Laser Basics

Einstein A and B coefficients

Two, three, and four levels – rate equations

Gain and saturation

Slope efficiency

The electromagnetic spectrum

All of these are electromagnetic waves. The amazing diversity is a result of the fact that the interaction of radiation with matter depends on the frequency of the wave.



The boundaries between regions are a bit arbitrary...

Most light in the universe is emitted by atomic and molecular vibrations.



~10⁹ - 10¹⁰ Hz



Absorption: promotes an electron from the ground to the excited state Emission: drops the electron back to the ground state

"spontaneous emission" - the decay of an excited state to the ground state with the corresponding emission of a photon

Conservation of energy: $E_{excited} - E_{ground} = E_{photon}$

Actually, there are three types of interactions After Before key idea: conservation of energy **Absorption** Promotes molecule to a higher energy state •Decreases the number of photons Unexcited Excited molecule molecule **Spontaneous Emission** •Molecule drops from a high energy state to a lower state Increases the number of photons Stimulated Emission •Molecule drops from a high energy state to a lower state •The presence of one photon stimulates the emission of a second one •This process has no analog in classical physics - it

can only be understood with quantum mechanics!

Einstein A and B Coefficients

In 1916, Einstein considered the various **transition rates** between molecular states (say, 1 and 2) involving light of irradiance, *I*:

With this, we can write a **rate equation** for the population density of the two states. For the upper level:



Einstein B Coefficients

We can make a connection between B_{12} and B_{21} using a quantum mechanical argument based on time-dependent perturbation theory.

As a result of a perturbation h(t), a system in quantum state 1 makes a transition to quantum state 2 with probability given by:

$$P_{1\to 2} = \frac{1}{\hbar^2} \left| \int_{-\infty}^t e^{iE_{21}t'/\hbar} h(t') dt' \right|^2 \qquad \text{Notation:} \quad \omega_{21} = \frac{E_{21}}{\hbar}$$

<u>Key example</u>: suppose we subject a two-level system, initially in state 1, to a harmonic perturbation (like a light wave), of the form:

$$h(t) = \begin{cases} 0 & t < 0\\ 2E_0 \sin \omega t & t > 0 \end{cases}$$

(and suppose that the frequency of the perturbation, ω , is close to ω_{21})

The transition probability from state 1 to state 2 is:

$$P_{1\to 2} = \frac{E_0^2}{\hbar^2} \left| \int_0^t e^{i\omega_{21}t'} \left(e^{i\omega t'} - e^{-i\omega t'} \right) dt' \right|^2$$

Einstein B Coefficients are equal

$$P_{1\to 2} = \frac{A_0^2}{\hbar^2} \left| \int_0^t e^{i\omega_{21}t'} \left(e^{i\omega t'} - e^{-i\omega t'} \right) dt' \right|^2$$

Evaluating this integral:

$$=\frac{4A_0^2}{\hbar^2}\left|\left(\frac{e^{i(\omega_{21}-\omega)t/2}\sin(\omega_{21}-\omega)t/2}{(\omega_{21}-\omega)}\right)-\left(\frac{e^{i(\omega_{21}+\omega)t/2}\sin(\omega_{21}+\omega)t/2}{(\omega_{21}+\omega)}\right)\right|^2$$

 $\approx \frac{4A_0^2}{\hbar^2} \cdot \frac{\sin^2\left\lfloor \left(\omega_{21} - \omega\right)t/2\right\rfloor}{\left(\omega_{21} - \omega\right)^2} \qquad \text{(neglecting the 2^{n\alpha} term is called the "rotating wave approximation")}$ $=\frac{A_0^2t^2}{\hbar^2}\cdot\frac{\sin^2\left\lfloor\left(\omega_{21}-\omega\right)t/2\right\rfloor}{\left(\omega_{21}-\omega\right)^2\left(t^2/4\right)}$

(neglecting the 2nd term is called

Note that
$$P_{1\rightarrow 2} = P_{2\rightarrow 1}$$

On a per-atom, per-photon basis: Absorption and stimulated emission are equally likely!

