

# Thermalization in QCD and AdS/CFT

Berndt Müller

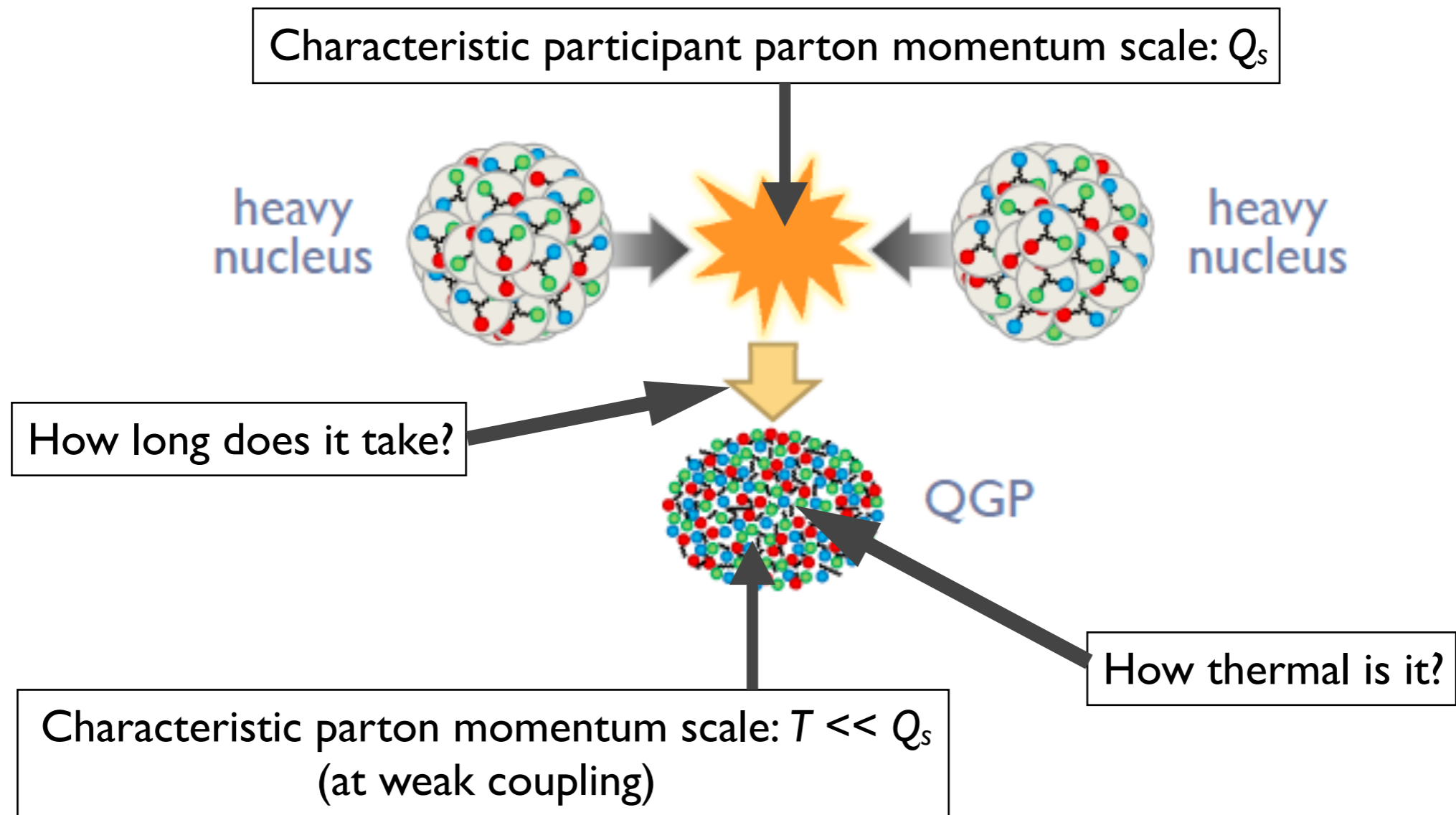
***11<sup>th</sup> Workshop on Non-Perturbative QCD***

Paris, 6–10 June 2011

---

# The Thermalization Problem

# Thermalization

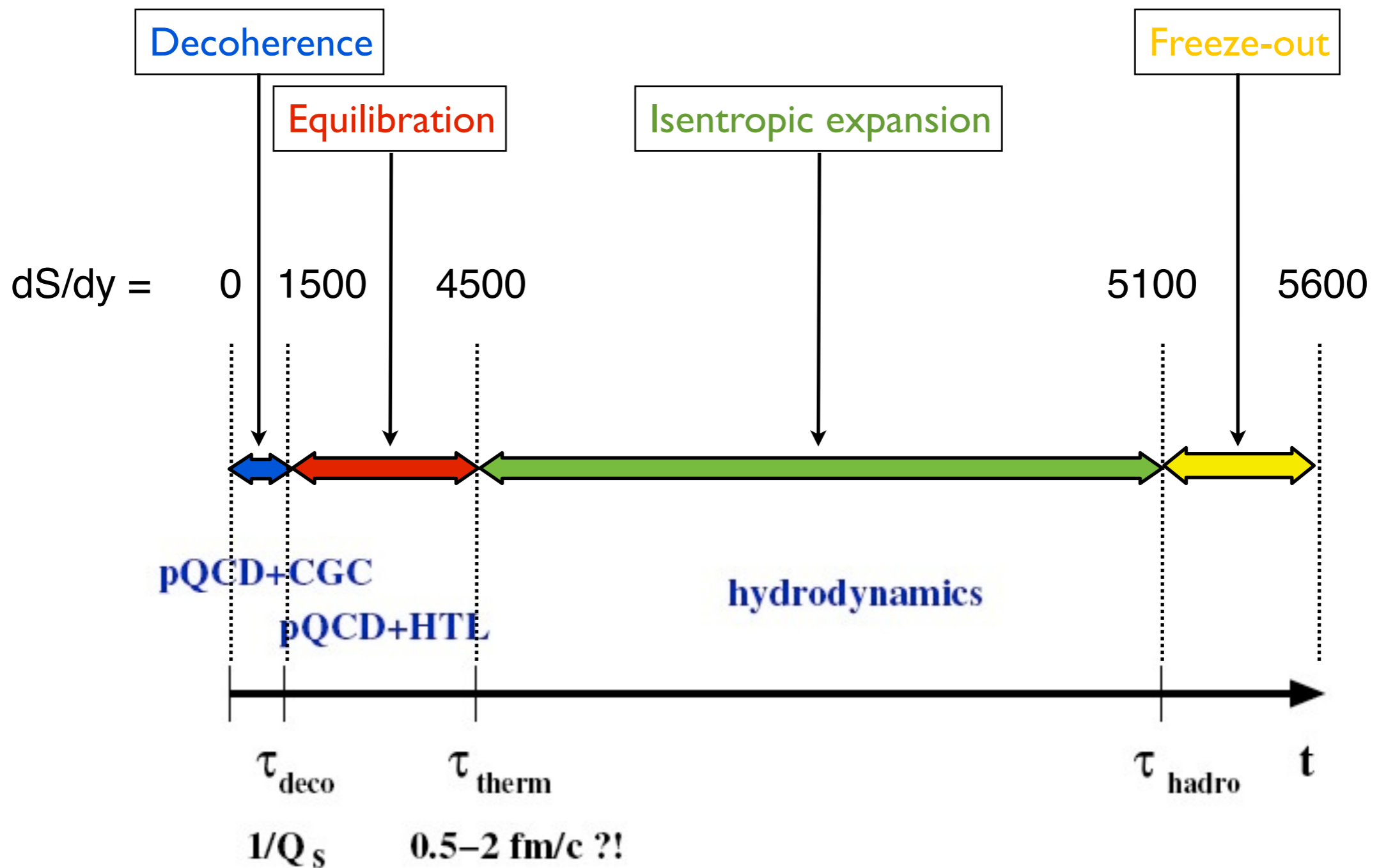


**How does the thermalization process work ?**

“Bottom up”, or “Top down” ?

# Entropy evolution

Central Au+Au collision at  $\sqrt{s_{NN}} = 200$  GeV



# The problem

The von Neumann entropy  $S_{\text{vN}} = -\text{Tr}[\rho \ln \rho]$

is conserved for any closed quantum system described by a Hamiltonian.

Approach 1: For system  $X$  interacting with its environment  $Y$ , the reduced entropy

$$S_X = -\text{Tr}_X[\rho_X \ln \rho_X] \quad \text{with} \quad \rho_X = \text{Tr}_Y[\rho]$$

increases as a result of growing entanglement between  $X$  and  $Y$ . Consider, e.g., a rapidity interval  $[y, y+\Delta y]$  as “system” and the remainder as “environment”, which cannot effectively communicate due to causality.

Problem: How to split reaction volume unambiguously into  $X$  and  $Y$ ?

Approach 2: Consider the effective growth of the entropy due to the increasing intrinsic complexity of the quantum state after “coarse graining”.

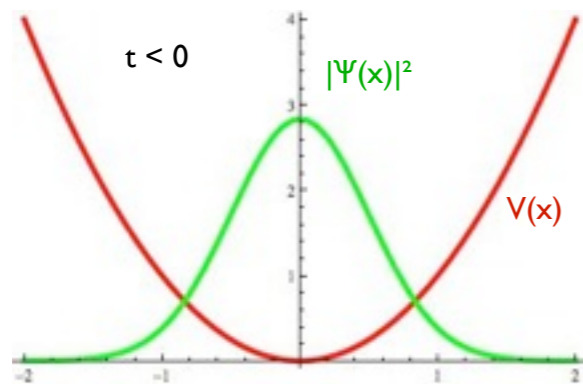
Problem: How to coarse grain without assuming the answer?

---

# The Husimi Function

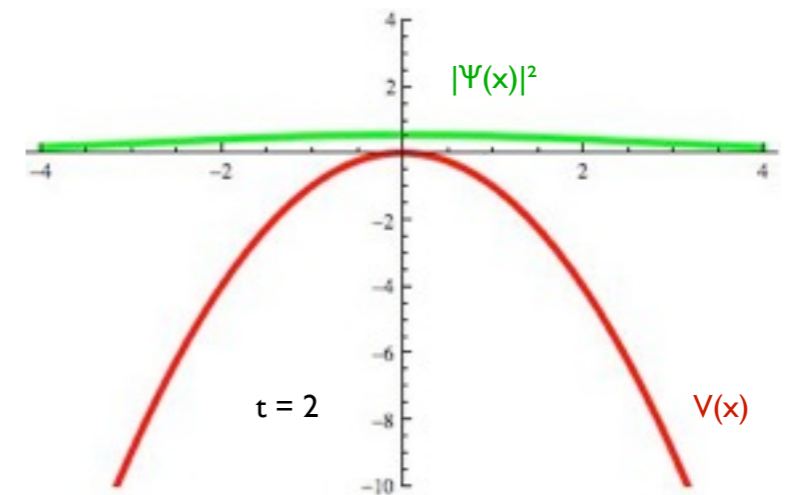
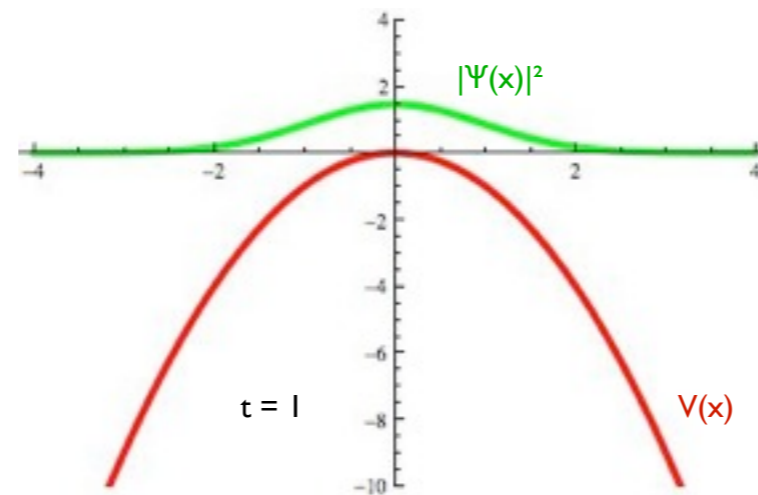
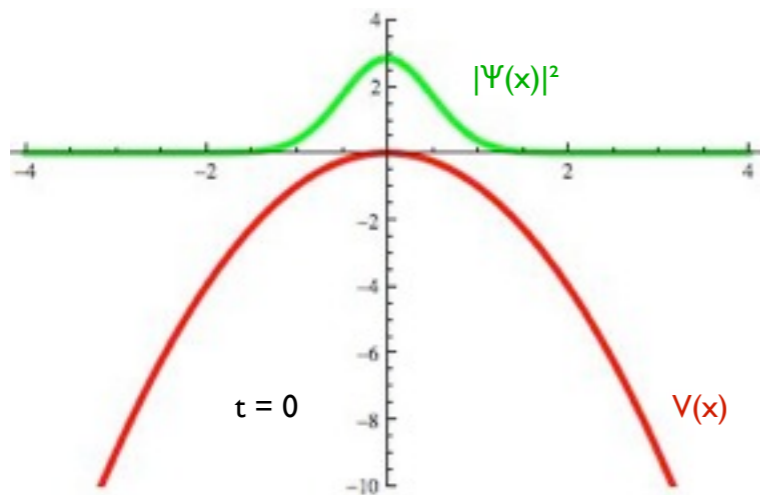
# The “pencil on its tip”

