Office of Sponsored Projects

Agenda

✓ Library Support for Public Access
  o Managing and Sharing Research Data
  o NIH Public Access Compliance
  o Managing Publications for Grants
  o Developing Workshops/Consultations

✓ Property Update

✓ Office of Sponsored Projects Updates
  o Using NIH RePORT
  o NIH and NSF Updates to Proposal Preparation Guides
  o Updated UPAS Form
  o Sub-Award Process Updates
  o Post-Award Staff Update

✓ Research Administration Information Systems Updates
  o InfoEd Project
  o Cost Sharing
  o Workday Roles
  o Research Administration Services and Resources
  o Effort Reporting
Library Support for Public Access
• Department of Agriculture
• Department of Commerce
• Department of Defense
• Department of Education
• Department of Energy
• Department of Health and Human Services
  • Agency for Healthcare Research and Quality (AHRQ)
  • Assistant Secretary for Preparedness and Response (ASPR)
  • Center for Disease Control and Prevention (CDC)
  • Food and Drug Administration (FDA)
  • National Institutes of Health (NIH)
• Department of Homeland Security
• Department of Housing and Urban Development
• Department of Interior
• Department of Labor
• Department of Transportation
• Department of Veterans Affairs
• Environmental Protection Agency (EPA)
• Institute for Museum and Library Services (IMLS)
• National Aeronautics and Space Administration (NASA)
• National Institute for Standards and Technology (NIST)
• National Oceanic and Atmospheric Administration (NOAA)
• National Science Foundation (NSF)
• Smithsonian Institution (Complying voluntarily. Research budget < $100 million)
RESEARCH DATA & PEER-REVIEWED ARTICLES
NIH Public Access Policy
• Thursday, January 14 ---- 12-1
• Friday, January 22 ---- 12-1

Managing Publication for NIH Compliance
• Wednesday, January 27 ---- 12-1

NSF Public Access Policy
• Friday, January 29 ---- 12-1
NIH Public Access Policy

Overview

The National Institutes of Health (NIH) Public Access Policy became effective April 7, 2008. The NIH requires that the author's final version of any peer-reviewed journal article resulting from NIH-funded activities must be submitted to PubMed Central (PMC), NIH's digital repository, where it will be made available to the public within 12 months after the article is published.

The information in this guide will help with several of the compliance issues Brown University authors will need to address and is organized around three steps to compliance:

1. Address Copyright | Information on how to ensure your copyright agreement allows you to submit to PMC.
2. Submit to PMC | Overview of submission methods with links to submission help and publisher policies.
3. Cite PMCID | Detail on different types of PubMed IDs and links to help with MyBibliography.

The NIH Public Access Policy does NOT apply to the following:

- Articles accepted for publication before April 7, 2008.
- Articles which resulted from work that was not funded by NIH.
- Books and book chapters.
- Dissertations.
- Any non peer-reviewed work, such as some conference proceedings or editorials.
- (Currently) Manuscripts printed in scripts other than Latin (like Russian or Japanese).
If you have questions about the policy contact Hope Lappen.

Upcoming workshops on NIH Compliance:

NIH Public Access Policy

- Thursday, January 14, 12-1 Register here
- Friday, January 22, 12-1 Register here

Managing Publication for NIH Compliance

- Wednesday, January 27, 12-1 Register here

NSF Public Access Policy

- Friday, January 29, 12-1 Register here
Contacts:
For publication compliance
•  Hope Lappen
For data management and sharing:
•  Andrew Creamer
ICPSR contact:
•  Bruce Boucek
Property Update

Shelly L. Hull
Subcontract & Property Compliance Manager
Office of Sponsored Projects
• **2016** is a Biennial Property Inventory year!

• Each department’s full property listing will be campus mailed to the department’s property contact in mid-August.

• Staggered due dates based on the total number of assets in your department’s inventory
• All prior fiscal years’ tags have been distributed, awaiting information on assets from a few departments.

• Entering FY16 Acquisitions

• Tags are sent out with **DETAIL RECORD FORM** in which Model Number, Serial Number and Location must be completed on form. Form should be completed, signed & returned to me within 10 business days.
New Assets in Inventory

DETAIL RECORD FORM

Reminder Notice – emailed to Property Contact

Second Notice – emailed to Property Contact and Department Manager

Third Notice – emailed to Property Contact, Department Manager, and Department Chair
Exiting PI Checklist - 2015 revision

• Thanks to those who gave us feedback!