The Einstein coefficients B_{12} and B_{21} are equal.

Back to our rate equation analysis



So, we can ignore the spontaneous emission contribution to the photon number, which thus varies according to:

$$\frac{dI}{dt} = -BI(N_1 - N_2)$$

Population inversion

$$\frac{dI}{dt} = -BI(N_1 - N_2) \quad \implies I = I_0 e^{-B(N_1 - N_2)t} = I_0 e^{-B(N_1 - N_2)nz/c}$$
$$= I_0 e^{-\alpha z} \text{ or } I_0 e^{gz} \quad \substack{g = \text{gain coefficient} \\ \alpha = \text{absorption coefficient}}$$

→ Number of photons grows exponentially with propagation distance z, if $N_2 > N_1$ This condition is known as "population inversion".

Population inversion is a necessary condition for lasing to occur between levels 1 and 2.

A common definition: the gain (or absorption) cross-section:

If
$$N_2 > N_1$$
: $g \equiv \frac{1}{2} [N_2 - N_1] \sigma$
If $N_2 < N_1$: $\alpha \equiv \frac{1}{2} [N_1 - N_2] \sigma$

 $\sigma \approx \frac{\alpha}{N_1}$ units of σ are m² (i.e., area)

 σ is something like the absorption per molecule. It is the effective size (area) of a molecule as seen by an incoming photon.

Population inversion isn't easy to achieve

In thermal equilibrium, we can use Boltzmann statistics:

$$N_2 = e^{-\Delta E/kT} \cdot N_1 < N_1$$

------ Population inversion is impossible in equilibrium.

In any steady-state situation, the derivative is zero:

$$\frac{dN_2}{dt} = BI(N_1 - N_2) - AN_2 = 0$$

$$N_2 = \frac{BI}{BI + A} N_1 < N_1$$

Population inversion is impossible in steady-state.

Rate equations for atomic population, continued

$$\frac{dN_2}{dt} = BI(N_1 - N_2) - AN_2$$

$$\frac{dN_1}{dt} = BI(N_2 - N_1) + AN_2$$

$$2 - N_2$$
absorption
emission
$$1 - N_1$$

If the total number of molecules is N:

$$N \equiv N_1 + N_2$$
 total population (per cm³)
 $\Delta N \equiv N_1 - N_2$ population difference (per cm³)

$$\Rightarrow \frac{d\Delta N}{dt} = -2BI\Delta N + 2AN_2 \qquad 2N_2 = (N_1 + N_2) - (N_1 - N_2) = N - \Delta N$$

$$\Rightarrow \frac{d\Delta N}{dt} = -2BI\Delta N + AN - A\Delta N$$

How does the population difference depend on pump intensity?

$$\frac{d\Delta N}{dt} = -2BI\Delta N + AN - A\Delta N$$



In steady-state: $0 = -2BI\Delta N + AN - A\Delta N$

Solve for ΔN :

$$(A+2BI)\Delta N = AN$$

$$\Rightarrow \Delta N = AN/(A+2BI) = \frac{N}{1+\frac{2B}{A}I}$$

$$\Rightarrow \Delta N = \frac{N}{1 + 2I / I_{sat}}$$

where: $I_{sat} = A / B$ I_{sat} is called the **saturation intensity**.



It's impossible to achieve a steady-state inversion in a two-level system!

Why? Because absorption and stimulated emission are equally likely.

Even for an infinite pump intensity, the best we can do is $N_1 = N_2$ (i.e., $\Delta N = 0$)

Rate equations for a three-level system

So, if we can't make a laser using two levels, what if we try it with three?