The decay of an unstable vacuum state is a common problem, e.g., in cosmology and in condensed matter physics. Paradigm case: inverted oscillator.



$$\hat{H}(t) = \frac{p^2}{2} + \frac{m(t)^2}{2} x^2$$

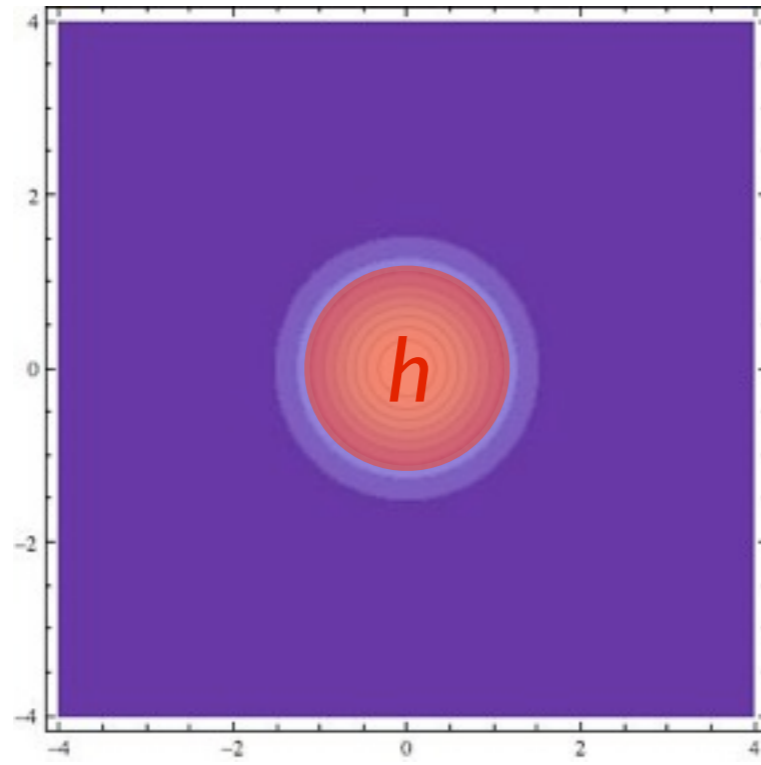
$$\text{with } m(t)^2 = \omega^2 \theta(-t) - \lambda^2 \theta(t)$$



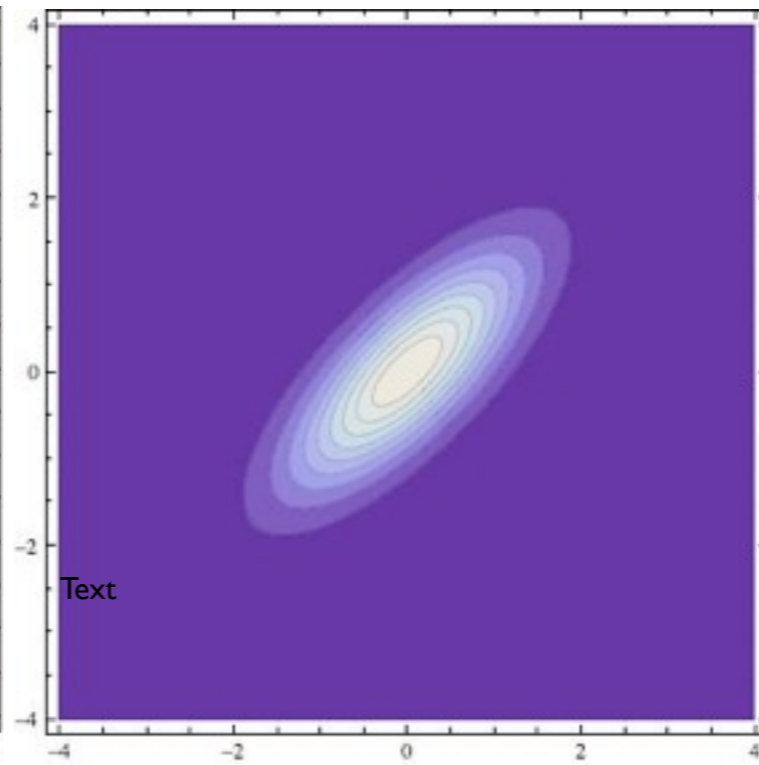
Wigner function: 
$$W(q, p; t) = \int du e^{-ipu} \langle q + \frac{1}{2}u | \hat{\rho}(t) | q - \frac{1}{2}u \rangle$$

# Wigner function

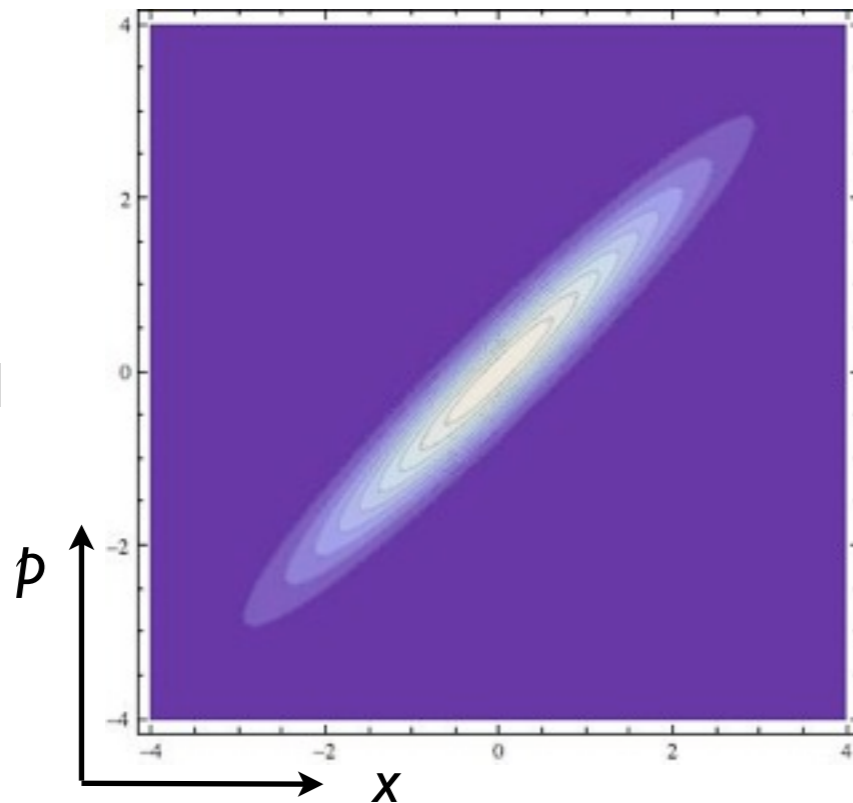
$t = 0$



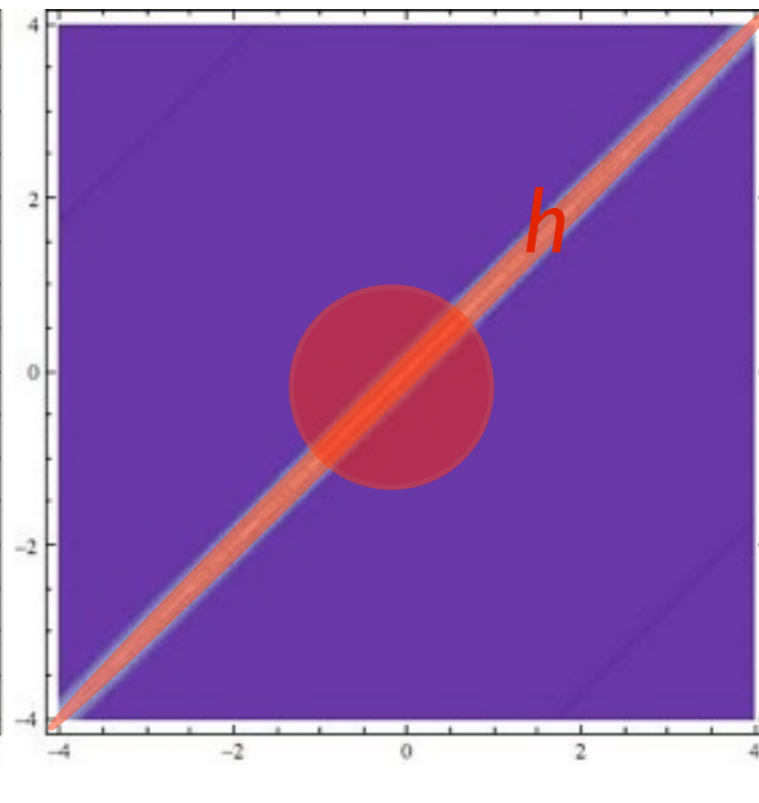
$t = 0.5$



$t = 1$



$t = 2$





# Husimi transform

- Problem: Wigner function cannot be interpreted as a probability distribution, because  $W(p,x)$  is not positive definite.
- Idea (*Husimi* - 1940): Smear the Wigner function with a Gaussian minimum uncertainty wave packet:

$$H_{\Delta}(p, x; t) \equiv \int \frac{dp' dx'}{\pi \hbar} \exp\left(-\frac{1}{\hbar \Delta}(p - p')^2 - \frac{\Delta}{\hbar}(x - x')^2\right) W(p', x'; t)$$

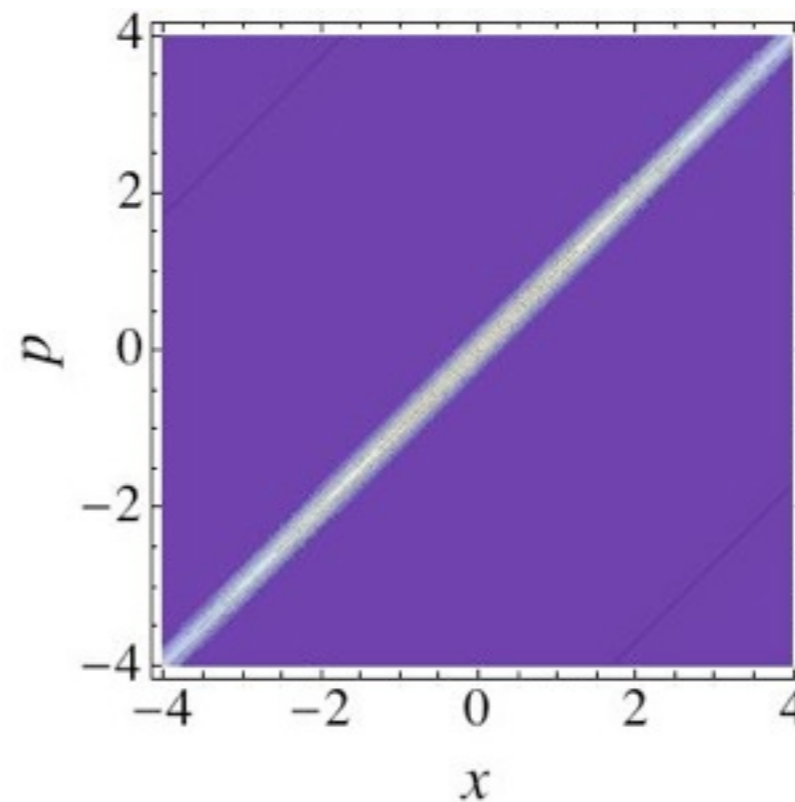
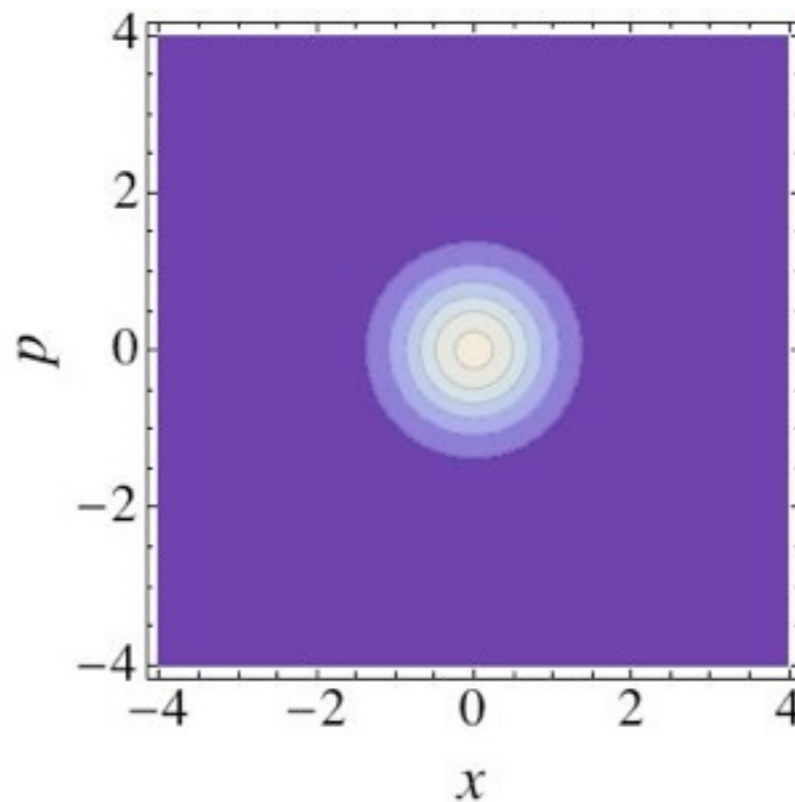
- $H(p,x)$  can be shown to be the expectation value of the density matrix in a coherent oscillator state  $|x+ip\rangle$  and thus  $H(p,x) \geq 0$  holds always.
- $H(p,x)$  can be considered as a probability density, enabling the definition of a **minimally coarse grained entropy** (*Wehrl* - 1978):

$$S_{H,\Delta}(t) = - \int \frac{dp dx}{2\pi \hbar} H_{\Delta}(p, x; t) \ln H_{\Delta}(p, x; t)$$