• [http://www.brown.edu/research/about-brown-research/policies/exiting-faculty-checklist](http://www.brown.edu/research/about-brown-research/policies/exiting-faculty-checklist)

• OSP will run report of PI’s inventory.
If PI requests to transfer equipment to new institution:

• We’ll check terms of award and with the Department to verify it’s okay to transfer the property

• If so, Transfer Release/Waiver Form must be completed as well as Surplus Form.
• If property is remaining at Brown, send an **Inventory Control Form** indicating the new Responsible Person, Location, Status, etc.
Using NIH RePORT

Katie Fleck
Grant and Contract Administrator, OSP
What is NIH RePORT?

- A repository of NIH-funded research projects
- Contains reporting tools, reports, data, and analyses of NIH research activities
- Launched in 2008
- Contains information dating back 25 years
What kind of information is available?

- Award Details
- NIH Staff Contacts
- Abstracts
- Publications
- Grantees in your Area/Potential Collaborators
- Success Rates
- Funding Trends
NIH RePORT

### Search Results

There were 4433 results matching your search criteria.

Click on the column header to sort the results.

<table>
<thead>
<tr>
<th>T Act</th>
<th>Project</th>
<th>Year Sub #</th>
<th>Project Title</th>
<th>Contact PI/Project Leader</th>
<th>Organization</th>
<th>FY</th>
<th>Admin IC</th>
<th>Funding IC</th>
<th>FY Total Cost by IC</th>
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<td>AAGAARD-TILLEY, KIERSTI MARIE</td>
<td>BAYLOR COLLEGE OF MEDICINE</td>
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<td>HL112612</td>
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<td>ARARZUA, PATRICIO</td>
<td>YASADE BIO SCIENCES, INC.</td>
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<td>NHLBI</td>
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<td>5 R01</td>
<td>HL114388</td>
<td>40</td>
<td>INTEGRATIVE NEUROBIOLOGY OF CARDIOVASCULAR REGULATION</td>
<td>ARBOUL, FRANCOIS M</td>
<td>UNIVERSITY OF IOWA</td>
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<td>ROLE OF BIOACTIVE LIPIDS IN THE PROTECTIVE PATHWAYS OF OBESITY IN ISCHEMIC CARDIOMYOPATHY</td>
<td>ARDEL-LATIF, AHMED</td>
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<td>ESTROGEN-ERBETAXIS IN DISPARITY OF PROSTATE CANCER</td>
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Export: All Projects
Project Information

5R01DK092065-07

Project Number: 5R01DK092065-07  Former Number: 2R01HL070070-05A2  Contact PI / Project Leader: AREL, EVAN DALE
Title: INSULIN SIGNALING AND THE HEART IN DIABETES  Awardee Organization: UNIVERSITY OF UTAH

Abstract Text:

DESCRIPTION (provided by applicant): Impaired insulin signaling in the myocardium may contribute to cardiac dysfunction in obesity, type 2 diabetes resistant states. In the previous funding cycle of this award we demonstrated that myocardial insulin and IGF-1 signaling regulates mitochondrial function in the heart. To further explore the role of insulin signaling in the heart we generated mice with cardiomyocyte-restricted deletion of the insulin receptor substrates (CIRS12KO). These animals develop heart failure shortly after weaning, on the basis of unregulated autophagy, thereby defining an essential role for insulin signaling acting via IRS proteins in the regulation cardiac autophagy in vivo. Although an increase in cardiac autophagy is essential for survival in the IRS12KO model, it is efficiently suppressed upon feeding. Our observations also imply insulin as a signal that mediates the negative remodeling of the heart in diabetes.

