training Learn how to use the University's sponsored project management system. Pre-Award Training Learn best practices in Pre-Award management. Sessions range from preparation of proposals and budgets to negotiation of award terms & condition Post-Award Training Learn best practices in Post-Award management. Sessions range from preparation of proposals and budgets to negotiation of award terms & condition Post-Award Training Learn best practices in Post-Award management. Sessions ranging from travel on sponsored projects Sessions ranging from travel on sponsored projects 					
 Topics covered in this class: Navigating Coeus Institute Proposal Module Walkthrough Award Module Walkthrough Grant Lifecycle - Medusa Function Instructor: Jeremy Cox, Grants/Contracts Accountant & eRA Administrator 	to allocation of costs. Sponsored Projects Training Sessions are now on LearningPoint, Brown's new Professional Development System. ~ To register for classes, please navigate to <u>www.brown.edu/learningpoint</u> and log in using your Brown User Name and Password. ~ The training classes can be found by clicking on the "Sponsored Research Related Training" from your homepage.					
 Feedback from participants who have taken the class: "The step-by-step walkthrough of each tab was really helpful." "Contained valuable information that pertains to my work that I previously had not known about." "The small group setting provided the opportunity to ask questions which I felt were extremely helpful." 	GRANT CONFERENCES & NCURA EDUCATIONAL PROGRAMS 2013 NCURA Region 1 Spring Meetings <i>New Castle, NH</i> <i>April 30-May 2</i> • Over 40 Sessions spanning 3 days • Topics address all areas of research administration • For more information and registration, click <u>here</u>					
Click <u>HERE</u> to register for <i>Coeus - Viewing Proposal & Award</i> Information	 2013 SRA Northeast/Midwest Sections Meeting Biltmore Hotel, Providence, RI May 5-8 Topics focused on Professional Development, Financial Management, Clinical Trials, and more For more information and registration, click here NCURA 55th Annual Meeting Washington, DC August 4-7, 2013 Registration and hotel block will open in Early April, click here 					

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