# Wigner vs. Husimi

Wigner  
function

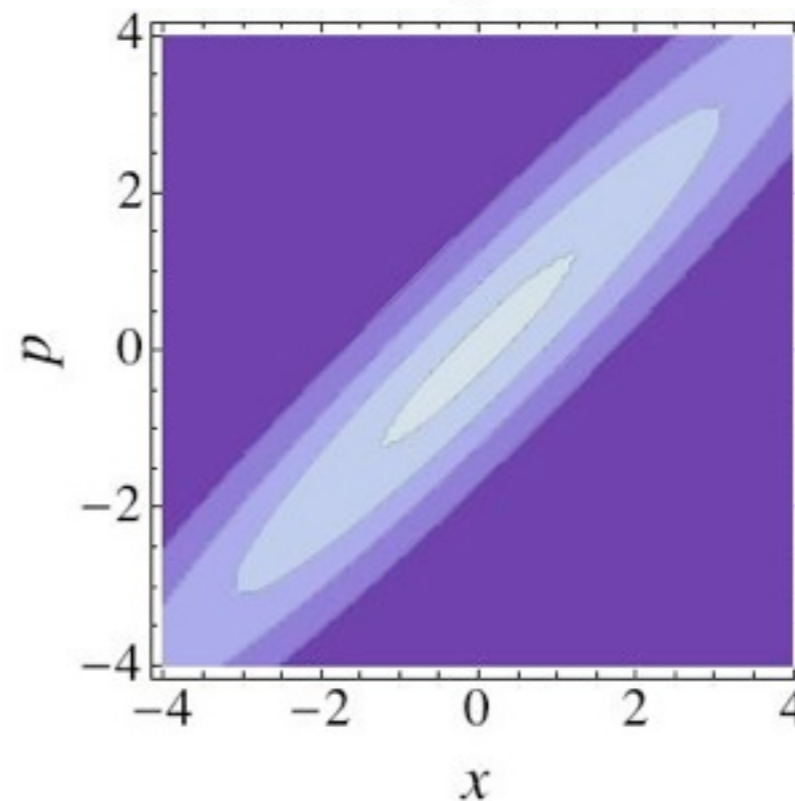
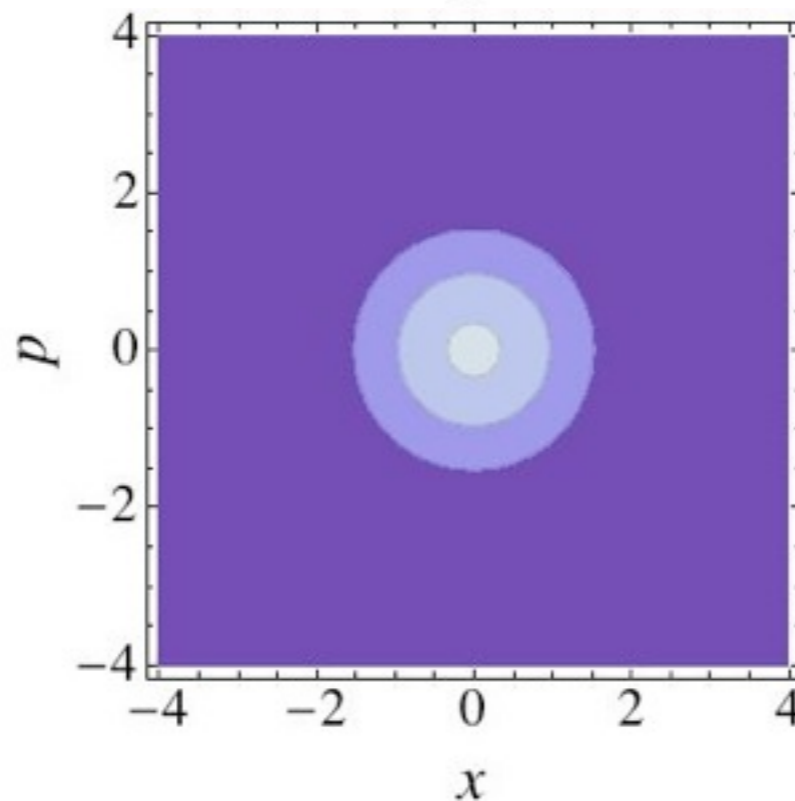
$t = 0$



$t = 2$

Husimi  
function

$t = 0$



$t = 2$

# $S_H$ entropy growth

$$\frac{dS_H}{dt} = \frac{\lambda \sigma \rho \sinh 2\lambda t}{\sigma \rho \cosh 2\lambda t + 1 + \delta' \delta} \xrightarrow{t \rightarrow \infty} \lambda \quad \text{with } \rho, \sigma, \delta, \delta' \text{ constants dep. on } \omega, \lambda$$

but independent of  $\Delta$  and  $\hbar$  !!!

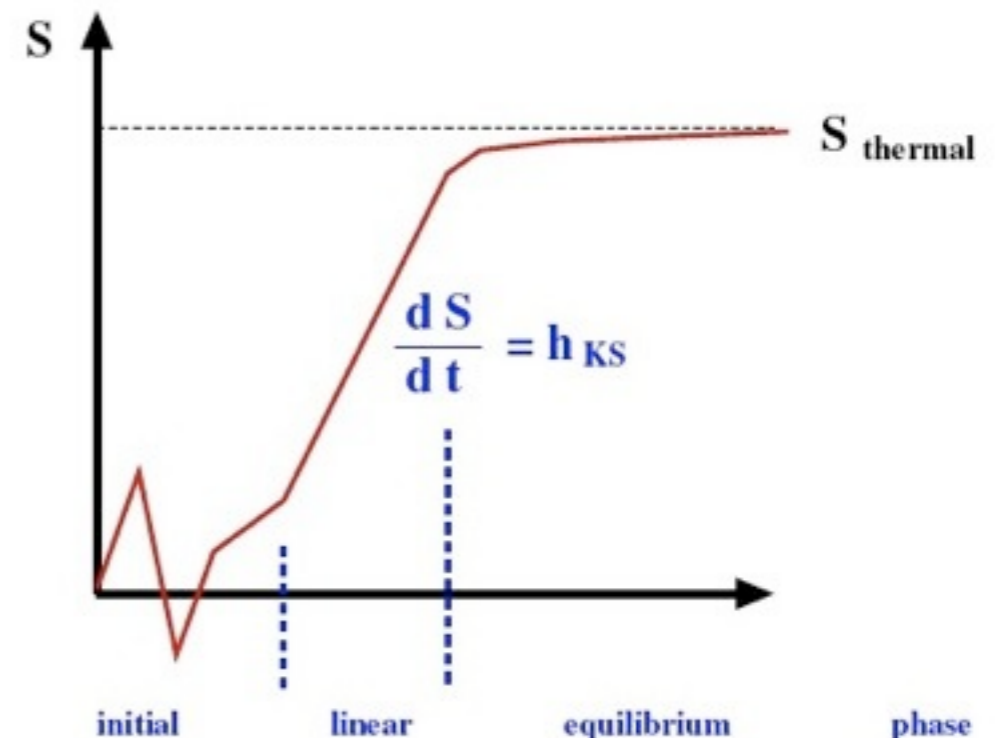
Many modes:  $\frac{dS_H}{dt} \xrightarrow{t \rightarrow \infty} \sum_k \lambda_k \theta(\lambda_k)$

Kunihiro, BM, Ohnishi & Schäfer,  
*Prog. Theor. Phys.* 121 (2009) 555

Kolmogorov-Sinai (KS) entropy growth rate  $h_{KS}$  of classical dynamical system theory.

KS-entropy growth rate describes the growth rate of the entropy for a coarse grained phase space density.

[Latora & Baranger, PRL 82 (1999) 520]



# Quantum quench

$$\hat{H}(t) = \int_0^\infty \frac{dp}{2\pi} \left( \hat{\Pi}^\dagger(p) \hat{\Pi}(p) + (m^2(t) + p^2) \hat{\Phi}^\dagger(p) \hat{\Phi}(p) \right) \quad \text{with} \quad m^2(t) = m^2 \theta(-t) - \mu^2 \theta(t)$$

“Quantum quench”

Split problem into stable ( $p^2 > \mu^2$ ) and unstable ( $p^2 < \mu^2$ ) modes.

Wigner functional:

$$W[\Pi, \Phi; t] = C e^{-\int \frac{dp}{2\pi} \left( \frac{|\Pi_p|^2}{E_p} + E_p |\Phi_p|^2 \right)} \quad \text{with} \quad E_p = \sqrt{p^2 + m^2}$$

Each mode of  $W$  evolves along a classical trajectory:

$$|p| < \mu$$

$$\begin{aligned} \Phi_p^0 &= \Phi_p(t) \cosh \lambda_p t - \frac{\Pi_p(t)}{\lambda_p} \sinh \lambda_p t \\ \Pi_p^0 &= \Pi_p(t) \cosh \lambda_p t - \lambda_p \Phi_p(t) \sinh \lambda_p t \end{aligned}$$

$$\lambda_p = \sqrt{\mu^2 - p^2}$$

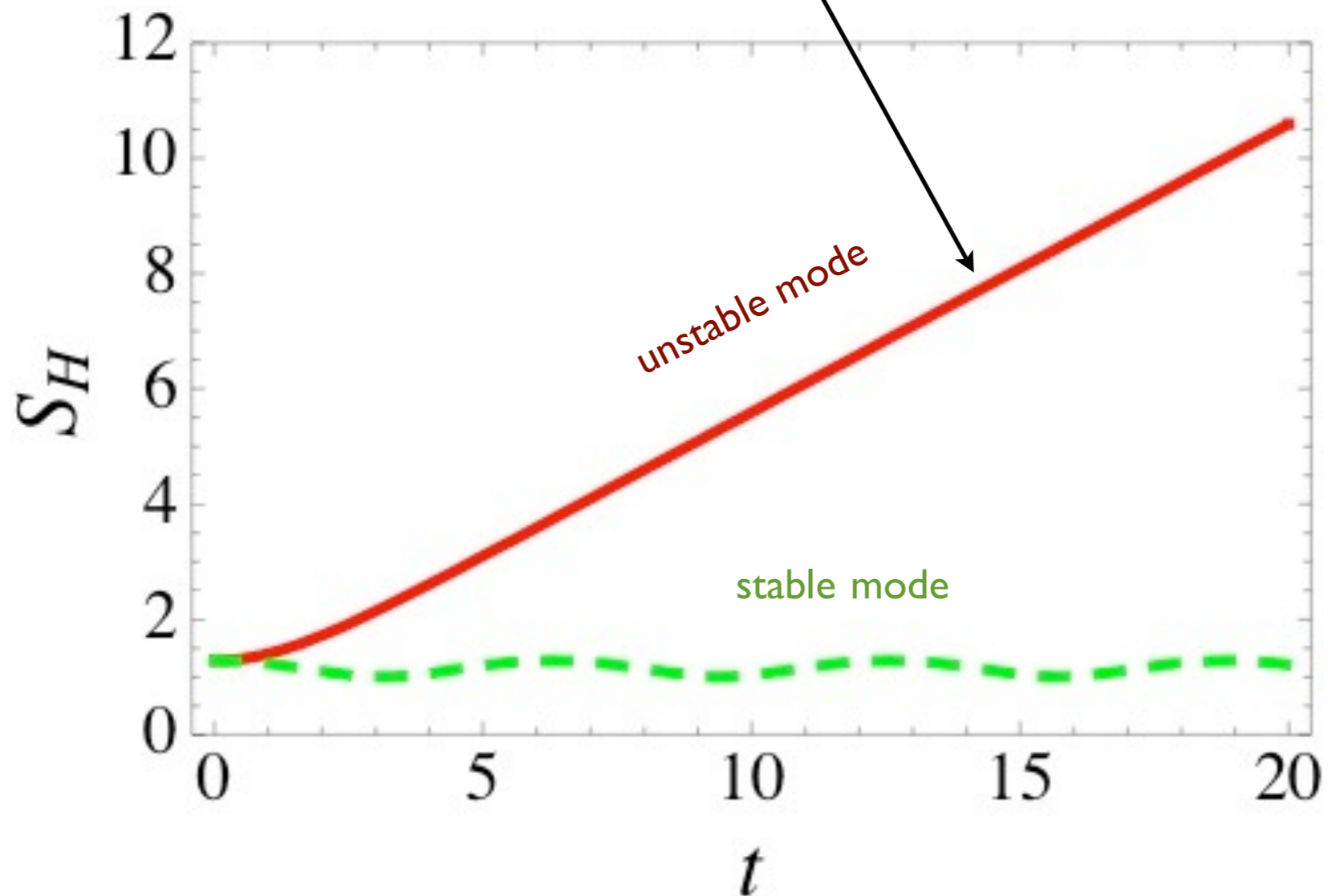
$$|p| > \mu$$

$$\begin{aligned} \Phi_p^0 &= \Phi_p(t) \cos \omega_p t - \frac{\Pi_p(t)}{\omega_p} \sin \omega_p t \\ \Pi_p^0 &= \Pi_p(t) \cos \omega_p t + \omega_p \Phi_p(t) \sin \omega_p t \end{aligned}$$

$$\omega_p = \sqrt{p^2 - \mu^2}$$

# Instability begets entropy

Only  $S_H$  of unstable modes grows !