NIH Spending Category:

Autoimmune Disease; Cardiovascular; Diabetes; Heart Disease; Nutrition; obesity

Project Terms:

1-Phosphatidylinositol 3-Kinase; Adult; Amino Acids; Animals; Applications Grants; Atherosclerosis; Cause of Death; Cells; Complex; Cytosol; Diabetes Mellitus; diabetic; diabetic cardiomyopathy; Exhibits; feeding; Functional disorder; Funding; Glucose; glucose uptake; Goals; Grant; Growth
### NIH RePORT

<table>
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<th>Former Number: 3R01HL070070-05A2</th>
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<tr>
<td>Contact PI / Project Leader: ABEL, EVAN DALE</td>
<td>Awardee Organization: UNIVERSITY OF UTAH</td>
</tr>
<tr>
<td>Name: ABEL, EVAN DALE</td>
<td>Name: JONES, TERESA L. Z.</td>
</tr>
<tr>
<td>Email: Click to view Contact PI / Project Leader email address</td>
<td>Email: Click to view PO email address</td>
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<tr>
<td>Title: PROFESSOR AND DIVISION CHIEF</td>
<td></td>
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<tr>
<td>Organization: UNIVERSITY OF UTAH</td>
<td>Department/ Organization Type: INTERNAL MEDICINE/ MEDICINE</td>
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<tr>
<td>City: SALT LAKE CITY Country: UNITED STATES (US)</td>
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<td>FOA: PA-07-070</td>
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<td>Budget Start Date: 1-JUL-2011</td>
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</table>

**PI contact info**

**NIH program official for this grant**

**FOA**
NIH RePORT

Use similar projects tab to find more projects like this one

Text mining. Higher match scores = more similar projects
Use Nearby Projects tab to locate others who may be working locally on your topic of interest.
RePORTER Tools to find Collaborators

- Organizational search can be used to find interdisciplinary collaborators at Brown
- PI Matchmaker Query searches using Abstracts
- Return RePORTER Query results as map to locate collaborators in certain states
- Search by FOA to find potential subaward partners
Run queries to determine number of awards and/or dollars funded by IC or funding mechanism
Reports can be run over time to view trends
Special Reporting section can locate NIH Strategic Plans by research area (Diabetes, Sleep Disorder, etc.)
Video tutorials are available to help you with the RePORT website and tools.
Federal RePORTER

- Trans-federal agency searchable database of science awards
- Not yet reliable for searching, at this time only returns NIH results
Questions?
Pre-Award Update

Eva Pasadas
Associate Director
Office of Sponsored Projects
NIH & AHRQ Upcoming Changes to Proposal Preparation Guide 2016

Review NOT-OD-16-004 for complete information

Planned changes focus on the following areas:

• Rigor & transparency in research
• Vertebrate animals
• Inclusion reporting
• Data safety monitoring
• Appendices
• Font requirements
• Biosketch requirements

Changes implemented in two phases. Continue to Use Forms-C until May 7, 2016. Between May 7 and May 25, select appropriate package based on due date.
Phase 1: effective for applications submitted on/after January 25, 2016

Rigor & Transparency

• Updated instructions on preparing the Research Strategy attachment
  • Now include three elements of the R&T policy (scientific premise, scientific rigor, and relevant biological variables)

• Use of new Authentication of Key Biological and/or Chemical Resources attachment (uploaded as “Other” on the R&R Other Project Information form)
Verterbrate Animals (NIH only)
- Updated guidance on criteria to be addressed (description of procedures, justifications...)
- Description of veterinary care no longer required
- Justification for number of animals no longer required

Definition of Child (NIH only)
- “Child” now means an individual under 18 years of age
Research Training
Requirements for several attachments updated:

• “Recruitment and Retention Plan to Enhance Diversity”: focus on retention
• “Human Subjects” & “Vertebrate Animals”
  • ensure trainees only participate in exempt HS research or non-exempt HS research that has IRB approval
  • ensure trainees only participate in vertebrate animal research that has IACUC approval
• No longer necessary to provide list of potential grants and associated IRB/IACUC information
• “Progress Report”
  • Publications that arose from work conducted by trainee moved to JIT process
Significant changes/clarifications effective January 25, 2016

Collaborators & Other Affiliations Info
• Removed from Biosketch
• Upload as a *new* single copy document for each senior project personnel; no longer provide total # of collaborators and affiliations

Biosketches
• Can no longer submitted as a single PDF with Current & Pending
• Must be uploaded for each senior personnel- may no longer be grouped together (auto compliance checking!)
• Bios for Other Personnel and Equipment proposals to be uploaded as Other Supplementary Docs
Supplementary Documentation
  • Now includes recommended format for letters of collaboration

Vertebrate Animals
  • Updated guidance on the information that must be provided in the Project Description
  • Specifies procedure to follow if IACUC approval not obtained prior to submission

