RESEARCH ADMINISTRATION NEWSLETTER

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http://www.brown.edu/research/research-administration-newsletters

The monthly newsletter provides timely information on agency updates; sponsor and University policy and procedural information; and guidance in all aspects of sponsored project administration for Researchers and Research Administrators. Content for the newsletter is contributed from the offices under the Vice President for Research:

- Office of Research Administration Information Systems (RAIS)
- Office of Research Development
- Office of Research Integrity (ORI)
- Office of Sponsored Projects (OSP)

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Research Administration Updates

Office of Sponsored Projects

➢ Revision of federal Bayh-Dole Act regulations which govern Brown's Management of Patents and Licensing

The National Institutes of Standards and Technology (NIST) has published the revised rules in the Federal Register on April 13th. The Bayh-Dole Act obligates nonprofit organizations such as Brown to *'disclose each "subject invention" (that is, each invention conceived or first actually reduced to practice in the performance of work under a funding agreement, 35 U.S.C. 201(e)) within a reasonable time after the invention becomes known to the contractor, 35 U.S.C. 202(c)(1), and permits contractors to elect, within a reasonable time after disclosure, to retain title to a subject invention, 35 U.S.C. 202(a).'*

In order to establish compliance with the Bayh-Dole regulations, Brown maintains a written agreement with all federally sponsored researchers as to the disposition of patent and inventions. At the time of award set up, a cover memo is sent by OSP to the Principal Investigator (PI) and Departmental Research Administrator, which includes a statement directing the PI to complete the Patent and Invention Agreement under External Contracts and Grants form, and to direct all individuals working on the award to do so as well. The completed form is sent to Industry Engagement and Corporate Venturing (IECV). It is retained as a part of the University's sponsored projects records.

The revisions to 2018 revisions to Bayh-Dole address various time limits around patent matters such as election of title, and the notification period for decisions to continue patent prosecution. In addition to other changes, the new rules require Brown to file a non-provisional patent ten (10) months after filing a provisional. The rule is effective on May 14, 2018.

➢ NIH Continuous Submission Policy

As many active research faculty are aware, the NIH allows certain flexibility in the submission of proposal materials in exchange for service on peer review panels. The details of the policy can be found in NOT-OD-17-042. The benefit applies to submission of R01, R21, and R34 applications at any time in response to active funding opportunity announcements (FOAs) that have standard due dates. Based on recent experience, OSP recommends that a PI email the appropriate Program Official to confirm that the privilege is applicable to a planned proposal submission. Having a written record of this confirmation can be useful in the event a proposal is mis-labeled as being late and therefore not eligible for review in an upcoming cycle.

➢ OSP Retirement

Pam Gray, Senior Grant/Contract Administrator in OSP, started her well-deserved retirement on April 19th. She has worked at Brown for fourteen years and has seen a lot of change and growth, both at Brown and in the OSP office. One of the many things she has learned over the course of her years at Brown is that, "research moves in infinitesimal little steps, but it's all building a platform for something else to build off of." Pam said she will miss developments in Brown science and other projects. She hopes that she will continue to receive the Brown Alumni Magazine so she can keep in touch with all of the great work that Brown produces. "NSF also has a really great website, so I plan on visiting it to keep up on scientific news," she shared. Pam is looking forward to enjoying retirement with her husband and they plan to do some traveling and explore life. First up on their list of places they will visit is Italy. They are eager to delve into the beautiful art, architecture, and cuisine of Rome. (*Excerpted from the OVPR Diversity & Inclusion Newsletter*).

Office of Research Integrity

➢ Consent Requirement Changes

As of January 19, 2018, the Human Research Protection Program (HRPP) has made changes to consent document requirements for all non-exempt human subjects protocols that involve enrolling and consenting participants. The consent form requirements are part of the revisions to the federal human subjects regulations (45 CFR 46, AKA, the "Common Rule") which were set to be implemented on January 19, but have been delayed. The Office of Research Integrity has chosen to go forward with the implementation of this one element of the revised regulations because it doesn't conflict with the current regulations, and implementing now allows for a more gradual implementation of this rule in anticipation of the Common Rule going into effect on July 19, 2018.