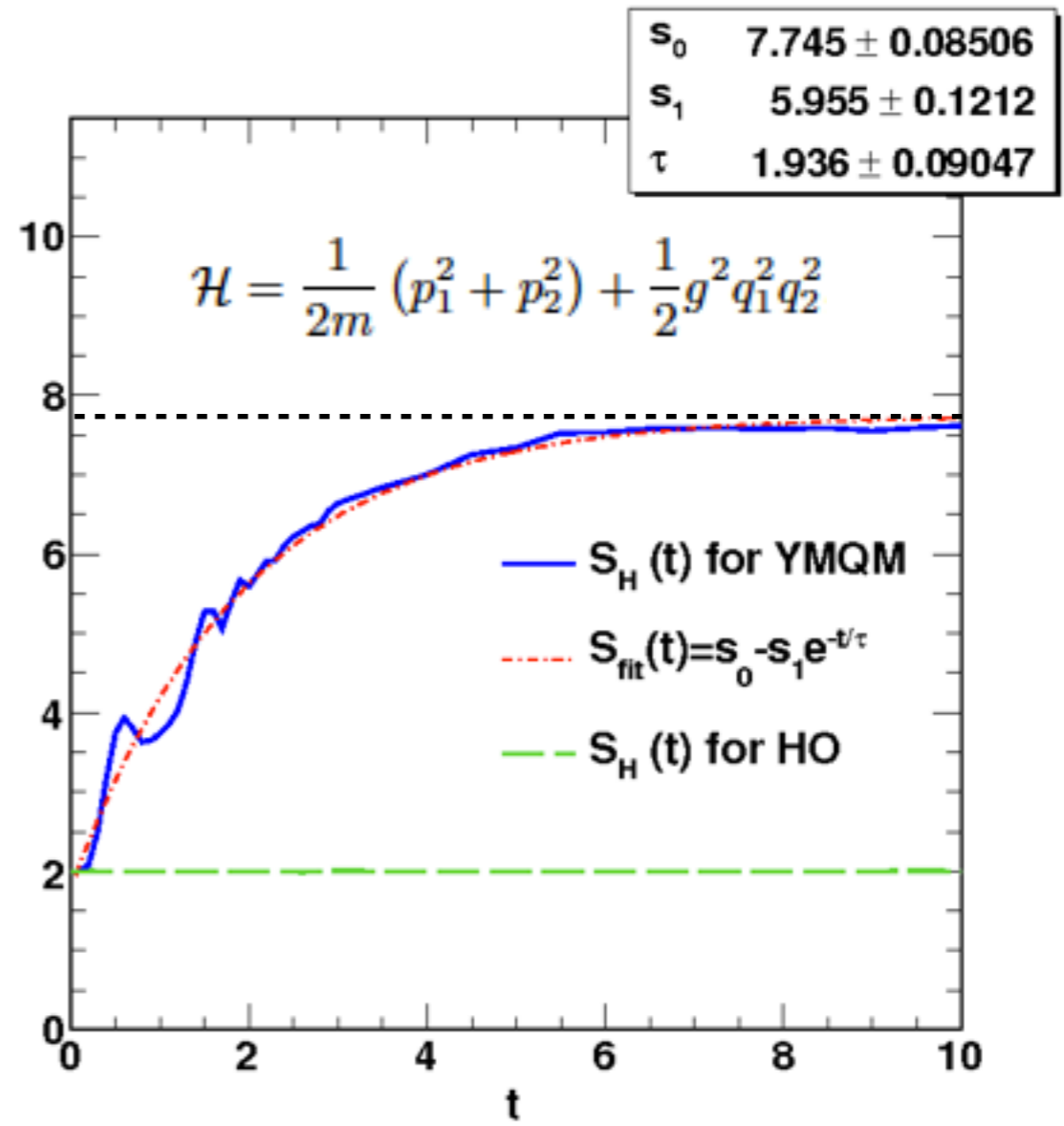
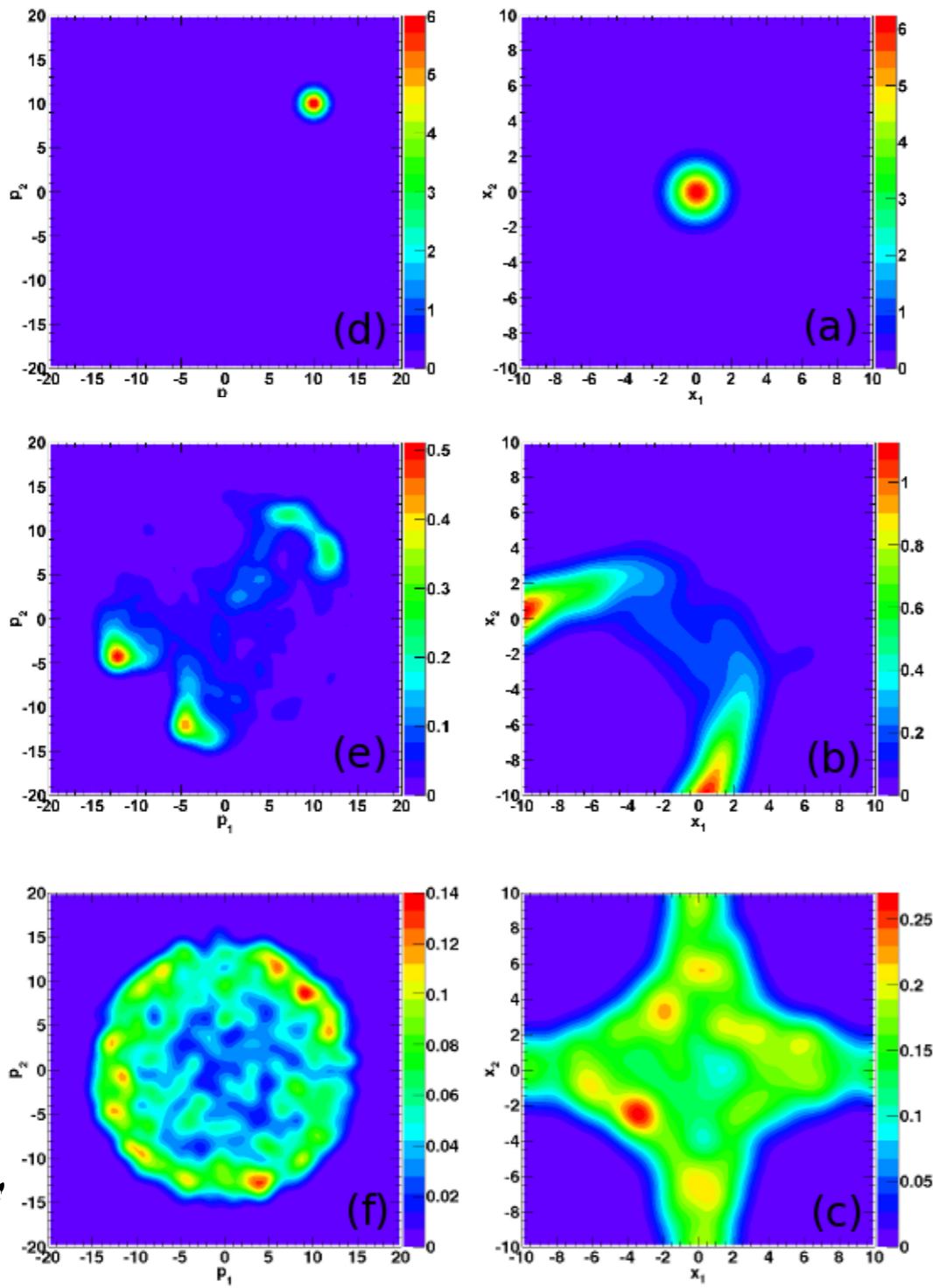


---

# Yang-Mills theory

# YM model system

HM Tsai & BM, arXiv: 1011.3508





# Yang-Mills theory

$$H = \frac{1}{2g^2} \int d^3x \left( \sum_{a,i} E_i^a(x)^2 + \frac{1}{2} \sum_{a,i,j} F_{ij}^a(x)^2 \right) \Rightarrow H = \frac{1}{2} \sum_{x,a,i} E_i^a(x)^2 + \frac{1}{4} \sum_{x,a,i,j} F_{ij}^a(x)^2$$

continuum

lattice

classical EOM's:

$$\begin{aligned} \dot{A}_i^a(x) &= E_i^a(x) , \\ \dot{E}_i^a(x) &= \sum_j \partial_j F_{ji}^a(x) + \sum_{b,c,j} f^{abc} A_j^b(x) F_{ji}^c(x) \end{aligned}$$

infinitesimal fluctuations (Hessian):

$$\mathcal{H} = \begin{pmatrix} H_{EA} & H_{EE} \\ -H_{AA} & -H_{AE} \end{pmatrix}$$

$$H_{EE} = \delta^{ab} \delta_{ij} \delta_{x,y} ,$$

$$H_{EA} = H_{AE} = 0 ,$$

$$H_{AA} = \frac{1}{4} \delta^{ab} P + \frac{1}{2} \sum_c f^{abc} Q^c + \sum_{cde} f^{acd} f^{bce} R^{de} ,$$

$$\begin{aligned} P &= -(\delta_{x+\hat{i},y+\hat{j}} - \delta_{x+\hat{i},y-\hat{j}} - \delta_{x-\hat{i},y+\hat{j}} + \delta_{x-\hat{i},y-\hat{j}}) \\ &\quad + \delta_{ij} \sum_k (2\delta_{x,y} - \delta_{x+\hat{k},y-\hat{k}} - \delta_{x-\hat{k},y+\hat{k}}) \end{aligned} \quad (27)$$

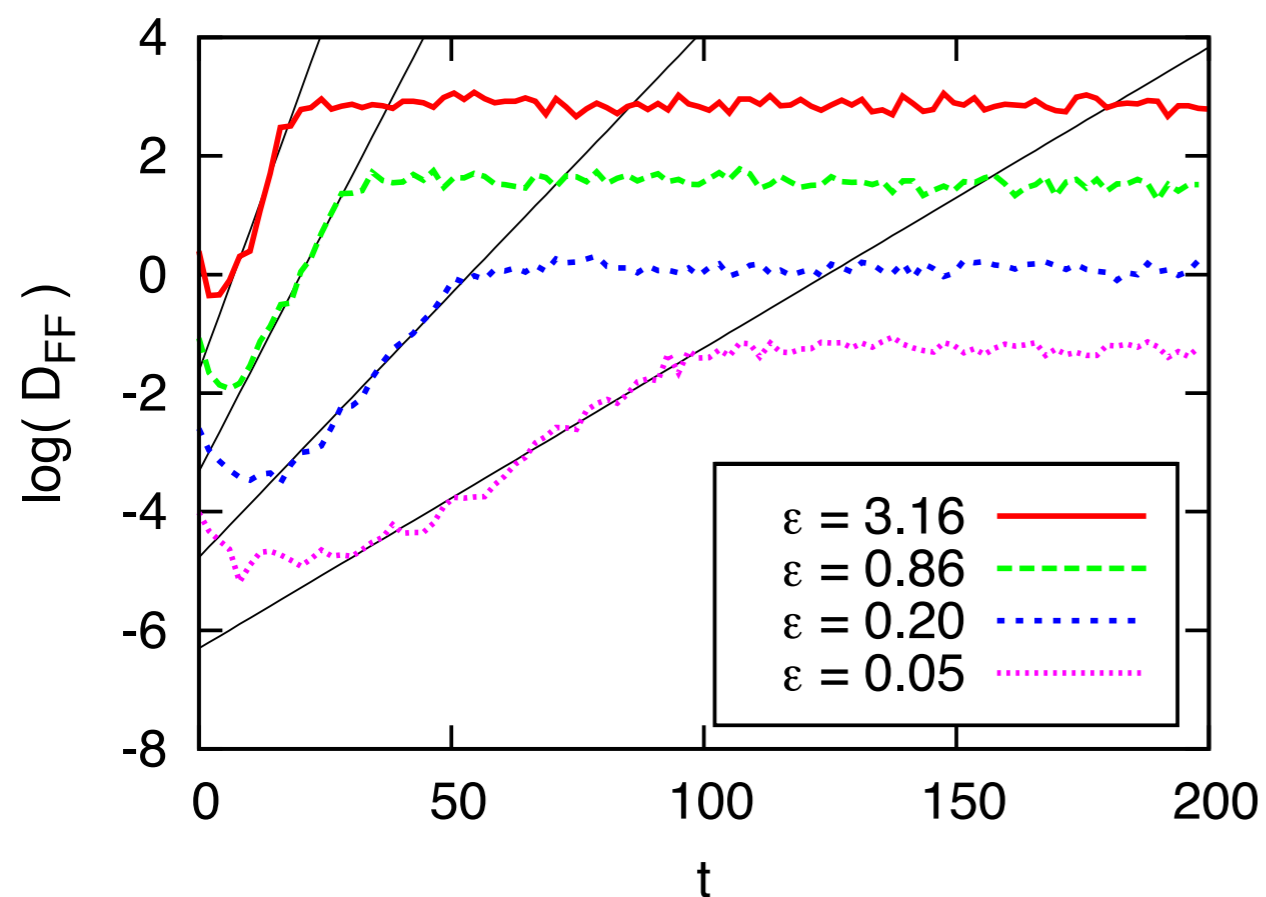
$$\begin{aligned} Q^c &= A_i^c(y)(\delta_{x,y+\hat{j}} - \delta_{x,y-\hat{j}}) - A_j^c(x)(\delta_{x+\hat{i},y} - \delta_{x-\hat{i},y}) \\ &\quad + \delta_{ij} \sum_k \{A_k^c(x) + A_k^c(y)\}(\delta_{x+\hat{k},y} - \delta_{x-\hat{k},y}) \\ &\quad + 2F_{ij}^c(x) \delta_{x,y} \end{aligned} \quad (28)$$

$$R^{de} = \{-A_i^e(x)A_j^d(x) + \delta_{ij} \sum_k A_k^d(x)A_k^e(x)\} \delta_{x,y} . \quad (29)$$