Conference Proposals
  • Support from other sources for the conference should be described in the Facilities, Equipment and Other Resources section
Implementation of NSF’s Public Access Policy

Applies to awards funded as a result of proposals submitted, or due, on or after January 25, 2016

Articles in peer-reviewed scholarly journals and papers in juried conference proceedings must be:

• Deposited in public access compliant repository (*NSF Public Access Repository* can be managed from Research.gov dashboard);
• Available for download within 12 months of publication; and
• Reported in annual and final reports with appropriate identifier

Updated UPAS Form

Changes include:
- Streamlined Format
- PI Certification Statement for NIH NCE’s
- New Fields for IRB/IACUC protocol info
Subaward Process Update

Michael Kostyshak
Assistant Director
Office of Sponsored Projects
Subaward Process Update

• Major realignment of subaward responsibilities to simplify the OSP- departmental interaction

• Contract Administrators will process all subcontract actions for their own departments

New and Modifications
Subaward Process Update

Modifications will be processed through Workday.

• Effective Date: **December 22, 2015**
• **Subaward Order Form** completed and uploaded in Workday
• Routed to Pre-Award for processing
• Approved once the final documents are signed
• Signed Modification will be uploaded in Workday
Comfort Brownell
Accounting Manager
Office of Sponsored Projects
Marisa J. Schasel
Director Research Administration
Information Systems
Coeus

- Implemented April, 2006
- Proposal Development and Award Management
- Compliance Areas – IACUC and IRB

- Opportunity to look at other systems available as a replacement platform for research administration and compliance
Recommendation to Project Sponsors

InfoEd Global
What is InfoEd?

A suite of modules
• Each designed to manage a specific aspect of the research project cycle

Modules include:
• Proposal development
• Proposal tracking
• Award management
• Conflict of interest
• Human research protocol application management
• Lab animal protocol application management
InfoEd Functionality Overview

- Web based fully integrated research administration solution
- Configurable portals and dashboards
- Dynamic budget development and management tool
- Transparent views to an end user’s portfolio and related tasks
InfoEd – Key Features

Dashboards
• Design investigator and administrator views
• Streamline navigation and searches
• Focus on tasks requiring action
• Build summary reports and visualizations related to your data

Researcher portal
• Aggregate content from multiple applications; e.g. PubMed, Workday
InfoEd – Key Features

- SPIN – Funding opportunities database
- Electronic routing, review, and approvals associated with proposals, awards, protocols, and conflict of interest disclosures
- Automatic data validation of proposals prior to submission
Efficient proposal, award, and protocol tracking processes
Proposal and award modules are integrated with IACUC, IRB and Conflict of Interest modules
Pre-population of data to and from proposal, award, and protocol records and conflict of interest disclosures
Implement more efficient business processes

- Reduce the administrative burden for the research community
- Enhance and better facilitate the processes associated with:
  - Proposal submission,
  - Award management, and
  - Compliance aspects associated with human and animal protocols and conflict of interest.
InfoEd – Module Implementation Plan

Phase A (Compliance)
- Conflict of Interest
- Lab Animals (IACUC)
- Human Subjects (IRB)
- Reporting

Phase B (Proposals & Awards)
- Proposal Development & S2S
- Proposal Tracking
- Award Tracking & Financial Tracking
- Reporting
InfoEd Project Website

Website
  • InfoEd Project Website

Subscribe to listserv for project updates
  • Subscribe for InfoEd Project Updates

Provide us with your feedback
  • Email Project Feedback
Cost Sharing
Workday Grant Roles
Workday Update

Sponsored Award Budget to Actual

• Downloading to Excel – Expansion of Rows
• Employee Names – Coming Soon
• Award Start & End Dates – Coming Soon
• Subaward Ledger Account – Coming Soon
My Awards – Dashboard Under Development

• Portfolio of Awards
• Expiring Awards
• Personnel on Awards
Research Services Update

Jennifer L. Quinn
Research Services Manager
The following resources and services are available to help support the Research Community in their efforts in the administration of research:

“Brown Bag” Listserv

• Email distribution List for OSP and RAIS to send out research administration announcements, guidelines, and policy updates.