Assume we pump to a state 3 that rapidly decays to level 2.

$$\frac{dN_2}{dt} = BIN_1 - AN_2$$
$$\frac{dN_1}{dt} = -BIN_1 + AN_2$$



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$$\frac{d\Delta N}{dt} = -2BIN_1 + 2AN_2 \longleftarrow 2N_2 = N - \Delta N$$
$$2N_1 = N + \Delta N$$

Why inversion is possible in
a three-level system3
2Fast decay
2
$$\frac{d\Delta N}{dt} = -BIN - BI\Delta N + AN - A\Delta N$$
1

In steady-state: $0 = -BIN - BI\Delta N + AN - A\Delta N$

Solve for
$$\Delta N$$
:

$$\Delta N = N \frac{A - BI}{A + BI} = N \frac{1 - (B/A)I}{1 + (B/A)I}$$

$$\Rightarrow \Delta N = N \frac{1 - I / I_{sat}}{1 + I / I_{sat}}$$

where, as before: $I_{sat} = A / B$ I_{sat} is the saturation intensity.

Now if $I > I_{sat}$, ΔN is negative!

Laser

Transition

Rate equations for a fourlevel system

Now assume the lower laser level 1 also rapidly decays to a ground level 0.

As before:

As before:
$$\frac{dN_2}{dt} = BIN_0 - AN_2$$
$$\frac{dN_2}{dt} = BI(N - N_2) - AN_2$$
$$\int \int AN \approx 0, \quad \Delta N \approx -N_2$$
Because $N_1 \approx 0, \quad \Delta N \approx -N_2$



The total number of molecules is N: $N \equiv N_0 + N_2$ $N_0 = N - N_2$

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$$-\frac{d\Delta N}{dt} = BIN + BI\Delta N + A\Delta N$$

 $0 = BIN + BI\Delta N + A\Delta N$ At steady state:





Solve for ΔN :

$$\Rightarrow \Delta N = -BIN/(A+BI) = -N\frac{(B/A)I}{1+(B/A)I}$$

$$\Rightarrow \Delta N = -N \frac{I/I_{sat}}{1 + I/I_{sat}} \quad \text{where:} \quad I_{sat} = A/B$$
$$I_{sat} \text{ is the saturation intensity.}$$

Now, ΔN is negative—for any non-zero value of *I*!

Two-, three-, and four-level systems

Four-level systems are best.



Population inverstion in two-, three-, and four-level systems



Many lasers are almost ideal 4-level systems





What is the saturation intensity?

A is the excited-state relaxation rate: $1/\tau$

B is the absorption cross-section, σ , divided by the energy per photon, $\hbar\omega$: $\sigma/\hbar\omega$



 $I_{sat} = A / B$



The saturation intensity plays a key role in laser theory. It is the intensity which corresponds to one photon incident on each molecule, within its cross-section σ , per recovery time τ .

For Ti:sapphire, $I_{sat} \sim 300 \text{ kW/cm}^2$

There are 3 conditions for steady-state laser operation.

Amplitude condition

Phase condition

Transverse spatial mode condition



Amplitude condition: gain must exceed loss

Having a population inversion ($\Delta N < 0$) isn't enough. Additional **losses** in intensity occur, due to absorption, scattering, and reflections. Also, there is an output beam...



The laser will lase if the beam maintains its intensity after one round trip, that is, if:

Gain = Loss

This means: $I_3 = I_0$. Here, it means a condition on I_3 :

$$I_3 = I_0 \exp(gL) R \exp(gL) = I_0$$

In this expression, we are ignoring sources of loss other than the mirror reflectivity R. This is an approximation, because there are always other sources of loss.

$\Delta N < 0$ is necessary, but not sufficient.

Solving for g, we find:

$$\Rightarrow g = \frac{1}{2L} \ln(1/R)$$

This is the minimum value of the gain which is required in order to turn on a laser. This can be thought of as a threshold gain value, $g_{threshold}$.