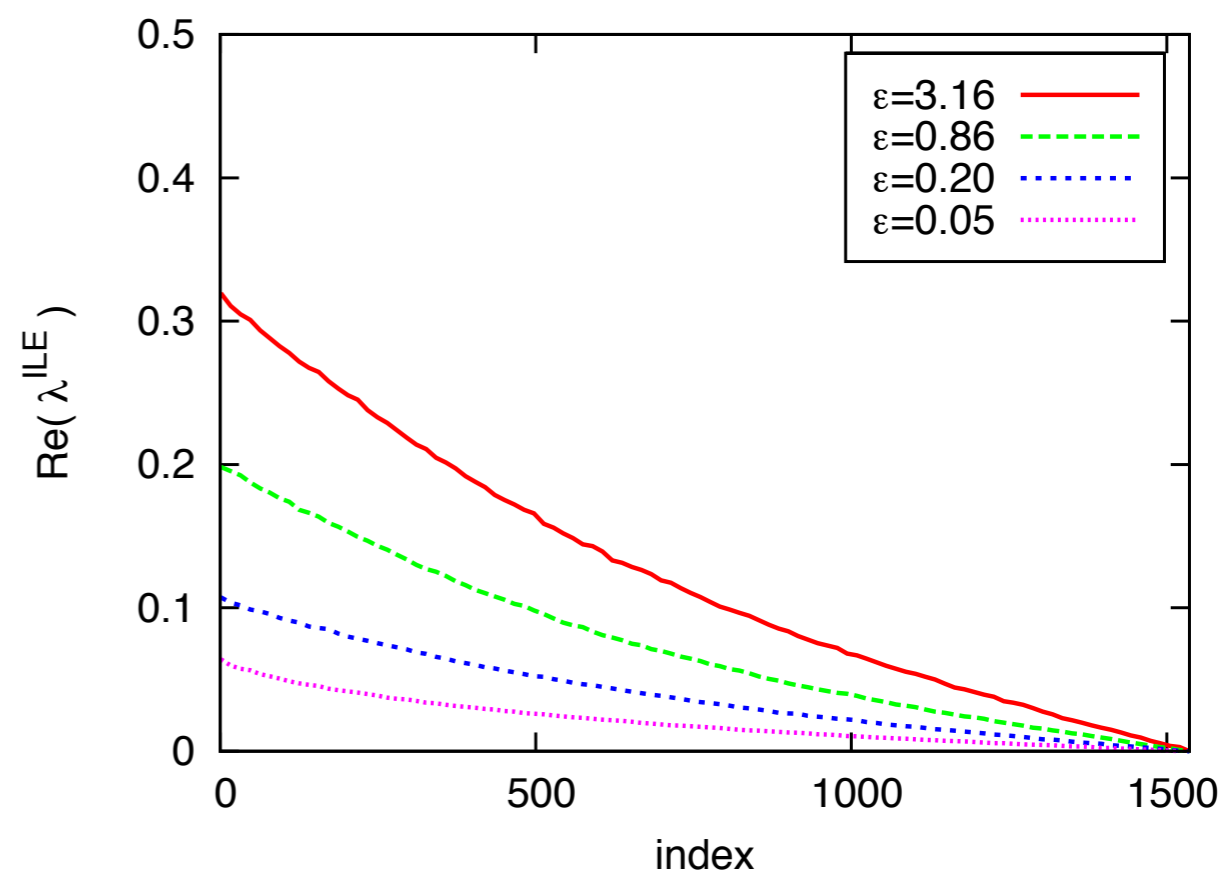


# SU(3) YM

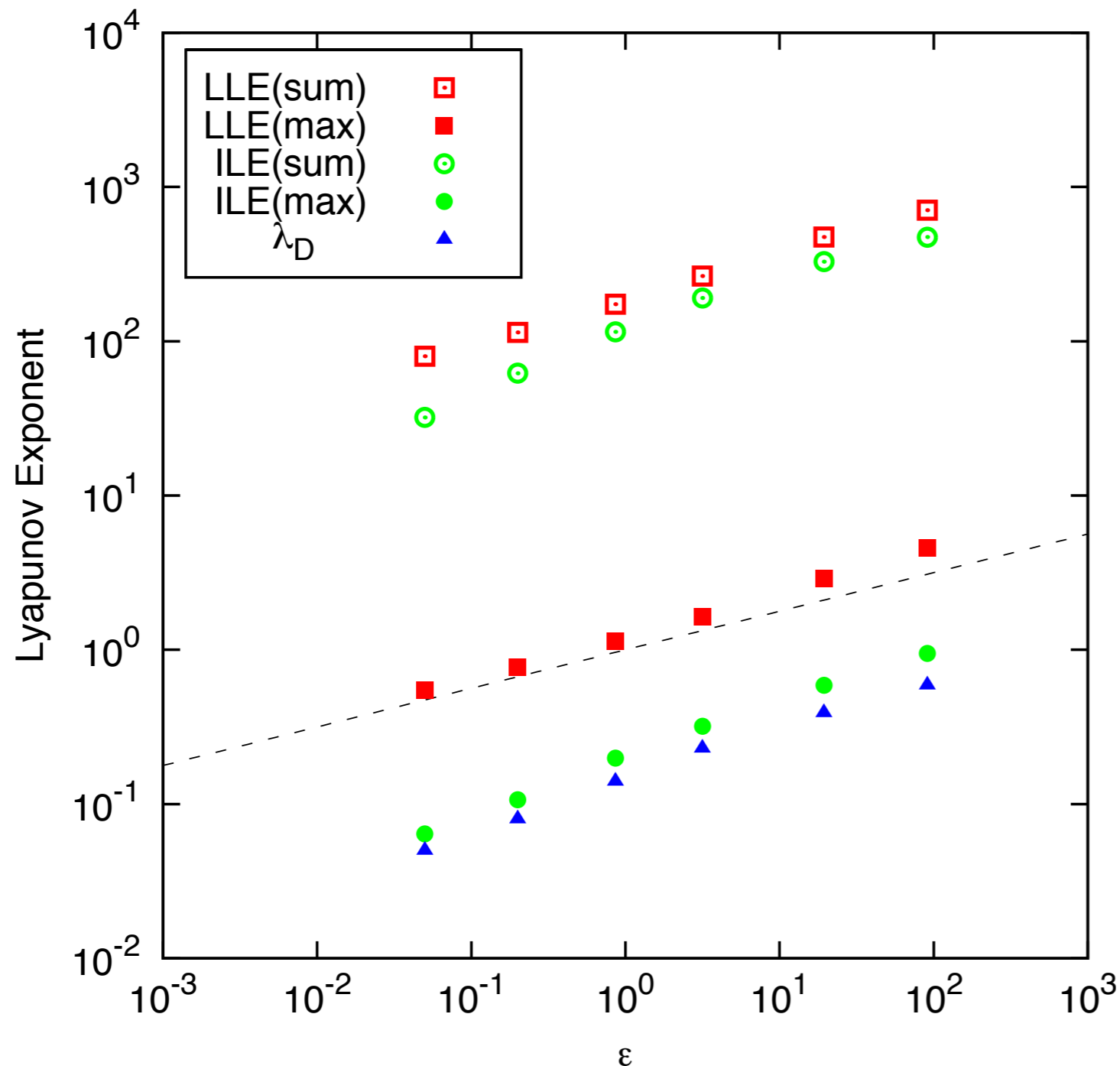
Infinitesimal fluctuations grow at exponential rate increasing with energy density



Intermediate time Lyapunov spectrum on  $4^3$  lattice



# Lyapunov exponents



energy dependence of  
maximal Lyapunov exponent  
and KS entropy growth rate

$$\sum_i \lambda_i \sim \varepsilon^{1/4} L^3$$

$$\Rightarrow \tau_{\text{eq}} \sim 5/T \sim 2-3 \text{ fm/c}$$

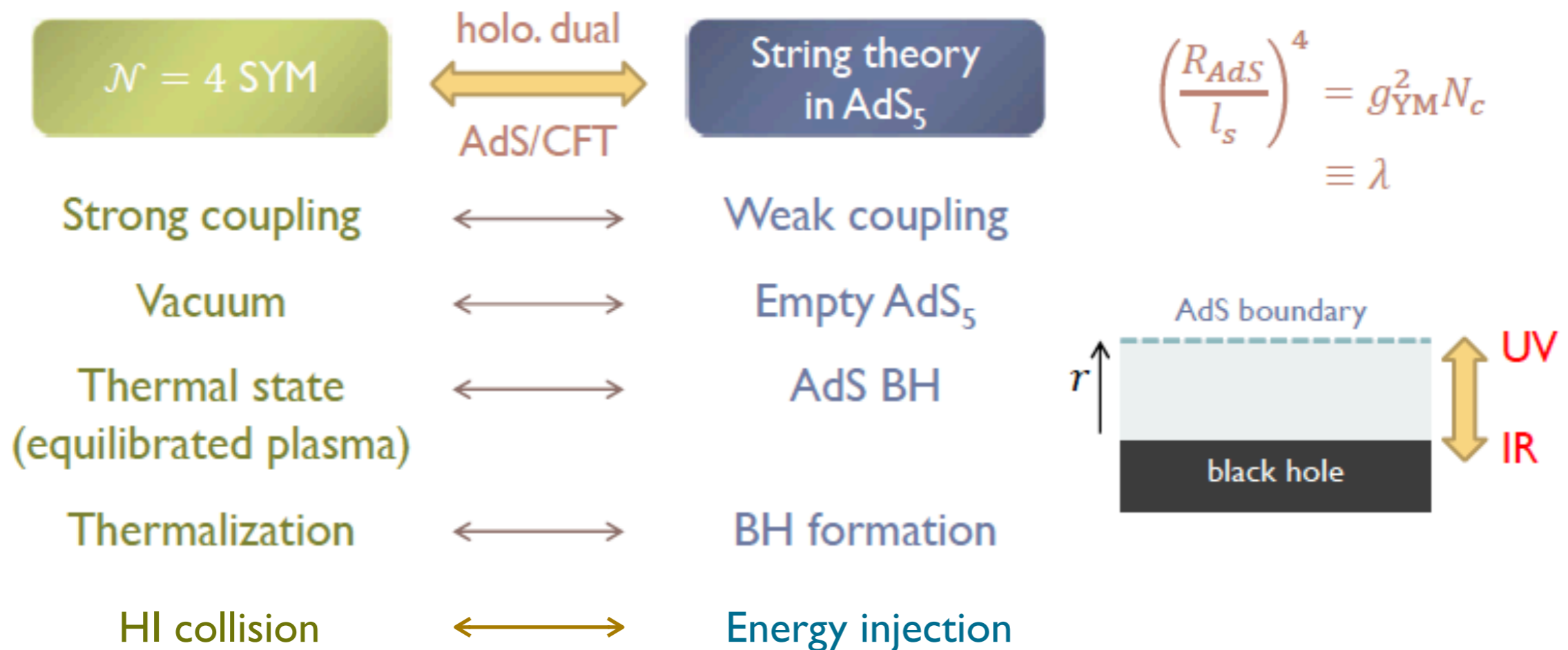
Kunihiro, BM, Ohnishi, Schäfer,  
Takahashi & Yamamoto  
*Phys. Rev. D82 (2010) 114015*

---

# Holographic Thermalization

# AdS/CFT dictionary

- ▶ Want to study strongly coupled phenomena in QCD
- ▶ Toy model:  $\mathcal{N} = 4$   $SU(N_c)$  SYM



# Holographic thermalization

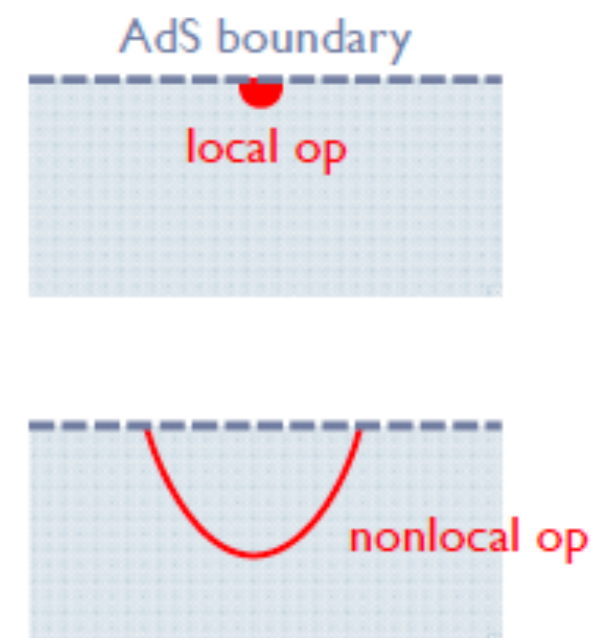
- What is the measure of thermalization on the boundary?