*Please contact Dan Mueller at OSP when you have a new Research Administrator in your office to have them added to the list.
Research Administration Newsletter

• A monthly newsletter that provides timely information on agency updates; sponsor and University policy and procedural information; and guidance in all aspects of sponsored project administration.

• *Main vehicle to transmit Sponsor policy changes, guides, requirements, etc.*
Research Admin News Listserv

- This is a new distribution list to receive the Research Administration Newsletter.
- Everyone who is on the Brown Bag Listserv was automatically added to this list
- Moving forward – it will be an option for people to subscribe and unsubscribe

Subscribe / Unsubscribe:
https://listserv.brown.edu/?SUBED1=RESEARCH_ADMIN_NEWS&A=1
Research Administration Training

• OSP & RAIS offer a variety of research administration training opportunities each Semester.

• Research Administration class descriptions and materials are located on the Training Page:
  http://www.brown.edu/research/sponsored-projects-training
Research Administration Training

- To view or register for classes, please navigate to the Brown Learning Point Page - The training classes can be found by clicking on the “Sponsored Research Related Training” from your homepage

- Contact OSP or RAIS for any additional training needs or suggestions on new trainings.
Sponsored Projects Reporting

• A suite of reports have been developed for departmental administrators to obtain details on their department’s sponsored activity.

• Electronically Delivered Reports
  • Select reports are sent monthly or quarterly to assist departments with their grant portfolios, view sponsored project activity, and ensure correct data on Grants.gov submissions.

(See November 2014 Newsletter for details)
Sponsored Projects Reports within Cognos

Access to run Sponsored Projects Reports requires access to both Coeus and Cognos.

- For Coeus, you will need to have the role of “Brown Department Viewer” for your department.

- For Cognos, you will need access to the “Sponsored Projects Reports for Departments” folder.
Sponsored Projects Reports for Available to Departments

The Sponsored Projects Reports for Departments folder in Cognos contains the following reports:

Proposal Reports
- Monthly Proposal Activity Report
- Proposals by Sponsor
- Proposal Success Rate
- Report of Proposals by Agency Type
- Summary Report of Proposals by Department
- Custom Proposal Activity Report
Sponsored Projects Reports for Available to Departments

Award Reports
Monthly Award Activity Report
Report of Awards by Agency Type
Report of Opens Award
Summary Report of Awards by Department

Proposal/Award/Expenditure Activity Reports
Standard Award, Proposal, WD Expense Activity
*Award & Proposal Activity by Investigator

Expenditure and graph details coming soon.

Office of Sponsored Projects
Please let us know if you have any questions or feedback on Services and Resources.

• Contact your Pre-Award or Post-Award Grant Contract Administrator / Accountant

• Contact OSP - Patrice Carroll

• Contact RAIS – Marisa Schasel
Effort Reporting Update
Maria Mento
Research Services Analyst
Effort Reporting
Upcoming - Due Dates

Upcoming – Due Dates

• Non Exempt Workers and Undergraduate Students
• Pay Period November 1 – November 28. 2015: Due January 2, 2016
• Outstanding (In Process): 75 reports (27%)
Delinquent Effort Reports (as of December 10)

• Semi-Annual Effort Reports (July – Dec. 2014):
  • Faculty and Exempt Staff
    • 3 effort reports
Delinquent Effort Reports (as of December 10)

• Semi-Annual Effort Reports (Jan. – June 2015):
  • Faculty and Exempt Staff
  • 7 effort reports
Delinquent Effort Reports (as of December 10)

- Weekly and Bi-weekly Effort Reports
  - Non Exempt and Undergraduate Students
  - Pay Period 10/04/15 to 10/31/15
    - 4 effort reports
  - Pay Period 6/28/15 to 7/25/15
    - 1 effort report
  - Pay Period 7/26/15 to 9/05/15
    - 1 effort report
Upcoming Reporting Cycles

• Weekly and Bi-weekly Effort Reports
• Non Exempt and Undergraduate Students
• Pay Period 11/29/15 to 12/26/15
• Generate reports week of January 4th
Upcoming Reporting Cycles

- Semi Annual Effort Reports
  - Faculty, Exempt Staff and Graduate Students
  - Pay Period 7/1/15 to 12/31/15
  - Generate reports week of January 4th
Happy Holidays from OSP!
Brown Bag Listserv

To be added to OSP’s Brown Bag Listserv, please email:

Daniel_Mueller@brown.edu