The change that Brown has elected to implement involves the forthcoming federal requirement for consent documents to "begin with a concise and focused presentation of the key information that is most likely to assist a prospective subject in understanding the reasons why one might or might not want to participate in the research. This part of the informed consent must be organized and presented in a way that facilitates comprehension." In a nutshell, this means that more complex and higher risk studies must begin with a bulleted "Key Information" section and less complex, lower risk studies must use a well-organized, bulleted format.

The implementation of this new rule is being conducted in a stepped fashion:
- EXISTING studies: We request that investigators make the formatting change to their consent document(s) when submitting an amendment that already requires a consent change or at the time of their next continuing review, whichever comes first.
- NEW studies: All non-exempt new protocol submissions must include consent documents consistent with this new rule.

Visit the HRPP Forms website for guidance and templates to help design consent documents that comply with this requirement and are consistent with our ongoing efforts to ensure that participants enter into research with a full understanding of a project's scope, including all potential risks and benefits.

Finally, visit LearningPoint to register for our new course, "What's New in Human Subjects Consent Documents" or contact us at x3-3050 to request an info session for your department. We hope to see you soon!

➢ Human Subject Research Abroad

At this time of year, we see a large uptick in the number of protocols involving human subjects research in countries other than the United States. Often, review of these protocols is prolonged because the investigators have not adequately researched, and incorporated into their protocols, the national and local laws regarding human subjects research in the country where the work will be conducted.

Investigators conducting human subjects research are responsible for understanding and complying with the laws and research regulations of the country where they will be doing research. To facilitate this understanding, the US Department of Health and Human Services (HSS) Office of Human Research Protections (OHRP) has compiled a comprehensive list of such laws and regulations.

The International Compilation of Human Research Standards enumerates over 1,000 laws, regulations, and guidelines (collectively referred to as standards) that govern human subjects research in 130 countries, as well as standards from a number of international and regional organizations. This Compilation was developed for use by researchers, Institutional Review Boards (IRB)s/Research Ethics Committees, sponsors, and others who are involved in human subjects research around the world.

Content experts from around the world, listed at the back of the Compilation, provided updates (or confirmations of prior listings), which are reflected in the hundreds of changes entered in this Edition. Four new countries are featured in the 2018 Edition: Algeria, Madagascar, Mali, and Saint Lucia. For the first time, this year's Compilation includes a section on Social-Behavioral Research.

➢ Human Subjects Research Forms Updates

The HRPP is in the process of creating new forms and processes, and updating existing forms to enhance clarity and streamline the submission and review process. For each submission to the IRB, please visit our Forms page to download the most recent version instead of saving forms to your desktop for re-use. We thank you for your cooperation and welcome your feedback as we continue to fine-tune our forms and procedures.

Research Development

➢ Looking for a NIH Program Official in Your Research Area?

For years, researchers have used the Matchmaker feature in NIH RePORTER to identify NIH-funded projects similar to their supplied abstracts, research bios, or other scientific text. Matchmaker was recently enhanced to make it just as easy to identify NIH program officials whose portfolios include projects in your research area. Visit Matchmaker to try it out today!

➢ FY18 DOD CDMRP Program Pre-Announcements

FY18 funding opportunities for the Department of Defense's Congressionally Directed Medical Research Programs (CDMRP) are anticipated for the following programs:

- Autism Research Program
- Epilepsy Research Program
- Gulf War Illness Research Program
- Hearing Restoration Research Program
- Lung Cancer Research Program
- Neurofibromatosis Research Program
- Parkinson's Research Program

- Peer Reviewed Alzheimer's Research Program
- Peer Reviewed Cancer Research Program
- Peer Reviewed Orthopaedic Research Program
- Prostate Cancer Research Program
- Spinal Cord Injury Research Program
- Tick-Borne Disease Research Program
- Tuberous Sclerosis Complex Research Program

Funding Opportunities are now available for the following programs:
Amyotrophic Lateral Sclerosis Research Program
Breast Cancer Research Program
Ovarian Cancer Research Program

➢ Research Development and Grant Writing Newsletter

This newsletter offers strategies on how to compete successfully for research funding and highlights new funding opportunities. Archived editions, going back to 2012, are also available. Access the **External Funding Opportunities** website and then select 'Research Development and Grant Writing News' in the left hand menu.