- Local operators are not sufficient

$$\langle T_{\mu\nu} \rangle \text{ etc.}$$

- Nonlocal operators are more sensitive

$$\langle O(x)O(x') \rangle \text{ etc.}$$



- What is the thermalization time?

- When observables reach their thermal values

Vijay Balasubramanian (U Penn)

Alice Bernamonti, Ben Craps, Neil Copland, Wieland Staessens (VU Brussels)

Jan de Boer (Amsterdam)

Esko Keski-Vakkuri (Helsinki/Uppsala)



Masaki Shigemori (KMI Nagoya)

Andreas Schäfer (Regensburg)

*Phys. Rev. Lett.* 106 (2011) 191601; arXiv: 1010.4753

# Thermality probes

- **Local operators** like  $\langle T_{\mu\nu} \rangle$  measure moments of the momentum distribution of field excitations
  - e.g.  $\langle k_x^2 \rangle$  vs.  $\langle k_z^2 \rangle$
- **Nonlocal operators**, like the equal-time Green function, are sensitive to the momentum distribution and to the spectral density of excitations:
  - $$G(\vec{x}) = \int d\vec{k} dk^0 \sigma(k^0, \vec{k}) [n(\vec{k}) + 1] \exp(i\vec{k} \cdot \vec{x})$$
  -
- **Entropy** is the “gold standard” of thermalization:
  - $S = -\text{Tr}[\rho \ln(\rho)]$  probes all degrees of freedom.
  - Coarse graining mechanism: **Entanglement entropy**.

# Probes we consider

- ▶ **2-point function**

- ▶  $\langle \mathcal{O}(x)\mathcal{O}(x) \rangle$

- ▶ Bulk: geodesic (1D)

- ▶ **Wilson line**

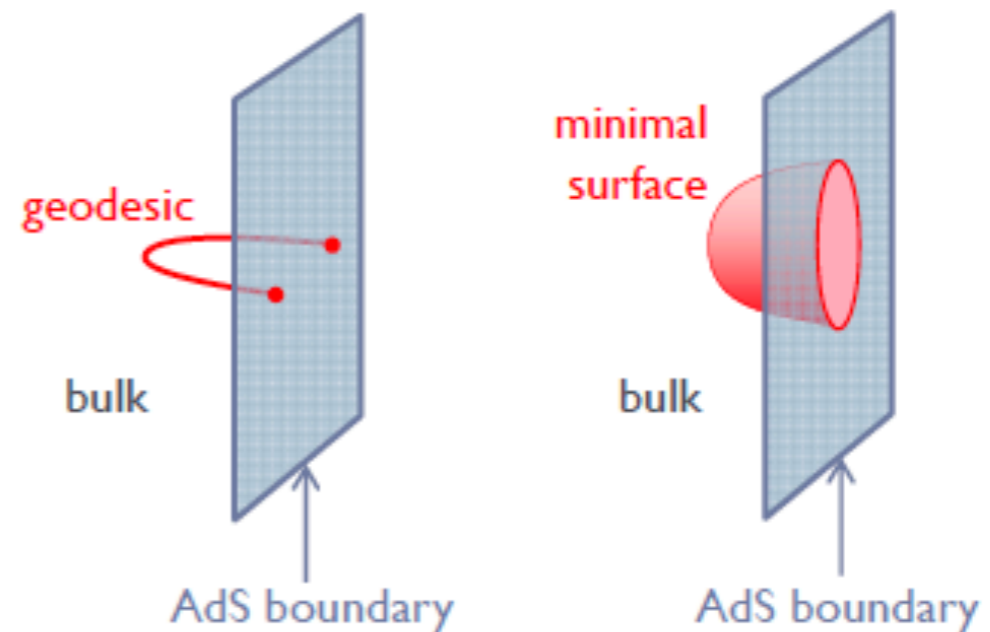
- ▶  $W = P\left\{\exp\left[\int_C A_\mu(x) dx^\mu\right]\right\}$

- ▶ Bulk: minimal surface (2D)

- ▶ **Entanglement entropy**

- ▶  $S_A = -\text{Tr}_A[\rho_A \log \rho_A], \quad \rho_A = \text{Tr}_B[\rho_{\text{tot}}]$

- ▶ Bulk: codim-2 hypersurface (same dimension as boundary space)



Use semiclassical approximation

For details: [V. Balasubramanian, et al., PRL 106, 191601 \(2011\); arXiv:1103.2683](#)

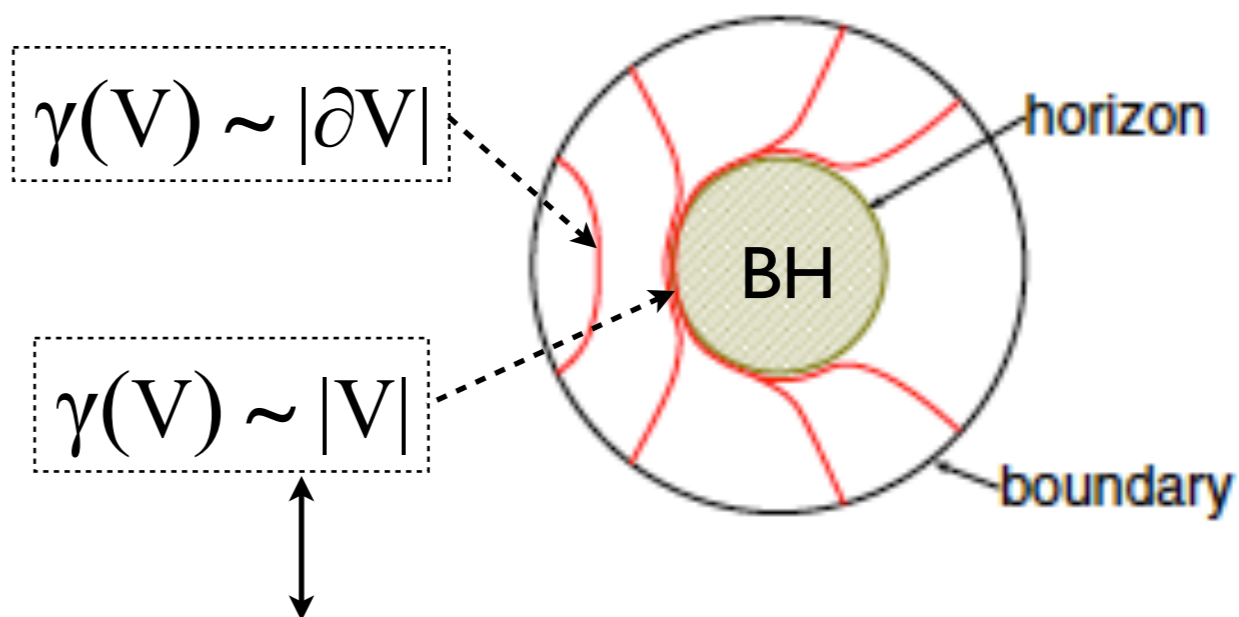
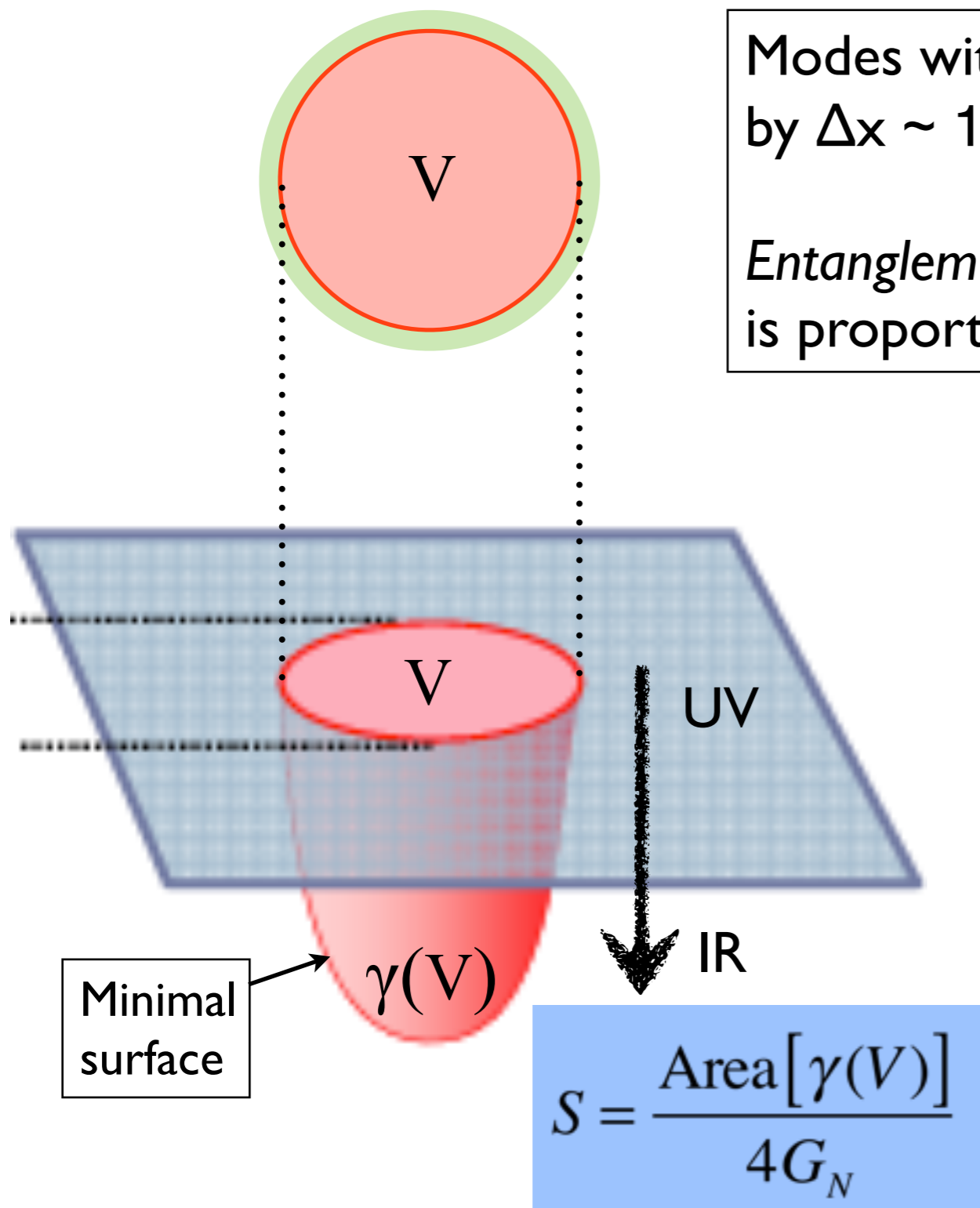
See also: [S. Caron-Huot, P.M. Chesler & D. Teaney, arXiv:1102.1073](#)



# Entanglement entropy

Modes with momentum  $k$  “leak” into surrounding by  $\Delta x \sim 1/k \implies$  entanglement with environment

Entanglement entropy of localized vacuum domain is proportional to surface area (Srednicki 1994).



