

Brown University Request for Approval to Serve as Principal Investigator

As required by the *Principal Investigator (PI) Eligibility for Externally Sponsored Awards* policy, the following person is proposed to serve as PI on the specified research project. Individuals who are not automatically eligible for PI status by virtue of their appointment/employment type must submit this form to the appropriate institutional officials and be approved for PI status before submitting proposals/applications to external funding sources.

Name of proposed PI:	Funding source (e.g., X Foundation, NIH) :
Title and length of appointment ¹ (Academic or Professional Title of proposed PI):	Host School/Department/Center/ Institute/Library:
Research Project Period of Performance :	Proposal Title:
Approximate total funding to be requested:	Location of proposed research project or program (i.e., building, laboratory, field site):

Justification - provide a brief explanation of why this individual is the most appropriate person to serve as PI on the research project or program, include relevant experience and qualifications:

Approvals ²	
Faculty Sponsor (responsible for deliverables - Technical, Equipment, Subcontractor, IP reports should the PI leave the University):	Date signed
Department Chairperson (confirms space, facilities and administrative support is available to named PI and research is appropriate to the department):	Date signed
Dean/Center/Institute Director/University Librarian:	Date signed
Vice President for Research³:	Date signed

¹ All individuals without Brown appointment/ employment status will be entered into the University's Visual Compliance program for denied and restricted party screening clearance prior to the final approval.

² Refer to the next page for a checklist of required signatures listed by appointment/employment type

³ OVPR Signature is not mandatory for PI requests from the Division of Biology and Medicine

The following table identifies the required approval signatures that are generally required for each appointment type that is **not automatically eligible**.

Emeritus faculty including Emeritus faculty with "Research" appointment:

- Faculty Sponsor (if applicable)
- Department Chairperson or
- Dean/Center or Institute Director/University Librarian per approval routing map

Undergraduate, Graduate Student, Medical Student:

- Faculty Sponsor
- Department Chairperson or
- Dean/Center or Institute Director/University Librarian per approval routing map

Adjunct – Professorial, Lecturer, Instructor:

- Faculty Sponsor
- Department Chairperson
- Dean/Center Institute Director/University Librarian
- Vice President for Research

Instructor, Senior Lecturer, Lecturer:

- Faculty Sponsor
- Department Chairperson
- Dean/Chair/Institute Director/University Librarian
- Vice President for Research

Professor of the Practice (Assistant, Associate):

- Faculty Sponsor
- Department Chairperson
- Dean/Chair/Institute Director/University Librarian
- Vice President for Research

Visiting – Professorial, Lecturer, Instructor:

- Faculty Sponsor
- Department Chairperson
- Dean/Chair/Institute Director/University Librarian
- Vice President for Research

Research Staff (non-faculty) – Investigator, Senior Research Associate, Research Associate, Research Fellow:

- Department Chairperson
- Dean/Chair/Institute Director/University Librarian
- Vice President for Research

Exempt Staff including technical staff and administrative staff:

- Department Chairperson
- Dean/Chair/Institute Director/University Librarian
- Vice President for Research

Form date: August 2019 rev1 (Feb 20)