**Project Title**: Click or tap here to enter text.

**Principal Investigator**: Click or tap here to enter text.

**Protocol Number**: Click or tap here to enter text.

**Expiration Date**: Click or tap to enter a date.

**Funding Source**: Click or tap here to enter text.

**Continuing Review Number**: Choose an item.

*Please see the guidance document entitled: “*[*How to Complete a Progress Report*](https://www.brown.edu/research/conducting-research-brown/research-compliance-irb-iacuc-coi-export-control/irb/forms-and-policies#amendments)*” for further instructions on completing the report.*

1. **Protocol Status:**
	1. Has this project been completed since the last IRB approval?

YES [ ]

 Please close this project now [ ]

I would like for this project to close on the expiration date [ ]

*If YES, please sign this form and send to* *IRB@brown.edu**.*

NO [ ]

*If NO, please complete the remaining sections and send to* *IRB@brown.edu**.*

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Progress Report COI Questions:**
	1. Personnel Changes/New Investigator(s):

The[*Brown University Conflict of Interest Policy for Officers of Instruction and Research*](https://www.brown.edu/research/about-brown-research/policies/conflict-interest-policy-officers-instruction-and-research) *(“COI Policy”)* defines the term “Investigator” as “the project director or principal investigator ***and any other person, regardless of title or position*** (e.g., full or part-time faculty member, staff member, student, trainee, collaborator, or consultant), who is **responsible** for the **design, conduct, or reporting** of sponsored research.”

**Using this definition of “Investigator,” have you added any new Brown Investigators to this project since your most recent IRB approval (Initial approval, or approval of your most recent progress report, or amendment)?**

YES [ ]  NO [ ]  *(If NO, you do not need to proceed to the next question.)*

* 1. New Investigator(s) Conflict of Interest:

Any new Investigators (if applicable) must answer the below questions. Please include additional sheets if needed to identify all new Investigators by name and title.

*Please note that if any new Investigators have an SFI related to this research protocol, the IRB may require modifications to the informed consent document(s).*

**\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\*\***

 **Name of Investigator:** Click or tap here to enter text.

 **Title:** Click or tap here to enter text.

* Have you completed a conflict of interest disclosure (i.e., *Annual COI Assurance Form* **or** *COI Reporting Form*) within the past 12 months and is it accurate and up-to-date as of the time of this submission, as required by the [COI Policy](https://www.brown.edu/research/conducting-research-brown/preparing-proposal/research-integrity/ori-staff-directory/coi-policy)? (You may access the system [here](https://infoed.brown.edu/) to confirm.)

YES [ ]  NO [ ]

* Do you have a [significant financial interest](https://www.brown.edu/research/compliance-education-training/research-compliance/conflict-interest/conflict-interest/coi-frequently#Faq6) (SFI) that is related to this research protocol? “Related” could mean the research involves products, technology, intellectual property, or services made, owned, or provided by the entity/ies in which you have an SFI and that the SFI could be affected by the proposed research or its results.

YES [ ]  NO [ ]

If YES, please describe:

Click or tap here to enter text.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Participants:**
	1. Has this project been approved for secondary data analysis only?

YES [ ]  NO [ ]

*If YES, please skip to Section IV.*

* 1. Have participants been entered/consented\*?

*\*Anyone who has been consented, whether verbal or written, is considered to have been “entered” into the project whether or not they complete all parts of the study.*

YES [ ]  NO [ ]

How many participants have been entered/consented since the last approval *(initial or continuing)?*

Click or tap here to enter text.

How many participants have been entered/consented since initialapproval?

Click or tap here to enter text.

* 1. If the study activities continue, will additional participants be entered/consented?

YES [ ]  NO [ ]

* 1. Are the remaining research activities limited to data analysis?

YES [ ]  NO [ ]

*If YES, has the data been de-identified (i.e., ALL identifying information has been destroyed)?*

YES [ ]  NO [ ]

* 1. In the past year, have any participants withdrawn themselves from the study?

YES [ ]  NO [ ]

If YES, how many participants withdrew: Click or tap here to enter text.

Briefly state the reason(s) if known: Click or tap here to enter text.

* 1. In the past year, have any participants been withdrawn by the PI?

YES [ ]  NO [ ]

If YES, how many participants were withdrawn: Click or tap here to enter text.

Briefly state the reason(s): Click or tap here to enter text.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Please provide the following documents and/or information:**

*\*\*All text fields will expand to accept an unlimited number of characters, so please type and/or copy/paste into the fields rather than attaching additional pages\*\**

* 1. A brief lay summary describing the study:

Click or tap here to enter text.

* 1. A report sufficiently describing the progress of the research since the last approval *(initial or continuing)* including any new risks identified since the initial approval:

Click or tap here to enter text.

* 1. Please attach a copy of another institution’s current IRB approval document if Brown issues a subaward to that institution for human research activities.

*(If Brown is the IRB of record through an IAA, no documentation is required.)*

* 1. A list of all “unanticipated problems involving risks to participants or others” submitted for IRB review since the beginning of the project.

*(The list should include the date, location, and type of event; the date of IRB notification; and the relatedness to the study.)*

Click or tap here to enter text.

* 1. Please attach copies of all current consent/assent forms and/or verbal consent/assent scripts.

***(No documentation is needed if you answered YES to either III(A) or III(D) or NO to III(C)).)***

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Investigator’s Electronic Signature:**



**PI Name**: Click or tap here to enter text.

**Date**: Click or tap here to enter text.

1. **Signature of Authorizing Official of the IRB:**



**Name**: Click or tap here to enter text.

**Date**: Click or tap here to enter text.