$T \neq 0$ :  $S$  proportional to volume  
 $\Leftrightarrow$  area of horizon of dual BH  
 (Ryu & Takayanagi 2006)



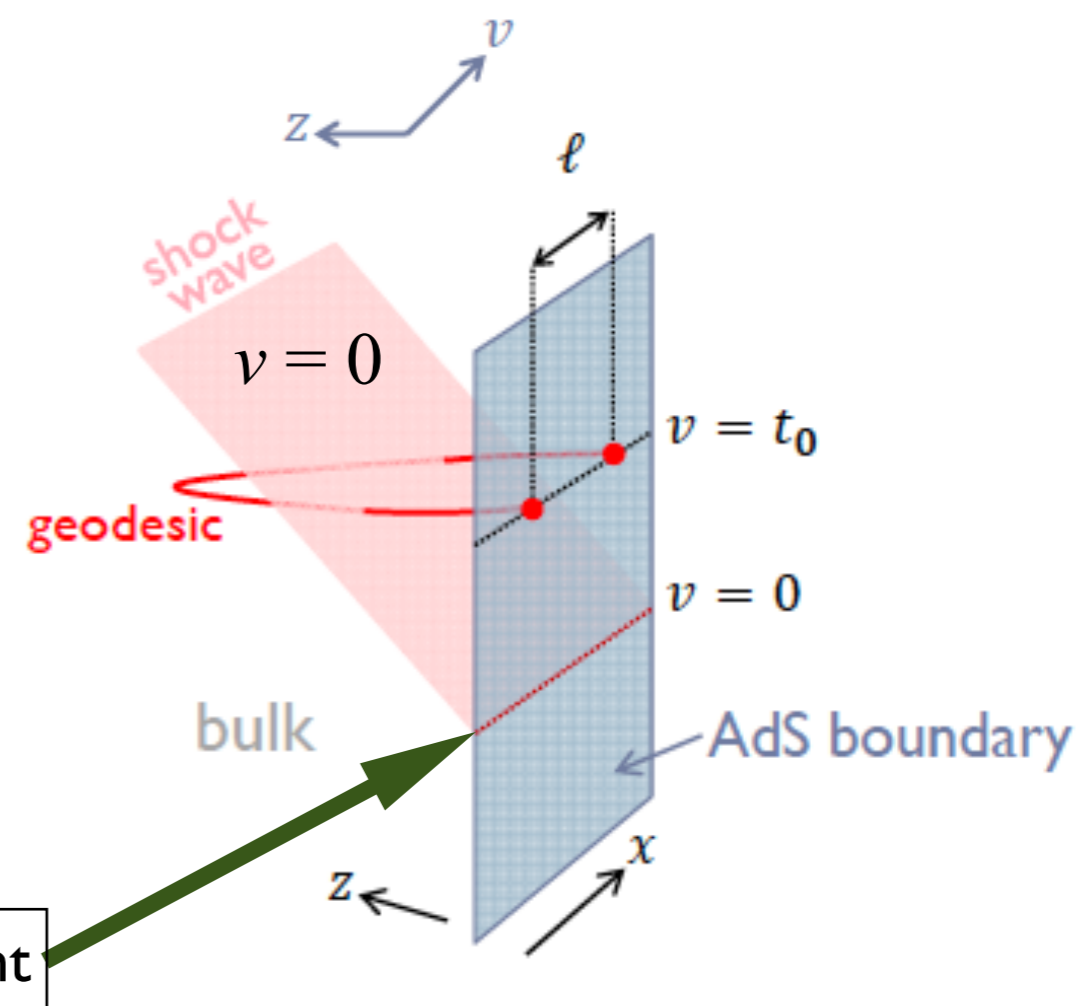
# Vaidya-AdS geometry

- Light-like (null) infalling energy shell in AdS (shock wave in bulk)

- *Vaidya-AdS space-time* (analytical)

$$ds^2 = \frac{1}{z^2} [-(1 - m(v)z^d)dv^2 - 2dz dv + d\vec{x}^2]$$

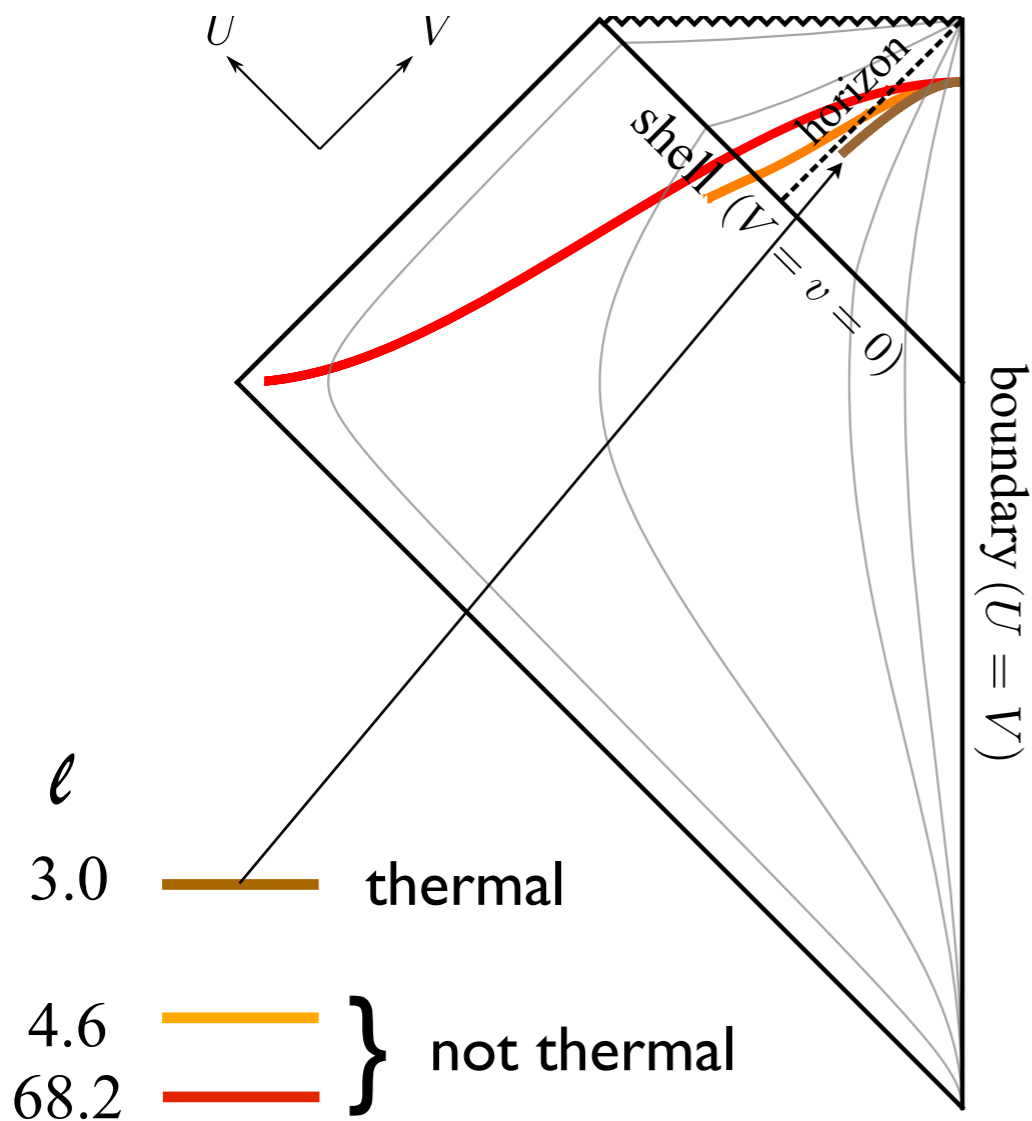
- $z = 0$ : UV       $z = \infty$ : IR
- Homogeneous, sudden injection of entropy-free energy in the UV
- Thin-shell limit can be studied semi-analytically
- We studied  $AdS_{d+1}$  for  $d = 2, 3, 4$
- $\Leftrightarrow$  Field theory in  $d$  dimensions