Now, recall that the gain is proportional to the population inversion:

$$\text{If } N_2 > N_1 : g \equiv \frac{1}{2} [N_2 - N_1] \sigma = -\frac{1}{2} \Delta N \cdot \sigma \qquad \begin{array}{l} \text{remember: } \Delta N < 0 \\ \text{for a population} \\ \text{inversion, so } g > 0. \end{array}$$

Thus, there is a threshold value of the population inversion which is required:

$$\Delta N_{threshold} = -\left(\frac{1}{2L\sigma}\right) \ln\left(\frac{1}{R}\right) < 0$$

 $\Delta N < 0$ is not sufficient to make the laser operate. We need $\Delta N < \Delta N_{threshold}$.

A threshold value of pump intensity



Achieving Laser Threshold in a fourlevel system

For a four-level system:

$$\Delta N = -N \frac{I_{pump} \ / \ I_{sat}}{1 + I_{pump} \ / \ I_{sat}}$$

If the pump intensity is low (well below the saturation intensity), then this can be approximated by:

$$\Delta N \approx -N \frac{I_{pump}}{I_{sat}}$$



i.e., population inversion is negative and proportional to the pump intensity.

→ Even for a four-level system, lasers have a threshold pump intensity, in order to achieve sufficient gain to overcome the loss and begin to lase.

$$I_{th} = \frac{I_{sat}}{2NL\sigma} \ln(1/R)$$

This is valid if the output coupler (with R < 1) is the only source of loss.

Lasing behavior above threshold

In most lasers, the product gL is a small number - the gain per pass through the gain medium is small. Then the gain per pass, e^{gL} , is approximately equal to: 1 + gL. The power circulating inside the laser is therefore proportional to the gain g.

Thus:
$$I_{out} = (1-R) \cdot I_{circulating} \propto g$$
 i.e., the power emerging from the laser varies linearly with g.

So, for pump intensities above threshold but well below the saturation regime, we have:

output power $\propto g \propto -\Delta N \propto$ pump power

As we turn up the pump (from zero), there will be essentially no laser photons until we reach threshold. At that point, the laser turns on.

Then, the output power increases linearly with the pump power, until the pump approaches and exceeds I_{sat} .

Slope efficiency



Above threshold (but not too far above), the output laser power is proportional to the input pump power.

The slope of this line is called the "slope efficiency" of the laser.

For example, a slope efficiency of 50% means that for every two additional pump photons we add, one additional laser photon is generated.

pump power

threshold

output laser power

The concept of slope efficiency only applies for $I_{th} < I_{pump} << I_{sat}$

Essentially all lasers exhibit this behavior. Here's an example: the silicon laser (2005)

In these data, you can see all three regimes: below threshold, above threshold, and saturation



There are 3 conditions for steady-state laser operation.

Amplitude condition

Phase condition

Transverse spatial mode condition



Another steady-state condition: the phase

In addition to requiring that gain exceed loss, (i.e., that the laser field amplitude must be constant on each round trip) we also require that the phase of the laser field must reproduce itself on each round trip.

round trip length L_{RT} $\frac{angle(E_{after})}{angle(E_{before})} = \exp(-j\omega L_{RT}/c) = 1 \implies \omega_m = \frac{2\pi c}{L_{RT}} \cdot m$ (*m* = an integer) Since $\omega = \frac{2\pi c}{\lambda}$, this is the same as saying that an integer number of wavelengths must fit in the cavity.

Only certain specific frequencies can satisfy this condition and lase.

Longitudinal modes



single-mode laser - a laser which lases at only one frequency. Only one longitudinal mode lases.

multi-mode laser - when more than one longitudinal mode lases. Most lasers are multi-mode lasers.

Longitudinal modes



The number of modes oscillating inside a laser depends on the mode spacing Δv , and how it compares to the spectral width of the gain curve – in other words, the range of frequencies where the gain is large.

All modes that experience gain > loss will lase.

This number can range from one or just a few all the way up to millions.