➢ Grant Resubmission Awards

Deadline: **Rolling** (via UFunds)

· Provide up to $15,000 for investigators to improve an already highly-rated proposal for re-submission.

· Any Brown faculty member whose research is administered through Brown is eligible. Emeritus, adjunct, and visiting faculty, as well as post docs, are not eligible to lead projects, but may be included on the research team.

➢ 2018 OVPR Seed and Salomon Awards

OVPR is pleased to announce sixteen Research Seed grants and fifteen Richard B. Salomon Faculty Research Awards to support exceptional faculty research at the University. Abstracts of funded projects are available online here.

➢ 2018 Celebration of Research

On April 19th Jill Pipher and the Provost hosted the annual Celebration of Research at the Faculty Club. This event recognized the winners of Brown's inaugural Research Achievement Awards, as well as recipients of this year's Research Seed Awards and Richard B. Salomon Faculty Research Awards. The winners of the 2018 Research Achievement Awards are profiled here.

Research Adminsitration Information Systems

➢ Update on new NSF Grants.gov Forms

With the roll out of NSF's new account management system, NSF introduced two new Grants.gov forms:

- **NSF Cover Page 1.8**
- **NSF Senior Key Person Profile (Expanded) 1.1**

RAIS is in the process of testing the new forms and hope to have them rolled out in Coeus by mid-May.

There new forms contain minor changes:

- Added Field for NSF ID for the University's AOR
  - This field will auto-populate in Coeus
- Added Field for NSF ID for Co-PI(s)
  - This field will populate from the Agency Credential field of the Investigator's Person record.

A reminder: Coeus can store NSF IDs for Investigators so that you do not need to enter it for each proposal submission. RAIS sends a quarterly report to each department that lists

➢ NSF's New Research.gov Proposal Preparation and Submission Site Launch – 4/30/18

Beginning on April 30, 2018, proposers will be able to prepare and submit full, research non-collaborative proposals in the National Science Foundation's (NSF) Research.gov system. The initial release of this new Research.gov capability will run in parallel with existing FastLane proposal preparation and submission capabilities. As a result, proposers can choose to prepare and submit full, research non-collaborative proposals in Research.gov or in FastLane starting on April 30, 2018.

Resources including Frequently Asked Questions (FAQs) and a system capabilities release timeline are available on the Research.gov About Proposal Preparation and Submission page.

The following features below are currently **UNAVAILABLE for Proposal Preparation at Research.gov**

Proposal Types	Proposal Features	Proposal Sections/Forms
• All types other than Research (RAPID, EAGER, Fellowship, etc.) • Collaborative Proposals • All types other than Full Proposal (Letter of Intent, Preliminary, Renewal, etc.) • SBIR/STTR Submissions	• Delete Proposal • Withdraw Proposal • Copy (clone) Proposal	• Single Copy Documents • Biological Classification form • DUE form

