**Request for Approval to Serve as Principal Investigator**

**on a Human Subjects Research Application**

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| Individuals who are not automatically eligible for PI status by virtue of their appointment or employment type must submit this form to the appropriate institutional officials and be approved for PI eligibility.  The completed form with appropriate signatures must be included with application submissions to the Brown HRPP/IRB. Incomplete forms will not be accepted and submissions will not be reviewed. |

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| **Project and Investigator Information** | |
| **Project Title:** Click or tap here to enter text. | |
| **Name of proposed PI:** Click or tap here to enter text. | |
| **Title and length of appointment:**  Click or tap here to enter text. | **Host School/Department/Center/Institute/Library:**  Click or tap here to enter text. |
| **Research Project Period of Performance:**  Click or tap here to enter text. | **Funding source (e.g., X Foundation, NIH, internal) :**  Click or tap here to enter text. |
| **Location of proposed research project or program (i.e., building, laboratory, field site) and use of other Brown resources (i.e., students):**  Click or tap here to enter text. | |
| **Lay Summary - Provide a brief lay summary of the overall project. Include enough detail to evaluate the requested within the context of the overall project:**  Click or tap here to enter text. | |
| **Justification - provide a brief explanation of why this individual is the most appropriate person to serve as PI on the research study; include relevant experience and qualifications:**  Click or tap here to enter text. | |

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| **Approval Signatures[[1]](#footnote-1)** | |
| **Department Chairperson[[2]](#footnote-2) (confirms space, facilities or administrative support is available to named PI and the proposed research is supported by the department**): | |
| **Printed Name:** Click or tap here to enter text. | **Date signed:** Click or tap to enter a date. |
| **Signature:** | |
| **Dean/Center/Institute Director/University Librarian:** | |
| **Printed Name:** Click or tap here to enter text. | **Date signed:** Click or tap to enter a date. |
| **Signature:** | |
| **Faculty Sponsor (responsible for all compliance requirements and oversight of human subjects research activities if the PI leaves Brown during the Research Project Period):** | |
| **Printed Name:** Click or tap here to enter text. | **Date signed:** Click or tap to enter a date. |
| **Signature:** | |

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| **For HRPP/IRB Use Only.**  For Exempt Staff, HRPP staff will obtain Vice President for Research signature prior to study approval. | |
| **Vice President for Research:** | |
| **Printed Name:** Click or tap here to enter text. | **Date signed:** Click or tap to enter a date. |
| **Signature:** | |

**The following table identifies the approval signatures that are generally required for each appointment/employment type that is not automatically PI eligible.**

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| **Hospital-based Faculty Holding a Clinical Appointment at Warren Alpert Medical School**   * Dean of Medicine and Biological Sciences |
| **Emeritus faculty including Emeritus faculty with “Research” appointment:**   * Department Chairperson **or** * Dean/Center or Institute Director/University Librarian |
| **Adjunct – Professorial, Lecturer, Instructor:**   * Department Chairperson **or** * Dean/Center Institute Director/University Librarian |
| **Instructor, Senior Lecturer, Lecturer:**   * Department Chairperson **or** * Dean/Chair/Institute Director/University Librarian |
| **Professor of the Practice (Assistant, Associate):**   * Department Chairperson **or** * Dean/Chair/Institute Director/University Librarian |
| **Visiting – Professorial, Lecturer, Instructor:**   * Faculty Sponsor * Department Chairperson **or** * Dean/Chair/Institute Director/University Librarian |
| **Research Staff (non-faculty) – Investigator, Senior Research Associate, Research Associate, Research Fellow:**   * Department Chairperson **or** * Dean/Chair/Institute Director/University Librarian |
| **Exempt Staff[[3]](#footnote-3)3 including technical staff and administrative staff:**   * Department Chairperson or Direct Supervisor **or** * Dean/Chair/Institute Director/University Librarian * Vice President for Research |

1. Refer to last page for a checklist of required signatures based on appointment/employment types [↑](#footnote-ref-1)
2. In the case of Exempt staff, a direct supervisor may sign in lieu of a Department Chairperson if there is no Chairperson [↑](#footnote-ref-2)
3. 3 Non-Exempt Staff are not eligible to conduct human subjects research at Brown University. [↑](#footnote-ref-3)