Conflict of Interest and Commitment Disclosure Form Questions

Please review the University’s Conflict of Interest and Commitment Policy and its related guidelines.

1. During the past 12 months, did you receive salary or consulting compensation or other forms of payment from an outside, non-Brown affiliated entity exceeding $5,000?
   a. If YES, open text box and state the following:
   b. Please describe:

2. During the past 12 months, did you hold an equity or have an ownership interest exceeding the lesser of 5% or $100,000 in an entity in which you have significant financial interest that you know does business, indirectly or directly, with Brown?
   a. If YES, open text box and state the following:
   b. Please describe:

3. Do you currently have a family member who is either employed by the University or is a consultant or independent contractor doing business with the University, or who is a student at the University? Brown University’s Conflict of Interest and Commitment Policy defines family member as a spouse, domestic partner, parent, child, sibling, grandparent, grandchild, (as well as in-laws and step parent, child, sibling, grandchild), guardian and ward or member of one's household.
   a. If YES, open text box and state the following:
   b. Please name the family member and describe whether you are supervising or evaluating this family member, directing or setting salary, approving expenses, or are otherwise in a role where you could influence decisions involving this family member. If they are employed by the University, state their position.

4. Have you been in the past or are you now able to exercise control or influence over a business relationship between Brown and a family member or associate (defined as any individual or organization with whom you have a close personal or business relationship)?
   a. If YES, open text box and state the following:
   b. Please identify the individual and the personal or business relationship in question and provide any additional information which would be helpful in understanding the nature of the relationship:

5. Do you serve in any uncompensated or minimally compensated position of responsibility, such as officer, director, or member of the board of directors at a non-Brown entity/organization?
   a. If YES, open text box and state the following:
   b. Please describe the organization and your role/service.

6. Do you hold or do you intend to seek election or appointment to a public office? Embed link
7. During the past calendar year, did you receive a gift or favor from an entity or person conducting business with or likely to conduct business with the University (e.g. a vendor, sponsor, donor) or from a student or student’s parent(s) valued at $200 or more? Do not include business meals or personal gifts received from family members who conduct business with or are enrolled at Brown.
   a. If YES, open text box and state the following:
   b. Please describe:

8. Do you currently use or propose to use University facilities or personnel, including computing facilities and services, for the benefit of any interest or activity for any outside interest that is not administered through the University?
   a. If YES, open text box and state the following:
   b. Please describe:

9. The previous questions asked you to describe your affiliations and activities. Are there other conflicts of interest or commitment that you have as a result of these or other interests, activities, or relationships?
   a. If YES, open text box and state the following:
   b. Please describe:

By completing and submitting this form, I affirm, as an employee of Brown University, that I have read the University's Conflict of Interest and Commitment Policy and its related guidelines, and I agree to comply with the Policy and to submit an updated Conflict of Interest and Commitment form if any conflicts arise during the year. Submission of this questionnaire serves as my electronic signature.