Injection moment

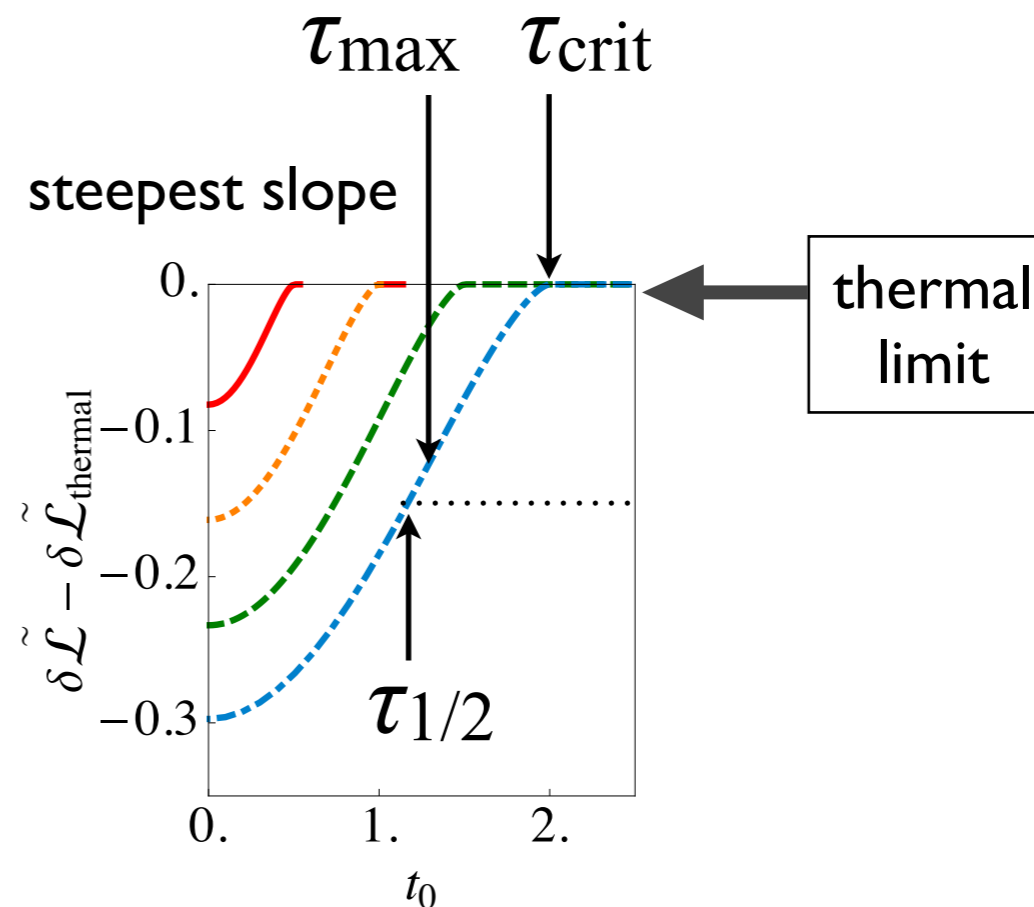
# Probing thermalization

Equal-time geodesics for fixed  $t_0 = 2$  and  $\ell = 3.0, 4.6, 68.2$

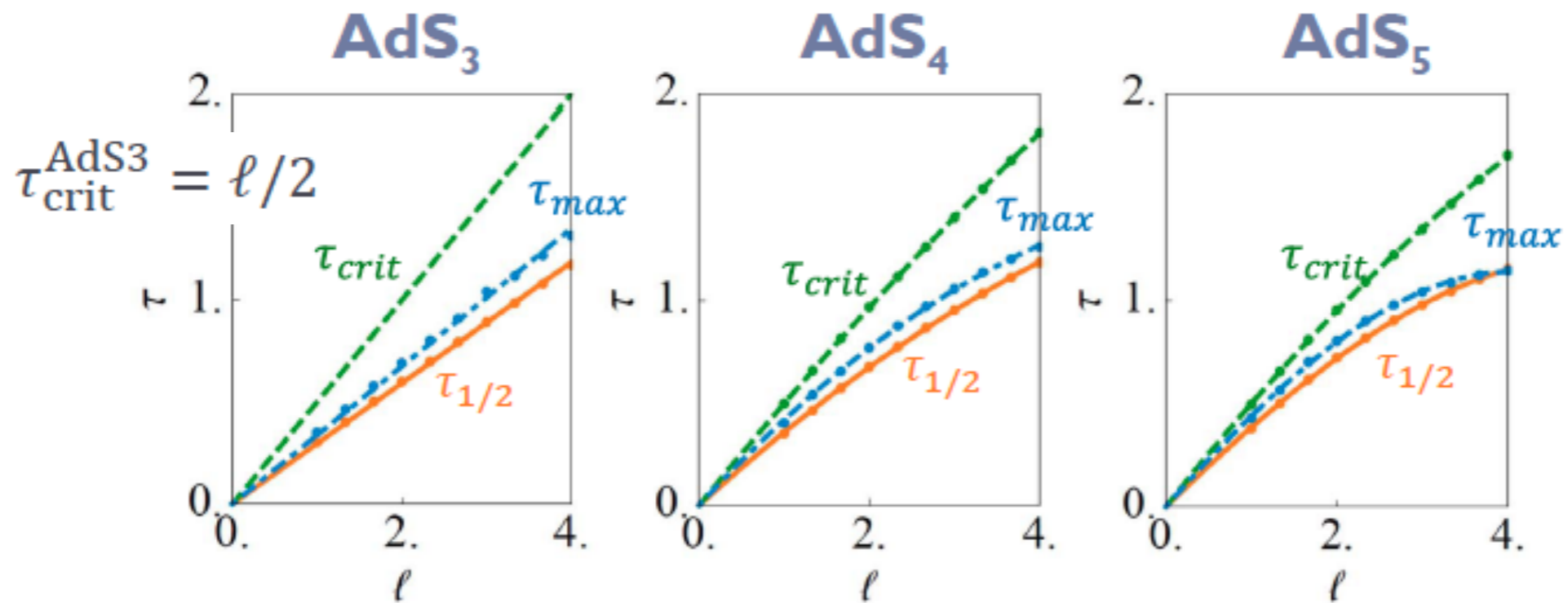
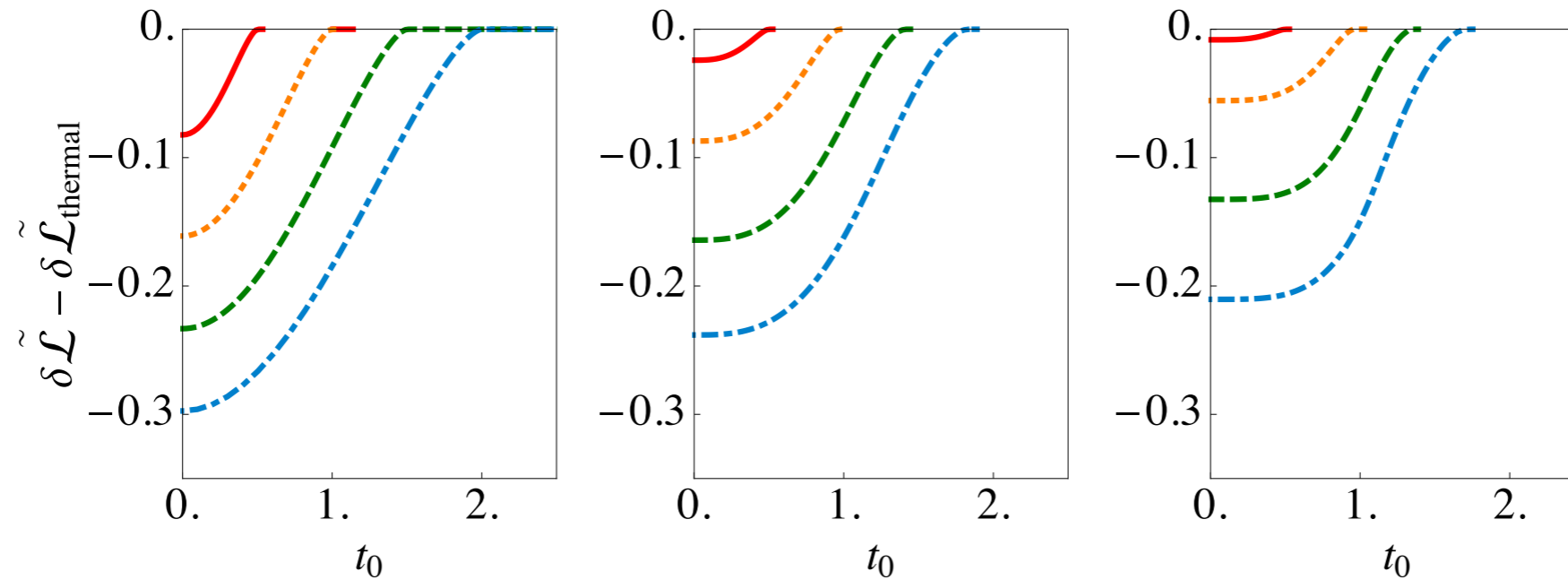


Geodesics staying outside the falling shell only probe “thermal” part of bulk space  
 ⇒ 2-point function is thermalized

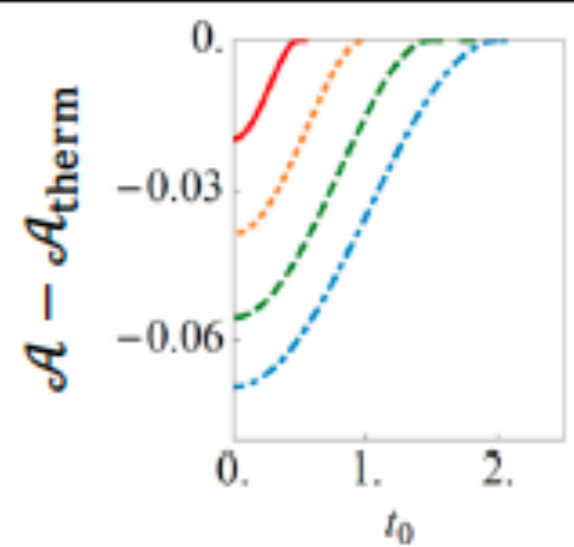
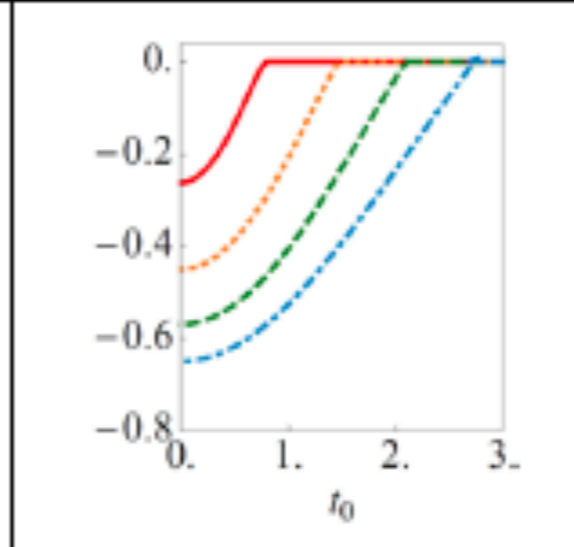
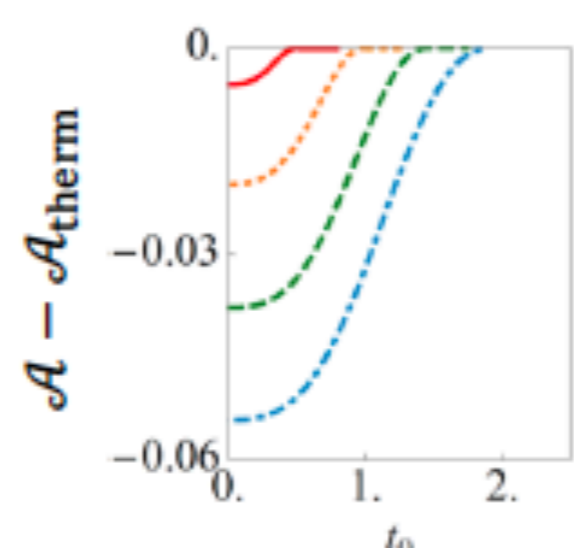
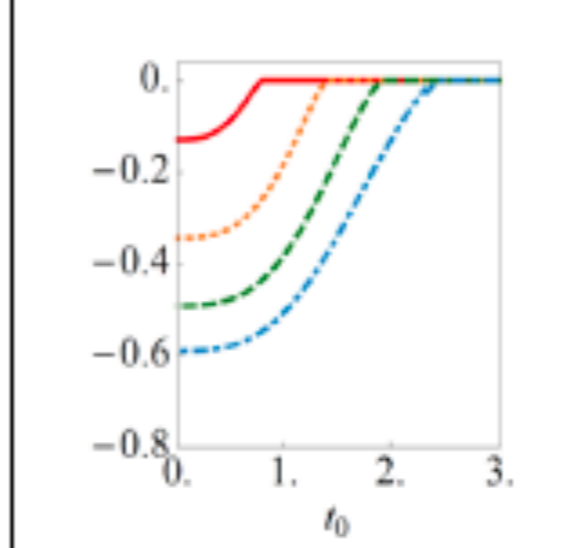
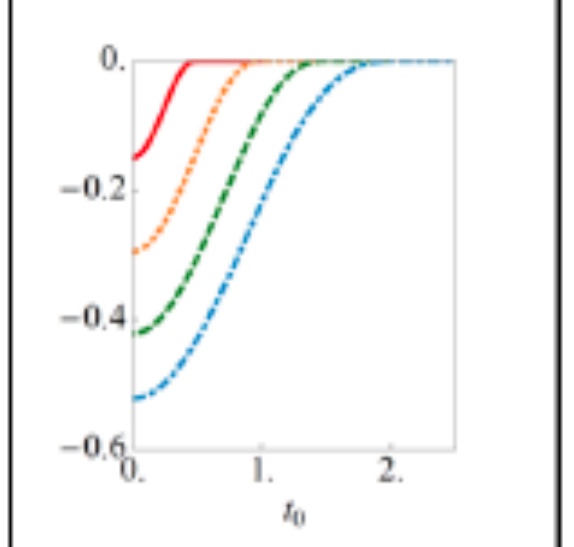
$$\langle O(x)O(x') \rangle \sim \exp[-\delta\mathcal{L}] \quad \text{with} \quad \delta\mathcal{L} = \mathcal{L} - \mathcal{L}_{\text{AdS}}$$



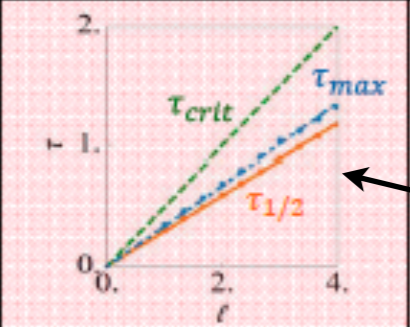
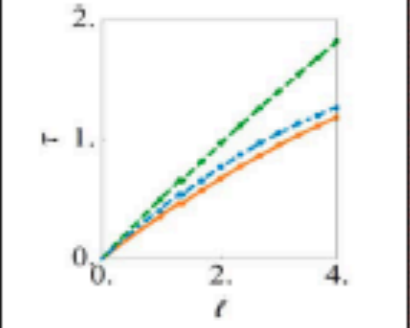

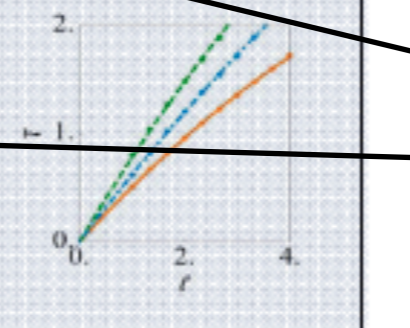
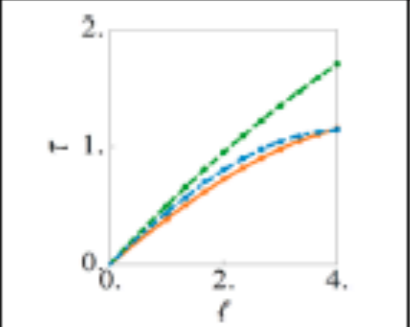
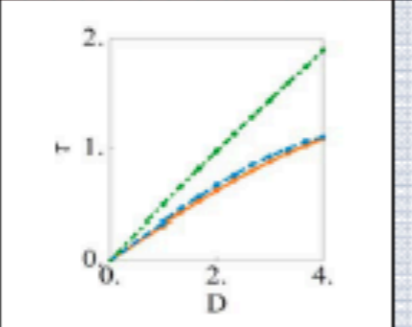
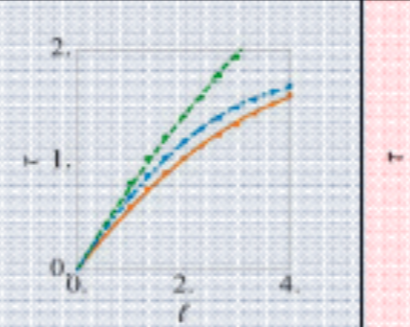
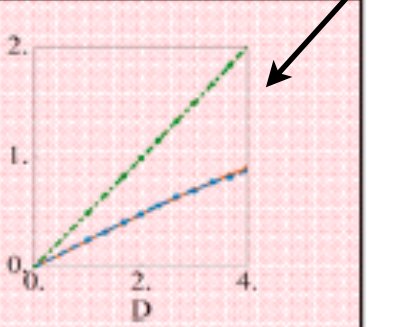
# 2-point functions



# Higher dim. observables

	<b>Circular Wilson loop</b>	<b>Rectangular Wilson loop</b>	<b>Wilson “sphere”</b>
<b>AdS<sub>4</sub></b>			N/A
<b>AdS<sub>5</sub></b>			

# Entropy thermalizes slowest

	geodesic	circular Wilson loop	Rectangular Wilson loop	EE for a sphere
AdS <sub>3</sub>		N/A	N/A	N/A
AdS <sub>4</sub>				N/A
AdS <sub>5</sub>				

Entanglement entropy of spherical volume in  $d = 2, 3, 4$

$$\tau_{\text{crit}} = \ell/2$$

**Thermalization time for entanglement entropy = time for light to escape from the center of the volume to the surface**

**All other observables thermalize even faster.**

# Conclusions

---

- Long-distance observables sensitive to IR modes take longer to thermalize
  - **Top-down** rather than **bottom-up** thermalization
- Entropy is the last observable to reach thermal value
- Thermalization proceeds as fast as constrained by causality i.e. **at the speed of light**
  - True for homogeneous energy injection; speed of sound will govern equilibration of spatial inhomogeneities

(Very crude) phenomenology:

$$\tau_{\text{crit}} \sim 0.5 \hbar/T \approx 0.3 \text{ fm}/c \text{ for } T = 300 - 400 \text{ MeV}$$

---

*The End !*