Sponsor /Agency Updates

NIH UPDATE	➢ **eRA Information: Dealing with Fraudulent Phone Solicitations** NIH's Office of Extramural Research has become aware that some grant applicants and award recipients have been receiving fraudulent calls. The callers may represent themselves as eRA Service Desk team members in an attempt to acquire information or to simply make prank calls. The fraudulent calls may be convincing because they are making it appear as if the call is coming from the eRA Service Desk. These callers are frequently aggressive and, at times, rude. - No government grant-making agency will make phone calls or send emails or letters to solicit money or personal banking information from a potential grant recipient. - There are no processing fees for federal grants. - Federal grants are not issued for personal use, but are intended for institutions and non-profits to carry out projects with a public purpose. If you think that someone has fraudulently represented HHS or NIH, please visit https://www.hhs.gov/grants/grants/avoid-grant-scams/ or call the HHS Fraud Hotline at 1-800-447-8477.
NSF UPDATE	➢ **NSF Budget Summary Brochure** NSF18022 NSF recently published the FY2019 Budget Summary Brochure which includes FY 2019 Budget Highlights, NSF's 10 Big Ideas which identifies areas for future, long-term investments in Science and Engineering, and NSF's Strategic Plan for FY2018 – FT 2022. To access the full PDF, please see: https://www.nsf.gov/pubs/2018/nsf18022/nsf18022.pdf?WT.mc_id=USNSF_80 ➢ **Subject: Proposal Due Date Changes for the NSF Division of Mathematical Sciences** The Division of Mathematical Sciences (DMS) has changed the dates for submission of proposals to six of its Programs. Principal Investigators may want to pay particular attention to those programs whose due dates **are now earlier than in previous years:** - Combinatorics: The new target date is the **fourth Tuesday in September** (one week earlier than previously); - Computational and Data-Enabled Science and Engineering in Mathematical and Statistical Sciences (CDS&E-MSS): The new proposal submission window is **September 1 - 15** (twelve weeks earlier than previously); - Foundations: The new target date is the fourth Tuesday in September (one week earlier than previously); - Mathematical Biology: The new proposal submission window is **August 20 - September 5** (ten weeks earlier than previously); - Probability: The new proposal submission window is **September 10 - 25** (six weeks earlier than previously); and - Statistics: The new proposal submission window is **December 1 - 15** (five weeks later than previously). These changes were made to improve internal efficiency and reduce turnaround time in award decisions. These date changes are effective immediately, so please be aware of these changes when preparing proposals to DMS.

Coeus Update

➢ **S2S Fillable PDF Grants.gov Forms- Download from the RAIS S2S Forms Web Page**

All Grants.gov subaward budget forms required of current funding opportunities that need to be uploaded in the Coeus application must be downloaded from the Grants.gov Forms for S2S Submissions web page here: **http://www.brown.edu/go/coeus-S2S-forms**

Note: All Grants.gov downloadable pdf forms were phased out from the Grants.gov website as of 12/31/2017. Forms cannot be downloaded from Grants.gov to use with Coeus; they will be invalid/error.

Other forms are available to download on that web page to upload to Coeus as a User Attached S2S Form:
- PHS Assignment Request Form 2.0
- Project Abstract Form 1.2
- Attachment Forms 1.2
- Budget Information for Constructions Programs (SF-424C) Form 2.0

Coeus guide to use the S2S form upload tool:
https://www.brown.edu/research/sites/research/files/User_Attached_S2S_Tool_Coeus_Premium_2018.pdf

As a best practice, avoid proposal errors and always obtain these forms from the Brown research website. Do not use forms saved to your computer, similar forms from a previous proposal, or an information-only form downloaded from Grants.gov.

Only forms from our web page are up-to-date and work correctly with the current version of Coeus.

Training & Conferences

OSP & RAIS Spring Training

The Office of Sponsored Projects offers a variety of research administration training opportunities in order to provide staff with the knowledge base to support faculty and researchers in the management of their research.

Spring Training Schedule		
Track	**Class**	**Date**
Coeus	Advanced Budgeting	05/15/18
Pre-Award	Cost Sharing	05/31/18
Post-Award	Effort Certification	06/14/18

UPCOMING CONFERENCES & PROGRAMS

NCURA National Meetings posted for 2018

➢ **Annual Meeting**
August 5-8, 2018 | Washington, DC

➢ **NCURA Spring Traveling Workshops**
May 21-23, 2018 | Philadelphia, PA
May 22-24, 2018 | Hollywood, FL

For more details, see http://www.ncura.edu/Education/MeetingsConferences.aspx

NSF Grants Conference
➢ **Conference:**
June 4-5, 2018 | Detroit, MI

For more details, see
https://www.nsf.gov/events/event_summ.jsp?cntn_id=244050&WT.mc_id=USNSF_13&WT.mc_ev=click

*Questions or comments about the Newsletter should be directed to
the Office of Research Administration Information Systems – RAIS@brown.edu*